



SOUTHERN CALIFORNIA  
ASSOCIATION OF GOVERNMENTS  
900 Wilshire Blvd., Ste. 1700  
Los Angeles, CA 90017  
T: (213) 236-1800  
www.scag.ca.gov

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## HYBRID (IN-PERSON & REMOTE PARTICIPATION)\*

# EXECUTIVE/ ADMINISTRATION COMMITTEE

### *In-Person & Remote Participation\**

**Wednesday, September 1, 2021  
3:00 p.m. – 4:00 p.m.**

***\*Public Participation: The SCAG offices are currently closed to members of the public. Please see next page for detailed instructions on how to participate in the meeting.***

### **To Participate on Your Computer:**

**<https://scag.zoom.us/j/889726747>**

### **To Participate by Phone:**

**Call-in Number: 1-669-900-6833  
Meeting ID: 889 726 747**

### **PUBLIC ADVISORY**

Given recent public health directives limiting public gatherings due to the threat of COVID-19 and in compliance with the Governor's recent Executive Order N-08-21, the meeting will be conducted in a hybrid manner (both in-person and remotely by telephonic and video conference); however, SCAG's offices are currently closed to the general public and public participation will occur as described in the instructions below.

If members of the public wish to review the attachments or have any questions on any of the agenda items, please contact Maggie Aguilar at (213) 630-1420 or via email at [aguilarm@scag.ca.gov](mailto:aguilarm@scag.ca.gov). Agendas & Minutes are also available at: [www.scag.ca.gov/committees](http://www.scag.ca.gov/committees).

SCAG, in accordance with the Americans with Disabilities Act (ADA), will accommodate persons who require a modification of accommodation in order to participate in this meeting. SCAG is also committed to helping people with limited proficiency in the English language access the agency's essential public information and services. You can request such assistance by calling (213) 630-1420. We request at least 72 hours (three days) notice to provide reasonable accommodations and will make every effort to arrange for assistance as soon as possible.



## Instructions for Public Comments

*You may submit public comments in two (2) ways:*

1. **Submit written comments via email to: [ePublicComment@scag.ca.gov](mailto:ePublicComment@scag.ca.gov) by 5pm on Tuesday, August 31, 2021.**

All written comments received after 5pm on Tuesday, August 31, 2021 will be announced and included as part of the official record of the meeting.

2. **If participating via Zoom or phone, during the Public Comment Period, use the “raise hand” function on your computer or \*9 by phone and wait for SCAG staff to announce your name/phone number. SCAG staff will unmute your line when it is your turn to speak. Limit oral comments to 3 minutes, or as otherwise directed by the presiding officer.**

If unable to connect by Zoom or phone and you wish to make a comment, you may submit written comments via email to: [ePublicComment@scag.ca.gov](mailto:ePublicComment@scag.ca.gov).

***In accordance with SCAG’s Regional Council Policy, Article VI, Section H and California Government Code Section 54957.9, if a SCAG meeting is “willfully interrupted” and the “orderly conduct of the meeting” becomes unfeasible, the presiding officer or the Chair of the legislative body may order the removal of the individuals who are disrupting the meeting.***



## Instructions for Participating in the Meeting

SCAG is providing multiple options to view or participate in the meeting:

### To Participate and Provide Verbal Comments on Your Computer

1. Click the following link: <https://scag.zoom.us/j/889726747>.
2. If Zoom is not already installed on your computer, click “Download & Run Zoom” on the launch page and press “Run” when prompted by your browser. If Zoom has previously been installed on your computer, please allow a few moments for the application to launch automatically.
3. Select “Join Audio via Computer.”
4. The virtual conference room will open. If you receive a message reading, “Please wait for the host to start this meeting,” simply remain in the room until the meeting begins.
5. During the Public Comment Period, use the “raise hand” function located in the participants’ window and wait for SCAG staff to announce your name. SCAG staff will unmute your line when it is your turn to speak. Limit oral comments to 3 minutes, or as otherwise directed by the presiding officer.

### To Listen and Provide Verbal Comments by Phone

1. Call **(669) 900-6833** to access the conference room. Given high call volumes recently experienced by Zoom, please continue dialing until you connect successfully.
2. Enter the **Meeting ID: 889 726 747**, followed by #.
3. Indicate that you are a participant by pressing # to continue.
4. You will hear audio of the meeting in progress. Remain on the line if the meeting has not yet started.
5. During the Public Comment Period, press \*9 to add yourself to the queue and wait for SCAG staff to announce your name/phone number. SCAG staff will unmute your line when it is your turn to speak. Limit oral comments to 3 minutes, or as otherwise directed by the presiding officer.



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**EAC - Executive/Administration Committee**  
***Members – September 2021***

- 1. Hon. Clint Lorimore**  
Chair, Eastvale, RC District 4
- 2. Hon. Jan C. Harnik**  
1st Vice Chair, RCTC Representative
- 3. Sup. Carmen Ramirez**  
2nd Vice Chair, Ventura County
- 4. Hon. Rex Richardson**  
Imm. Past President, Long Beach, RC District 29
- 5. Hon. Jorge Marquez**  
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EEC Chair, Moorpark, RC District 46
- 8. Hon. Deborah Robertson**  
EEC Vice Chair, Rialto, RC District 8
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TC Chair, Downey, RC District 25
- 10. Hon. Art Brown**  
TC Vice Chair, Buena Park, RC District 21
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LCMC Chair, SBCTA Representative
- 12. Hon. Peggy Huang**  
LCMC Vice Chair, TCA Representative
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Pres. Appt., Los Angeles County
- 14. Hon. Larry McCallon**  
Pres. Appt., Highland, RC District 7
- 15. Hon. Cheryl Viegas-Walker**  
Pres. Appt., El Centro, RC District 1

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**OUR MISSION**

*To foster innovative regional solutions that improve the lives of Southern Californians through inclusive collaboration, visionary planning, regional advocacy, information sharing, and promoting best practices.*

**OUR VISION**

*Southern California's Catalyst for a Brighter Future*

**OUR CORE VALUES**

*Be Open | Lead by Example | Make an Impact | Be Courageous*



- 16. Sup. Donald Wagner**  
Pres. Appt., Orange County
  
- 17. Hon. Andrew Masiel**  
Tribal Govt Regl Planning Board Representative
  
- 18. Randall Lewis**  
Business Representative, Non-Voting Member

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## EXECUTIVE/ADMINISTRATION COMMITTEE

### AGENDA

Southern California Association of Governments  
Hybrid (In-Person and Remote Participation)

**Wednesday, September 1, 2021**

**3:00 PM**

The Executive/Administration Committee may consider and act upon any of the items on the agenda regardless of whether they are listed as Information or Action items.

#### **CALL TO ORDER AND PLEDGE OF ALLEGIANCE**

*(The Honorable Clint Lorimore, Chair)*

#### **PUBLIC COMMENT PERIOD**

Members of the public are encouraged to submit written comments by sending an email to: [ePublicComment@scag.ca.gov](mailto:ePublicComment@scag.ca.gov) by 5pm on Tuesday, August 31, 2021. Such comments will be transmitted to members of the legislative body and posted on SCAG's website prior to the meeting. Written comments received after 5pm on Tuesday, August 31, 2021 will be announced and included as part of the official record of the meeting. Members of the public wishing to verbally address the Executive/Administration Committee will be allowed up to 3 minutes to speak, with the presiding officer retaining discretion to adjust time limits as necessary to ensure efficient and orderly conduct of the meeting. The presiding officer has the discretion to reduce the time limit based upon the number of comments received and may limit the total time for all public comments to twenty (20) minutes.

#### **REVIEW AND PRIORITIZE AGENDA ITEMS**

##### **INFORMATION ITEM**

1. June 24 Special EAC Strategic Work Plan Discussion

##### **CONSENT CALENDAR**

###### Approval Items

2. Minutes of the Regular Meeting – June 30, 2021
3. Resolution No. 21-635-1 Approving Amendment 1 to the FY 2021-22 Overall Work Program (OWP)
4. Contract Amendment Greater Than 30% of the Contract's Original Value: 20-035-C01, IT Managed Services
5. Contracts \$200,000 or Greater: Contract No. 20-012-C01, Infrastructure Upgrade – Data Center Equipment
6. Contracts \$200,000 or Greater: Contract No. 21-058-C01, Heavy Duty Truck Model Improvement



## EXECUTIVE/ADMINISTRATION COMMITTEE

### SPECIAL MEETING AGENDA

7. Contracts \$200,000 or Greater: Contract No. 21-064-C01, Southern California Goods Movement Communities Freight Impact Assessment
8. AB 215 (Chiu) - Housing Element Relative Progress Determination
9. SB 9 (Atkins) - Duplex Approvals
10. SCAG Memberships and Sponsorships

#### Receive and File

11. Regional Early Action Plan (REAP) 2021 Update
12. Purchase Orders \$5,000 - \$199,999; Contracts \$25,000 - \$199,999 and Amendments \$5,000 - \$74,999
13. CFO Monthly Report

#### **CFO REPORT**

***(Cindy Giraldo, Chief Financial Officer)***

#### **PRESIDENT'S REPORT**

***(The Honorable Clint Lorimore, Chair)***

#### **EXECUTIVE DIRECTOR'S REPORT**

***(Kome Ajise, Executive Director)***

#### **FUTURE AGENDA ITEMS**

#### **ANNOUNCEMENTS**

#### **CLOSED SESSION**

##### CONFERENCE WITH LEGAL COUNSEL - EXISTING LITIGATION

Pursuant to Government Code Section 54956.9(d)(1)

*Orange County Council of Governments v. Gustavo Velasquez, Interim Director of Dept. of Housing and Community Development; California Dept. of Housing and Community Development; Los Angeles County Superior Court Case No. 21STCP01970 [Note: Southern California Association of Governments is named as a "real party in interest"]*

#### **REPORT OF CLOSED SESSION ACTIONS**

#### **ADJOURNMENT**



AGENDA ITEM 1  
REPORT

Southern California Association of Governments  
Remote Participation Only  
September 1, 2021

To: Executive/Administration Committee (EAC)

EXECUTIVE DIRECTOR'S  
APPROVAL

From: Kome Ajise, Executive Director  
(213) 236-1835, Ajise@scag.ca.gov

Subject: June 24 Special EAC Strategic Work Plan Discussion

**RECOMMENDED ACTION:**

Obtain input from the Executive Administration Committee (EAC) to finalize the EAC's Strategic Priorities and associated work plan and progress reporting schedule resulting from the June 24 Special EAC Meeting.

**STRATEGIC PLAN:**

This item supports the following Strategic Plan Goal 1: Produce innovative solutions that improve the quality of life for Southern Californians. 2: Advance Southern California's policy interests and planning priorities through regional, statewide, and national engagement and advocacy.

**EXECUTIVE SUMMARY:**

*With his Presidency beginning in May, President Lorimore's top priority was to convene a strategic planning session for the Executive Administration Committee (EAC) and executive staff to further relationship building and to establish high-level work goals and priorities for integration into SCAG's Strategic Plan update and work planning for the year.*

*On June 24, 2021, a noticed special EAC meeting, consisting of the EAC members and executive staff was held in Riverside at the Mission Inn. Led by President Lorimore, the session was facilitated by a consultant team, HR Dynamics & Performance Management, Inc. In the weeks leading up to the meeting, the consultants conducted one-on-one interviews with EAC members and executive staff, analyzed and compiled the results and provided them to all participants as a discussion tool at the workshop. The results from the interviews were grouped into eight common themes, and from there, a participatory process of both the EAC and executive staff was held and resulted in a list of prioritized high-level priorities/goals for staff to bring back to the EAC for input and feedback. This report outlines the high-level priorities/goals, and the associated staff work plans developed to address them. Staff expects, after receiving feedback from the EAC, to finalize the work plans and share as an information item at the October Regional Council meeting. We expect to report on progress to both the EAC and the RC on a quarterly basis starting in January 2022.*



**BACKGROUND:**

During a strategic work plan discussion led by President Lorimore that was held on June 24, 2021, the EAC and executive staff engaged in a participatory process including a staff presentation consisting of an overview of the organization's structure, major funding sources and trends, as well as its major planning related work activities and milestones expected in the next three years. Both the consultant's final report from the June session and the staff presentation are included as an Appendix to this report.

During the June 24 workshop the group accomplished the following:

1. Established expectations for the workshop and intended outcomes
2. Identified the organization's Strengths, Weaknesses, Opportunities and Threats (SWOT)
3. Identified top priority issues related to organizational development, board/staff communications and relationships, and SCAG vision and purpose
4. Established 10 broad high-level goals/priorities
5. Prioritized the 10 goals/priorities and established rankings within Levels 1-4 based on a voting exercise
6. Referred development of the actions plans and schedule to the Executive Director for the top-priority goals

The eight common themes identified at the beginning of the workshop resulting from the one-on-one interviews with the EAC and Executive Staff were:

1. Resources/Growth/Staff Development/Leadership
2. Roles & Responsibilities/Service Delivery/Execution of Plans/Policies & Procedures
3. Relationships/Trust/Partnerships/Team Building
4. Collaboration/Communication/Priorities/Timelines
5. Leadership in Policy Issues/Advocacy
6. Data Challenges/Enhancements
7. Regionalism/Unity
8. Social Equity/Equity/Housing/Transportation/Technology/ Economic and Environment Changes

At the conclusion of the workshop, the EAC established clear direction and focus for the executive staff related to its goals and priorities and further communicated its desire for staff to blend the results into the future update to SCAG's Strategic Plan and related work planning. It was further intended that this work plan remain a fluid and evolving document to be revisited at regular intervals for progress and re-shifting of priorities, as needed.

Staff expects to start the wholistic update to the Strategic Plan in early 2022. This process will be discussed further with the committee after the consultant has been brought on board. In the meantime, staff propose providing regular periodic updates on progress on the below Priority/Goal areas quarterly. This reporting will begin in January 2022 with a report to both the EAC and the RC.

Staff reviewed the ten priorities/goals developed at the June 24 meeting and synthesized them into four categories of Regional Policy Development, Leadership in Resource Deployment, Legislative Action and Technology/Innovation Leadership below.

Goal #1: To be the leader in resource deployment and convenor of biggest challenges and best practices

Goal #2: To build collaborative relationships with stakeholders on policy issues

Goal #3: To lead in legislative advocacy

Goal #4: To advance clean transportation across Southern California

Goal #5: To be visionaries for infrastructure, and the environment for the next generations

Goal #6: To lead and accelerate housing production across Southern California

Goal #7: To find connectivity in modes of transportation and to be the center of collaboration

Goal #8: To help make local leaders better – leadership development

Goal #9: To be leaders in the roll out of technologies to communities

Goal #10: To be good innovators in our region

### **Priority Area 1: Regional Policy Development—Regional Plan Update**

Connect SoCal, SCAG's Regional Transportation Plan/Sustainable Communities Strategy, provides a regional vision for Southern California's future and establishes the overarching policies and strategies that guide SCAG's regional plans and programs. As staff initiates the process of updating the plan in FY 22, the following goals will be prioritized through the specific actions outlined below.

#### **Goals**

- To build collaborative relationships with stakeholders on policy issues (Goal #2)
- To advance clean transportation across Southern California (Goal #4)
- To be visionaries for infrastructure and the environment for the next generations (Goal #5)
- To find connectivity in modes of transportation and to be the center of collaboration (Goal #7)
- To help make local leaders better – leadership development (Goal #8)

#### **Actions**

1. *Elevate & Expand Policy Leadership*
-

To develop visionary policies to advance the EAC's goals, staff will pursue process improvements that enable elected leaders to more actively engage with each other and staff to set policy direction and lead policy discussions for Connect SoCal. This will include:

- a. Changing the process for agenda development and staffing of Policy Chairs to give policy makers a greater role in agenda setting. Modifying the Regional Council agenda template to provide space for Policy Chair reports for greater awareness and coordination across committees (October 2021)
- b. Creating opportunities for leadership development and mentorship among Chairs and Vice Chairs (Ongoing)
- c. Working with the Executive Officers and the Policy Committee Chairs and Vice Chairs to develop a Policy Development Framework for 2024 Connect SoCal that identifies priority policy issues for deeper discussion and establishes a sub-committee structure for policy education, engagement, and consensus building to guide visionary policy development (March 2022)

## 2. *Strengthen Stakeholder Engagement*

To build collaborative relationships and strengthen stakeholder engagement in regional policy development, staff will:

- a. Provide opportunities to highlight SCAG's work and best practices throughout the region through Board Officer site visits and briefings, including collaboration with existing and new stakeholders and policy makers (Ongoing)
- b. Develop a Stakeholder Engagement Strategy for the 2024 Connect SoCal Plan based on SCAG's updated Public Participation Plan currently under development, including latest technologies, emphasis on equity and increased participation from tribal governments (Due Spring 2022)
- c. Enhance strategic partnerships with members of the business community, including members of SCAG's Global Land-Use & Economic Council (GLUE). Leverage existing and potential SCAG memberships and sponsorships to promote and share SCAG's mission and work (Ongoing)
- d. Host bi-monthly meetings and improve communications with the Executive Directors of the sub-regional councils of governments to improve the flow of information to local jurisdictions and increase local engagement in the plan development process (Ongoing)
- e. Host bi-annual meetings with City Managers to increase engagement in SCAG's planning process and improve awareness of member benefits (Ongoing)

**Priority Area #2: Leadership in Resource Deployment—Connect SoCal Implementation**

Build upon the framework established in the Connect SoCal Implementation Strategy to expand and develop new programs with federal and state resources, including REAP 2021, that advance the following goals:

**Goals**

- To be the leader in resource deployment and convenor of biggest challenges and best practices (Goal #1)
- To lead and accelerate housing production across Southern California (Goal #6)
- To be leaders in the roll out of technologies to communities (Goal #9):
- To be good innovators in our region (Goal #10)

**Actions****1. *Pursue REAP 2021 Resources (January 2022)***

Established as a part of AB 140 for the FY 21-22 state budget, approximately \$600 million is available statewide for the Regional Early Action Planning Grant Program for 2021 (REAP 2021). The SCAG region's formula share is estimated to be \$246 million, of which an initial allocation of 10 percent of funds are available starting January 1, 2022. In October, staff will prepare a REAP 2021 Program Development Framework for review by the Policy Committees that is based upon the above Leadership in Resource Deployment goals, supports implementation of Connect SoCal, and can be delivered within the funding constraints of the program. Staff will then prepare an application for funding based on the Program Development Framework for EAC consideration in November and Regional Council approval in January 2022.

**2. *Accelerate deployment of general plan development tools. (Due Spring 2022)***

Complete the first phase of the Regional Data Platform focused on the development and deployment of general plan development tools. Pursue additional resources including as part of REAP 2021 to leverage the capacity of RDP to advance the Leadership in Resource Deployment goals.

**Priority Area #3: Legislative Action**

Develop more targeted legislative strategies focused on key policy initiatives. Augment and amplify SCAG's legislative advocacy program to include taking stronger positions on pending legislative bills and SCAG sponsored legislation.

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**Goals**

- To lead in legislative advocacy (Goal #3)

Under the leadership of the Legislative/Communications and Membership Committee (LCMC), and within the parameters of the Regional Council adopted Legislative Platform, be courageous in adopting formal positions that express the Southern California region's legislative concerns, identify legislative solutions to regional challenges that affect SCAG's member agencies and stakeholders, and sponsor legislation to secure the tools needed to achieve state and federal transportation and climate goals.

*Areas of consideration for focus include, but are not limited to, the following:*

**State:**

- Regional Housing Needs Assessment (RHNA) Reform – While incorporating the lessons learned from SCAG's 2021 RHNA process, participate in HCD's RHNA "Reform" Committee and identify legislative solutions that will improve future cycles of the RHNA.
- Senate Bill (SB) 375 Reform – Participate and heavily engage in legislative efforts that would update and modernize the state's SB 375 Program, which establishes the regional GHG reduction and SCS processes.
- Housing Production Incentives – In the prolonged absence of redevelopment, continue to advocate for state-supported tools that enable local agencies to implement their housing programs, according to their local visions.
- Brown Act Reform – Support the modernization of the Brown Act to increase public participation and support the wide-spread adoption of virtual meetings and other technologies.

**Federal:**

- Federal Reauthorization Implementation – Monitor, apply, and advocate for funding opportunities that support SCAG programs and regional projects that implement Connect SoCal.
- Southern California Freight-Goods Movements – Monitor, apply, and advocate for initiatives that maximize the Southern California region's share of federal funding opportunities that support our region's freight and goods movement sector.

**Actions**

1. Dedicated policy discussion on the LCMC agenda to discuss and develop potential legislative efforts, such as the RHNA Reform or Brown Act Reform policy framework (Due September and November 2021)

2. Create regional consensus on legislative bill language based on selected policy or policies (Due in Jan 2022)
3. With the assistance of SCAG's lobbying teams, conduct legislative advocacy meetings with the chairs and members of the committees of jurisdiction over the identified and chosen SCAG legislative ideas/frameworks (November and December 2021)
4. Identify legislative sponsor(s) to introduce legislation (January 2022)
5. Develop strategy to engage SCAG members and broader stakeholders to create a robust coalition in support of SCAG's legislative ideas (April 2022)
6. SCAG staff representation in HCD's RHNA reform efforts (Ongoing)
7. Convene regional partners to identify and pursue federal funding opportunities for regionally significant infrastructure projects (Monthly)
8. Leverage membership organizations, such as Coalition for America's Gateways and Trade Corridors and the Regional Broadband Consortium, to influence guidance for funding opportunities (Ongoing)

#### **Priority Area #4: Technology/Innovation Leadership**

Create environment for sharing of innovation to advance work in local and regional planning to address the pressing issues facing the region. By providing a forum for innovation sharing, SCAG leads the region in advancing the adoption of effective and efficient technologies for improving mobility, sustainability, and equity.

#### **Goals**

- To be leaders in the roll out of technologies to communities (Goal #9)
- To be good innovators in our region (Goal #10)

#### **Actions**

Work with Policy Committees and the Emerging Technologies Committee (ETC) to provide the best available information and tools to our member jurisdictions, including completing activities and promoting lessons learned from the Future Communities Initiative. SCAG must also lead by adopting and using the best available technology in areas of our work.

1. *Implement and Continue to Refine Broadband Work Plan (Ongoing)*

Continue work to implement Resolution No. 21-629-2, which pledges SCAG to assist in bridging the digital divide in underserved communities. The Transportation Committee and Regional Council will receive a report on progress in September and provide guidance on next steps.

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2. *Launch Regional Data Platform (Fall 2021)*

The Regional Data Platform (RDP) will provide a modern system for holistic planning across the region, fostering a more sustainable and equitable future for Southern California. The RDP will launch this fall providing a robust system for regional data sharing and collaboration in addition to providing long-range planning tools to all member agencies to facilitate better planning at all levels.

3. *Share Best Practices from Future Communities Pilot Program (Due June 2022)*

In 2018, SCAG and the Mobile Source Air Pollution Reduction Committee (MSRC) made available a new \$2.7 million grant opportunity that would allow local cities and counties to implement new technology and data solutions, while also reducing vehicle miles traveled (VMT) and implementing SCAG's 2016 Regional Transportation Plan/Sustainable Communities Strategy. As pilots conclude this year, SCAG will develop a report and share best practices to support policy development, improve processes for government service provision, and enhance innovative engagement practices with private sector mobility providers.

4. *Agile IT Phase 2 (Due March 2022)*

Continue work to upgrade and modernize SCAG information technology infrastructure, GIS tools, and internal systems to provide staff the most reliable, resilient, and productive technologies for work across the region.

**FISCAL IMPACT:**

None identified at this time. The work included in the 2021/22 work plan is budgeted, however, during the year staff may bring back necessary amendments to the budget to address the needs related to the Priority Areas.

**ATTACHMENT(S):**

1. Strategic Work Plan Discussion Workshop Consultant Report
2. PowerPoint Presentation from June 24 Special EAC Meeting

# SOUTHERN CALIFORNIA ASSOCIATION OF GOVERNMENTS



## STRATEGIC WORKPLAN DISCUSSION WORKSHOP

FINAL SUMMARY REPORT  
JUNE 24, 2021





**Southern California Association of Governments (SCAG)  
Strategic Work Plan Discussion Workshop**

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**Attachment: Strategic Work Plan Discussion Workshop Consultant Report (June 24 Special EAC Strategic Work Plan Discussion)**

**STRATEGIC WORK PLAN DISCUSSION ATTENDEES**

**June 24, 2021**

**Executive Staff in Attendance:**

Kome Ajise, Executive Director  
Darin Chidsey, Chief Operating Officer  
Michael Houston, Chief Counsel/Director of Legal Services  
Javiera Cartagena, Acting Director of Policy and Public Affairs  
Debbie Dillon, Chief Strategy Officer  
Carmen Flores, Director of Human Resources  
Sarah Jepson, Director of Planning

**Executive Committee in Attendance:**

Honorable Clint Lorimore, Executive Committee Chair, City of Eastvale  
Honorable Sean Ashton, City of Downey  
Honorable Art Brown, City of Buena Park  
Honorable Jan C. Harnik, City of Palm Desert  
Honorable Peggy Huang, City of Yorba Linda  
Mr. Randall Lewis, Lewis Group of Companies  
Honorable Jorge Marquez, City of Covina  
Honorable Andrew Masiel, Pechanga Band of Luiseno Indians  
Honorable Larry McCallon, City of Highland  
Honorable David Pollock, City of Moorpark  
Honorable Rex Richardson, City of Long Beach  
Honorable Cheryl Viegas-Walker, City of El Centro  
Honorable Donald P. Wagner, County of Orange  
Honorable Alan D. Wapner, City of Ontario  
Honorable Frank Yokoyama, City of Cerritos

**Facilitated by:**

Henry T. Garcia, Principal Consultant  
Rhonda D. Strout-Garcia, Principal Consultant  
HR Dynamics & Performance Management, Inc.

## EXECUTIVE SUMMARY

The Southern California Association of Governments, or SCAG, is the metropolitan planning organization for one of the largest and most diverse regions in the world, with a unique combination of languages, ethnicities and cultures. The six-county region spans 38,000 square miles, 191 cities and a population of 19 million and counting for over 50 years of significant growth and change, SCAG has developed long-range transportation and land use plans that have helped Southern California thrive.

On June 24, 2021, the Executive Committee and Executive Staff participated in a planning session led by President Clint Lorimore. The meeting took place at the Mission Inn in Riverside from 10:00 a.m. until 4:00 p.m.

The purpose of the Strategic Work Plan Discussion Workshop was to identify common themes, and high-level strategic work goals/priorities for integration into SCAG's Strategic Plan and related planning documents.

During the work shop, the Executive Committee engaged in a participatory process along with the Executive Team in which they accomplished the following:

1. Established expectations for the work shop and intended outcomes
2. Identified the organization's Strengths, Weaknesses, Opportunities and Threats (SWOT)
3. Identified top priority issues to be addressed related to organizational development, board/staff communications and relationships, and SCAG vision and purpose
4. Established ten (10) broad high level goals/priorities
5. Prioritized the ten (10) goals/priorities and established rankings within Level 1, Level 2, Level 3, and Level 4 based upon a voting exercise
6. Referred development of the action plans and schedule to the Executive Director for the top-priority goals

During the workshop, a group voting process was utilized in order for the Executive Committee to identify the key priorities, and to provide for stratification of the goals into four (4) levels:

**Level 1** – includes the selection of one (1) first level (red) priority goal. A total of three (3) "Level 1" goals were identified.

**Level 2** - includes the selection of one (1) second level (blue) priority goals. A total of two (2) "Level 2" goals were identified.

**Level 3** - includes the selection of one (1) third level (green) priority goals. A total of three (3) "Level 3" goals were identified.

**Southern California Association of Governments (SCAG)  
Strategic Work Plan Discussion Workshop**

**Level 4** - includes the unranked goals. A total of two (2) “Level 4” goals were identified.

As progress is made with Level 1, 2, and 3 goals, additional goals may be introduced into the plan and discussed further with the Executive Committee.

At the conclusion of the work shop, the Executive Committee had effectively established clear direction and focus for the Executive Team related to goals and priorities. The Executive Committee clearly communicated its desire and intent to blend the results of this discussion with the future update to the SCAG Strategic Plan and related planning documents. It was further intended that this plan remain a fluid and evolving document to be re-visited at regular intervals for progress and re-shifting of priorities, as needed.

Attached to this summary are the suggested work sheet (templates) for use in developing the action plans. Upon completion of the draft action plans prepared by staff, it is further recommended that the plans be presented back to the Executive Committee as a further step in the process to ensure that plans and timelines meet with the intended expectations. It should be noted that some of the goals/priorities identified may translate into resource needs that may be incorporated into future budgets.

We understand that SCAG staff plans to conduct a broader update to the Strategic Plan later this year and suggest that a regular formal review of the plan elements occur periodically, in which progress may be measured, and new or shifting priorities addressed.

We would like to thank President Lorimore, the Executive Committee, and the Executive Director Kome Ajise for the opportunity to assist SCAG in this important endeavor and for the outstanding team work that provided for a positive and productive day. We look forward to the opportunity to partner with the SCAG in the future.

Respectfully,

*Henry & Rhonda*

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## EXPECTATIONS

The SCAG Executive Committee and Executive Staff expressed the following expectations related to the strategic work plan discussion, and their desired outcomes for the day:

- To discuss a shared vision
- To be energized
- To seek clarity and vision/who we are
- To develop relationships
- To listen, learn and have fun
- To align goals with our values
- To discuss what SCAG is and should be
- To define priorities
- To hear from a new group of members
- To have candid conversations
- To have fun
- To have honest conversation and meaningful dialogue
- To seek clarity; and horizontal and vertical integration of plans and priorities
- To have robust discussions
- To come together in setting goals for the strategic plan
- To clarify our short term goals
- To have a sense of mission
- To create better communications between staff and board
- To have a clear vision for staff
- To be challenged in thinking outside the box
- To develop and discuss what we are and what we are going to do

## INTERVIEW RESULTS/COMMON THEMES

The SCAG Executive Committee and Executive Staff participated in one-on-one interviews with the facilitator prior to the June 24<sup>th</sup>, 2021 Strategic Work Plan Discussion Workshop.

The interview results were compiled and analyzed, and provided to all participants as a discussion tool at the work shop. The results of the analysis identified the following common themes. These themes represent “broad categories” which serve as headers for the groupings that encompass the detailed feedback/comments received during the interviews. The interview results document may be referred to for specific details in support of each theme.

### COMMON THEMES

- Resources/Growth/Staff Development/Leadership
- Roles & Responsibilities/Service Delivery/Execution of Plans/Policies & Processes
- Relationships/Trust/Partnerships/Team Building
- Collaboration/Communication/Priorities/Timelines
- Leadership in Policy Issues/Advocacy
- Data Challenges/Enhancements
- Regionalism/Unity
- Social Equity/Housing/Transportation/Technology/Economic and Environmental Challenges

**SWOT ANALYSIS – STRENGTHS, WEAKNESSES, OPPORTUNITIES, THREATS**

**STRENGTHS**

- Our size, as 5<sup>th</sup> largest state
- Innovation
- Forward thinking
- Courage
- “Food”
- Partnerships
- Educational opportunities
- Relationships among the regional council members
- Executive regulatory mandates
- Geography
- Economic power

**SWOT ANALYSIS – STRENGTHS, WEAKNESSES, OPPORTUNITIES, THREATS**

**WEAKNESSES**

- Data
- Membership/Regional Council
- Staff
- Diversity
- Influencer/Leaders
- Resources
- Credibility
- Mission
- 19M people/power for elections
- Need for stronger advocacy
- Lack of communication
- Lack of continuity
- Sub-regional differences
  - Los Angeles vs. the rest of the region
  - Lack of cohesion
- Lack of time to have robust policy discussions
- Lack of understanding between staff/policy makers
  - What are the roles and responsibilities
  - New staff turnover
- Too many mandates from Sacramento
  - One size does not fit all
  - Lack of flexibility
- Too much workload/not enough resources
- Communication of what we (SCAG) do and what is SCAG
  - Articulate out to the public and electeds
- Haven't told the story of where we've been and where we're going
- Broken relationships in the region



**SWOT ANALYSIS – STRENGTHS, WEAKNESSES, OPPORTUNITIES, THREATS**

**OPPORTUNITIES**

- Leadership in housing
- Leadership in economic recovery
- Leadership in transportation
- To serve as a resource to member agencies
- To outreach to SANBAG
- To be a convenor including consideration of how to retain the high-levels of engagement achieved during the pandemic as a result of the ease of remote participation
- To re-engage with partners
- To explore resources from State and Federal governmental agencies
- To engage in advocacy related to legislation; and to sponsor/advocate legislation
- To harness the power of the large region
- To inspire/empower staff
- To optimize technology for engagement
- To reimagine future goods movement
- To ensure equity across the region

**SWOT ANALYSIS – STRENGTHS, WEAKNESSES, OPPORTUNITIES, THREATS**

**THREATS**

- NIMBY challenges
- Meeting structure
- More advocacy/engagement
- Southern California delegation
- Political threats
- Tight labor market for staffing resources
- Lack of engagement by Committee
- Need for acknowledging differences, opinions, and points of view; and need to come together
- Victim of our own success; more work in the pipeline
- Timing of decision making of the Policy Committee/Regional Council (e.g. same day)

**SCAG ORGANIZATIONAL DEVELOPMENT – EXECUTIVE STAFF AND  
EXECUTIVE COMMITTEE**

The SCAG Executive Committee and Executive Staff engaged in a robust conversation as a precursor to the goal setting exercise. In this discussion, the Executive Committee expressed the need and desire to conduct further organizational development work that would address the following:

- To talk about “big picture” ideas and to understand them as they relate to the vision of SCAG
- To have a fundamental discussion of what we want SCAG to be; the roles and responsibilities; and the meeting structure and format
- To address issues in sub-regions
- To manage relationships and viewpoints
- To differentiate between mandatory and discretionary items (mission creep)
- To ensure SCAG advocacy for sub-regions
- To heal the divide between the Executive Committee and Staff providing for re-unification; to improve and increase communications between the Executive Committee and staff to ensure clear expectations and accountability to policy-makers
- To build trust and relationships through increase communication
- To address internal operational issues
- To get everyone on the Executive Committee engaged in policy discussions

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**GOALS/PRIORITIES**

Priority Level	Priority	Goal #	Goal
1	RL, AB, LM, RR, CVW, JM	1	To be the leader in resource deployment and convener of biggest challenges and best practices.
1	DW, AW, SA, RL, DP, AW, FY, LM, AM, PH, AW, LM	2	To build collaborative relationships with stakeholders in policy issues.
1	AM, PH, FY, CL, DW, SA, RL, CVW	3	To lead in legislative advocacy.
2	JM, AB, DP, CL	4	To advance clean transportation across Southern California. *
2	DP, AM, CVW, PH, DW	5	To be visionaries for infrastructure, and the environment for the next generations.
3	JM, CL, RR	6	To lead and accelerate housing production across Southern California.
3	SA, AB	7	To find connectivity in modes of transportation and to be the center of collaboration. *
3	RR, FY	8	To help make local leaders better - leadership development.
4	No Votes	9	To be leaders in the roll out of technologies to communities.
4	No Votes	10	To be good innovators in our region.

\*Note that goals #4 and 7 may be considered for consolidation.



# ACTION PLANS

**ACTION PLANS**

**ORGANIZATIONAL DEVELOPMENT**

<b><u>TOP PRIORITY:</u></b> Organizational development and board/staff communications and relationships; SCAG vision and purpose.		
<b>Actions:</b>	<b>Responsible:</b>	<b>Time Frame:</b>

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**ACTION PLANS**

PRIORITIES

<b><u>PRIORITY LEVEL #1</u></b>		
<b>Goal #1:</b> To be the leader in resource deployment and convenor of biggest challenges and best practices.		
<b>Actions:</b>	<b>Responsible:</b>	<b>Time Frame:</b>

Attachment: Strategic Work Plan Discussion Workshop Consultant Report (June 24 Special EAC Strategic Work Plan Discussion)

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**ACTION PLANS**

<b><u>PRIORITY LEVEL #1</u></b>		
<b>Goal #2:</b> To build collaborative relationships with stakeholders in policy issues.		
<b>Actions:</b>	<b>Responsible:</b>	<b>Time Frame:</b>



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**ACTION PLANS**

<b><u>PRIORITY LEVEL #1</u></b>		
<b>Goal #3:</b> To lead in legislative advocacy.		
<b>Actions:</b>	<b>Responsible:</b>	<b>Time Frame:</b>

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**ACTION PLANS**

<b><u>PRIORITY LEVEL #2</u></b>		
<b>Goal #4:</b> To advance clean transportation across Southern California.		
<b>Actions:</b>	<b>Responsible:</b>	<b>Time Frame:</b>

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**ACTION PLANS**

<b><u>PRIORITY LEVEL #2</u></b>		
<b>Goal #5:</b> To be visionaries for infrastructure, and the environment for the next generations.		
<b>Actions:</b>	<b>Responsible:</b>	<b>Time Frame:</b>

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**ACTION PLANS**

<b><u>PRIORITY LEVEL #3</u></b>		
<b>Goal #6:</b> To lead and accelerate housing production across Southern California.		
<b>Actions:</b>	<b>Responsible:</b>	<b>Time Frame:</b>

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**ACTION PLANS**

<b><u>PRIORITY LEVEL #3</u></b>		
<b>Goal #7:</b> To find connectivity in modes of transportation and to be the center of collaboration.		
<b>Actions:</b>	<b>Responsible:</b>	<b>Time Frame:</b>

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**ACTION PLANS**

<b><u>PRIORITY LEVEL #3</u></b>		
<b>Goal #8:</b> To help make local leaders better – leadership development.		
<b>Actions:</b>	<b>Responsible:</b>	<b>Time Frame:</b>

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**ACTION PLANS**

<b><u>PRIORITY LEVEL #4</u></b>		
<b>Goal #9:</b> To be leaders in the roll out of technologies to communities.		
<b>Actions:</b>	<b>Responsible:</b>	<b>Time Frame:</b>

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**ACTION PLANS**

<b><u>PRIORITY LEVEL #4</u></b>		
<b>Goal #10:</b> To be good innovators in our region.		
<b>Actions:</b>	<b>Responsible:</b>	<b>Time Frame:</b>

Attachment: Strategic Work Plan Discussion Workshop Consultant Report (June 24 Special EAC Strategic Work Plan Discussion)





# INTERVIEW RESULTS SUMMARY

**SCAG STRATEGIC WORK PLAN DISCUSSION - INTERVIEW QUESTIONS**  
**EXECUTIVE COMMITTEE AND EXECUTIVE STAFF**  
**SUMMARY DOCUMENT**

<b>1. WHAT ARE THE <u>TOP FIVE CHALLENGES</u> SCAG IS FACING?</b>	
<b>Executive Staff Responses</b>	<b>Executive Committee Responses</b>
<p><b><u>Resources/Growth/Staff Development</u></b></p> <ul style="list-style-type: none"> <li>• SCAG has grown quickly</li> <li>• Resources have multiplied</li> <li>• Successfully managing resources</li> <li>• Ambitious organization, we’re doing a lot with a small workforce</li> <li>• Finding the right staffing</li> <li>• The State has allocated more resources which equals a challenge for us, lots of growth and SCAG needs to keep up with that</li> <li>• Resources for infrastructure improvements</li> <li>• Organization is growing rapidly</li> <li>• Lack of bodies to do the work</li> <li>• Developing internal leaders is important</li> <li>• Working too quickly to modernize the agency</li> <li>• There is a shift in the nature of our work, it is just not planning, but also includes implementation and monitoring now</li> <li>• Funding initiatives/create layers of opportunities</li> <li>• Robust funding strategy; do we have the capacity aligned with the funding</li> </ul>	<p><b><u>Resources/Growth/Staff Development</u></b></p> <ul style="list-style-type: none"> <li>• We have ambitious goals – how do we get there</li> <li>• Need to identify resources that unify SCAG and the entire regions</li> </ul>

Attachment: Strategic Work Plan Discussion Workshop Consultant Report (June 24 Special EAC Strategic Work Plan Discussion)

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<u>Executive Staff Responses</u>	<u>Executive Committee Responses</u>
<p data-bbox="212 338 565 369"><u>Processes/Policies/Advocacy</u></p> <ul data-bbox="250 426 799 638" style="list-style-type: none"><li>• Efficiencies of policies and procedures</li><li>• Improving our policies and procedures</li><li>• Processes and policies have not caught up to scale</li><li>• How do we manage our policy issues between staff and the elected officials</li></ul>	<p data-bbox="829 338 1182 369"><u>Processes/Policies/Advocacy</u></p> <ul data-bbox="867 426 1416 1213" style="list-style-type: none"><li>• Right now we are less policy driven; more staff driven; not much discussion – we should revisit this topic</li><li>• Provide business/labor input into SCAG’s policies</li><li>• RTPSCS needs robust discussion to occur on policy issues as they’re being developed for 2024</li><li>• Need to be more influential in Sacramento (e.g. get ahead of policies passed down to us)</li><li>• We need to be an advocate for Southern California when dealing with Sacramento (not Sacramento’s policies to Southern California)</li><li>• Better representation in Sacramento; we need people that represent us</li><li>• Working with State and National legislators to help them better understand the complexities of our region</li><li>• Erosion of local control</li></ul>

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<u>Executive Staff Responses</u>	<u>Executive Committee Responses</u>
<p data-bbox="212 338 683 369"><b><u>Expectations/Role and Responsibilities</u></b></p> <ul data-bbox="250 426 797 856" style="list-style-type: none"><li>• Nature of SCAG/with stakeholders and the region can be challenging to accomplish goals</li><li>• Right-sizing our new roles</li><li>• Expectations from our membership</li><li>• More resources equals more expectations</li><li>• Ambitions work plan with various funding sources present challenges for staff to accomplish</li><li>• How are we as an organization mandated to implement State items</li></ul>	<p data-bbox="829 338 1317 369"><b><u>Expectations/Roles and Responsibilities</u></b></p> <ul data-bbox="867 426 1414 1287" style="list-style-type: none"><li>• Need to legitimize the Executive Committee</li><li>• SCAG power transition process is not planned well</li><li>• The first vice president and second vice president should collaborate in advance of retreats in order to be on the same page</li><li>• How does SCAG stay to its original intent while looking at new dynamics in Southern California</li><li>• How can one organization be all things to everyone; are we too big?</li><li>• The public needs a better understanding of SCAG and their roles and responsibilities</li><li>• Challenge Sacramento on policy and legislative issues</li><li>• How to preserve our historical knowledge and awareness with our long range planning initiatives</li><li>• Entire approach of SCAG is daunting; it is a big area; find an equitable division of what the service areas are</li></ul>

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<u>Executive Staff Responses</u>	<u>Executive Committee Responses</u>
<p><b><u>Relationships, Trust, Collaboration, and Communication on Priorities</u></b></p> <ul style="list-style-type: none"> <li>• Build a stronger culture of trust</li> <li>• Personal relationships were hard during COVID</li> <li>• Understanding SCAG’s priorities/access to priorities and how to communicate those back to staff are challenging</li> <li>• Look at our work plan and objectives and develop our priorities</li> <li>• Lack of prioritization</li> <li>• We have a huge broad, growing scope of work; it is challenging to get the Board up to speed on content (the leadership is constantly changing)</li> <li>• Need to prioritize our topics and issues</li> <li>• Large region and policy making body staying together to achieve SCAG’s mission and vision requires consensus and support</li> <li>• Implementing a large number of operational initiatives while doing the work plan</li> </ul>	<p><b><u>Relationships, Trust, Collaboration, and Communication on Priorities</u></b></p> <ul style="list-style-type: none"> <li>• Regain trust from the members of SCAG</li> <li>• Trust issues between staff and elected officials</li> <li>• Regaining trust/support of member cities</li> <li>• Address internal division within its membership</li> <li>• SCAG/transparent process with all the committees</li> <li>• We have let things devolve which can lead to trust issues</li> <li>• Credibility</li> <li>• Building levels of trust and cooperation</li> <li>• SCAG driven versus elected driven is an issue</li> <li>• Collaboration is important</li> <li>• Consensus building is important</li> <li>• SCAG is driven by 1) staff, 2) LA County; concerns for other jurisdictions not always aligned with LA County</li> <li>• How to address disengagement, and disassociation; we have lost some of our interpersonal relationships</li> <li>• How to collaborate between policy chairs and executive officers</li> <li>• Lack of alignment between regions and SCAG’s over-all priorities</li> <li>• Trying to build consensus is a challenge</li> <li>• How we work with our partners better (e.g. developers)</li> <li>• Repairing relationships broken with our private sector partners and sub-regions within SCAG; bring everyone to the table</li> </ul>

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<u>Executive Staff Responses</u>	<u>Executive Committee Responses</u>
<p><b><u>Regionalism/Unity</u></b></p> <ul style="list-style-type: none"> <li>• General political discourse and how does it play out</li> <li>• Inequities of our society, how do we solve these problems</li> <li>• How to keep the SCAG region together; there have been some fractions in each County</li> <li>• Regionalism isn't easy</li> <li>• Regionalism versus the fight for local control</li> <li>• Business community support for regional planning policy that improves all of Southern California</li> </ul>	<p><b><u>Regionalism/Unity</u></b></p> <ul style="list-style-type: none"> <li>• How to change the thinking from my sub-region to the over-all region</li> <li>• It is better to understand each other's region – how we differ and how we connect</li> <li>• Better understanding of what the issues truly are</li> <li>• Balancing the importance of each region</li> <li>• Respect our partners/colleagues and get on a common ground</li> <li>• Getting on the same page</li> <li>• Represent diverse regions/haves and have not – we need to bring people together</li> <li>• Create a platform where big/small areas can come together</li> <li>• Challenge is how areas have an equal voice</li> </ul> <ul style="list-style-type: none"> <li>• Unify SCAG in a better way for commonalities</li> <li>• Identify our top 5 challenges/utilize our electeds as a strong resource to unify our region</li> </ul>
	<b><u>Executive Committee Responses</u></b>

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<p style="text-align: center;"><b><u>Executive Staff Responses</u></b></p> <p><b><u>Social Equity, Housing, Transportation, Technology, Economic, and Environmental Challenges</u></b></p> <ul style="list-style-type: none"><li>• Environmental concerns</li><li>• Housing crisis</li><li>• Moving economic recovery forward</li><li>• Dealing with broadband issues; closing the digital divide</li></ul> <p><b><u>Data Challenges</u></b></p> <ul style="list-style-type: none"><li>• With the mood of the nation politically, we deal with data challenges, philosophies are different and interpreting the data has become challenging</li><li>• Data governance; who controls it; how to share it</li><li>• Politicization of the data/what issues are technical/what issues are regional versus local dynamics</li><li>• There is value in the data; it can be challenging in how it is used, it needs to be carefully curated</li></ul>	<p><b><u>Social Equity, Housing, Transportation, Technology, Economic, and Environmental Challenges</u></b></p> <ul style="list-style-type: none"><li>• Social equity/diversity – resolving what will be SCAG’s position going forward – this will be important to discuss</li><li>• Philosophical divide on housing issues</li><li>• RHNA numbers are our biggest challenges</li><li>• RHNA funding/how do you pay for it/how do you do it where you don’t freeze housing production</li><li>• The RHNA experience was not a good one</li><li>• RHNA/Housing issues; this was not a good conversation, rather it was a “this is how we are going to do it conversation” (needs to be policy driven)</li><li>• The housing topic (RHNA)</li><li>• Housing/affordability challenges</li><li>• Housing is a challenge</li><li>• Homeless issues</li><li>• Homelessness needs to be addressed as a State/County concern</li><li>• Transit oriented development</li><li>• Transportation</li><li>• Mitigating truck traffic created from the movement of goods and local distribution centers</li><li>• Mitigating economic impacts and loss of sales tax revenue associated with the growth of E-Commerce regarding local cities</li><li>• Long-term economic impacts of COVID</li></ul>
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<b>2. WHAT IS THE ONE THING <u>SCAG'S EXECUTIVE COMMITTEE SHOULD BE FOCUSING ON?</u></b>	
<b>Executive Staff Responses</b>	<b>Executive Committee Responses</b>
<p><b><u>Leadership/Policy</u></b></p> <ul style="list-style-type: none"> <li>• Maintaining cohesion/direction of the Regional Council by providing leadership to implement goals</li> <li>• Leadership development; a new core set of leaders who can help guide SCAG staff</li> <li>• Leading the region to achieve SCAG's mission and vision</li> </ul> <p style="text-align: center;"><b><u>Executive Staff Responses</u></b></p>	<p><b><u>Leadership/Policy</u></b></p> <ul style="list-style-type: none"> <li>• We need to come together to really lead; we need to listen, communicate, and resolve the issues</li> <li>• SCAG leadership</li> <li>• Leadership should set the policy; staff should execute the policy; we need to leverage the knowledge and skills the Executive Committee has in an appropriate way</li> <li>• Maintain leadership and stay forward looking on long-term plans</li> <li>• Focusing staff and the Board on getting back to the nuts and bolts of SCAG; be on the same page; focus on what we can do</li> <li>• Developing policy recommendations to the Regional Council</li> <li>• The Committee needs to react quickly to the issues</li> <li>• The Committee needs to be representative of the entire body</li> <li>• Have future leadership ready to continue the plan</li> <li>• We need credibility</li> <li>• Building strength and power</li> </ul> <p style="text-align: center;"><b><u>Executive Committee Responses</u></b></p>



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<p><b><u>Collaboration/Communication/Prioritization</u></b></p> <ul style="list-style-type: none"> <li>• How to bring the region together/focused conversation – what is regionalism – how to bring in new officials into the conversation</li> <li>• Focus on how we collaborate to tackle issues</li> <li>• Develop a common approach to solutions</li> <li>• How to allow for continuity and how to prioritize the work SCAG is doing</li> <li>• Bridging the gap on how we can make our electeds more advocates of SCAG priorities</li> <li>• How do we connect SCAG to all the regions</li> </ul>  <p><b><u>Social Equity, Housing, Transportation, Technology, Economic, and Environmental Challenges</u></b></p> <ul style="list-style-type: none"> <li>• The housing crisis</li> <li>• Transportation issues; finding alternate ways to travel</li> </ul>	<p><b><u>Collaboration/Communication/Prioritization</u></b></p> <ul style="list-style-type: none"> <li>• Ensuring more discussions at the policy level occur in a timely manner to make good decisions</li> <li>• We need to <i>not</i> have a sense of “hurry”; statutory deadlines is the general feedback we get from staff</li> <li>• Proactive in assisting local jurisdictions with SCAG’s initiatives</li> <li>• Getting everybody on the same page; we all have to work together</li> <li>• Get a focused mission</li> <li>• Better communications with the Executive Director</li> <li>• Looking for common interests; try to have a common voice</li> <li>• Repairing relationships</li> <li>• Executive Committee needs communication with Executive Director</li> </ul>  <p><b><u>Social Equity, Housing, Transportation, Technology, Economic, and Environmental Challenges</u></b></p> <ul style="list-style-type: none"> <li>• Focus on the big issues in the region (e.g. housing and transportation)</li> <li>• Proactive evaluation/engagement in climate change; active transportation; congestion pricing topics</li> <li>• Focusing on transportation planning; we get distracted sometimes</li> <li>• Creating a platform which Southern California can enter the post-COVID environment</li> <li>• Economic recovery after the pandemic</li> <li>• Growth control challenges</li> <li>• Environmental topics</li> <li>• We should champion legislation that would incentivize cities to produce housing; existing methods are directives for housing (e.g. not a system of penalties)</li> <li>• Healthcare</li> <li>• Education issues</li> </ul>
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<b>3. WHAT IS THE ONE THING <u>SCAG'S STAFF</u> SHOULD BE FOCUSING ON?</b>	
Executive Staff Responses	Executive Committee Responses
<p><b><u>Leadership/Policy</u></b></p> <ul style="list-style-type: none"> <li>• Developing the right policies and procedures</li> </ul>	<p><b><u>Leadership/Policy</u></b></p> <ul style="list-style-type: none"> <li>• Recognize SCAG staff <i>receives</i> policy direction from the committees</li> <li>• Focusing on the fact that staff is not the policy makers; give the Regional Council the pros and cons and let them make the policy decision</li> <li>• The Executive Committee and Regional Council are the policy makers</li> <li>• Understanding policy makers more; team building with staff and the Executive Committee</li> <li>• More communication/open dialogue on policy issues</li> <li>• Need to be focused on succession planning</li> <li>• Can staff be realistic before they formulate a policy opinion to the Board</li> <li>• Look at the differences and policy views of the SCAG body</li> <li>• We have a good staff; what they do for Los Angeles they should do for other regional area</li> </ul>
<b><u>Executive Staff Responses</u></b>	

**Southern California Association of Governments (SCAG)  
Strategic Work Plan Discussion Workshop**

<p><b><u>Communication/Priorities/Timelines</u></b></p> <ul style="list-style-type: none"><li>• Better communication with the Board</li><li>• To be more strategic on developing priorities</li><li>• What does the region want, and what does the Board want</li></ul> <p><b><u>Data</u></b></p> <ul style="list-style-type: none"><li>• Bring the best data and alternatives for the policy members to consider</li><li>• Better job of gathering data for the communities we are serving</li></ul> <p style="text-align: center;"><b><u>Executive Staff Responses</u></b></p>	<p style="text-align: center;"><b><u>Executive Committee Responses</u></b></p> <p><b><u>Communication/Priorities/Timelines</u></b></p> <ul style="list-style-type: none"><li>• Better communication</li><li>• Better timelines</li><li>• No rushing of items at the last minute</li><li>• Staff – make sure there is enough time so that policy makers can make good decisions</li><li>• Staff should think more strategically about what they say and do and the consequences for the Board</li><li>• Inability to respond to Board members’ questions; staff should be more prepared for the meetings</li><li>• Be better at communicating</li><li>• Serious conversation about work/life balance</li></ul> <p><b><u>Data</u></b></p> <ul style="list-style-type: none"><li>• Good data needs to be provided for our partners and our SCAG members</li></ul>
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**Southern California Association of Governments (SCAG)  
Strategic Work Plan Discussion Workshop**

<p><b><u>Service Delivery/Alignment of Resources</u></b></p> <ul style="list-style-type: none"><li>• Getting resources out to the appropriate regions</li><li>• Focus on providing creative opportunities that give more value to the member agencies</li><li>• Making ourselves the best run organization we can be</li><li>• Finding the right resources</li><li>• Focus on sustainability in doing work that is technical with the agility to do the work on the problem of the day (e.g. how do we do important planning work for the agency)</li><li>• Aligning capacity with growing funding opportunities</li><li>• Providing the Board with solid staff work to assist them with leading the region to achieve SCAG’s mission and vision</li></ul>	<p style="text-align: center;"><b><u>Executive Committee Responses</u></b></p> <p><b><u>Relationships/Partnerships</u></b></p> <ul style="list-style-type: none"><li>• Help build better partnerships with our private partners; bring them into the fold</li><li>• Staff needs to start a process of healing and unity</li><li>• Relationships and accountability</li><li>• Better working relationships between staff and SCAG membership</li><li>• How to get more SCAG visibility with the SCAG membership</li></ul> <p><b><u>Social Equity, Housing, Transportation, Technology, Economic, and Environmental Challenges</u></b></p> <ul style="list-style-type: none"><li>• Transportation planning</li><li>• Climate change should be taken seriously; electrify as much as possible</li><li>• Develop a new approach to housing; create a way in which local cities can work with the State so that future housing numbers can be realistic; refine the methodology for housing</li></ul>
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<b>4. WHAT ARE SOME OF YOUR <u>GOALS, PRIORITIES, AND INTERESTS</u> YOU WOULD LIKE TO SEE SCAG PURSUE IN THIS CURRENT YEAR AND BEYOND?</b>	
Executive Staff Responses	Executive Committee Responses
<p><b><u>Team Building/Trust/Communication/Relationships</u></b></p> <ul style="list-style-type: none"> <li>• We know how fragile we are; how do we stay together as SCAG with a common understanding to move the region forward</li> <li>• Align the work in HR with improving our organization culture/work environment</li> <li>• Staff development initiatives to address (internal) Climate Survey Results; enhance effective leadership team building especially after isolation of pandemic</li> <li>• We have messaging challenges; how do we want to communicate this to our audience</li> <li>• Need to build more trust between policy makers and technical teams</li> <li>• Strengthen Board relationships</li> <li>• Enhanced stakeholder engagement</li> <li>• Increase SCAG’s presence in the region; there is a bit of an identity crisis</li> </ul>	<p><b><u>Team Building/Trust/Communication/Relationships</u></b></p> <ul style="list-style-type: none"> <li>• Relationships – we need to get this done first</li> <li>• There is a need to build trust</li> <li>• Integration/collaboration with staff and electeds (find a common ground to build trust)</li> <li>• More reporting out by regions/counties; raise awareness of challenges and opportunities among the membership</li> <li>• Getting back to getting to know each other and SCAG’s platform</li> <li>• Other regional areas should have an equal respected voice</li> <li>• Building alliances with SCAG (outside partners, cities, regional partners)</li> <li>• Listening to our members; get feedback and come together as a body</li> <li>• We need buy-in to the mission of SCAG</li> <li>• Better understanding of what the real issues are</li> <li>• More modern and more resilient as a body</li> <li>• Better communication of what SCAG is doing in all the regions and more frequently to its members</li> <li>• Better outreach to our partners and members</li> </ul>

**Southern California Association of Governments (SCAG)  
Strategic Work Plan Discussion Workshop**

<u>Executive Staff Responses</u>	<u>Executive Committee Responses</u>
<p><b><u>Data/Technology</u></b></p> <ul style="list-style-type: none"> <li>• Focus on infrastructure development on technology (i.e. the cloud, GIS, regional data platforms, local planning tools) for the jurisdictions, and on-site support to accomplish the goals; and how do we sustain this</li> <li>• Ensuring when we use our data tools that we are transparent with best practices</li> </ul> <p><b><u>Service Delivery/Executing Plans</u></b></p> <ul style="list-style-type: none"> <li>• How to deliver on the strategic plan we are about to do</li> <li>• Establish performance metrics</li> <li>• We have an ambitious plan</li> <li>• Trying to get the best out of staff/how do we find/source information which translates into meaningful work</li> <li>• Modifying the way we get work done</li> <li>• Maintain equity plan</li> <li>• Maintain climate plan</li> <li>• Move aggressively to implement the regional equity early action plan in the region and internally</li> <li>• Update the strategic plan by the end of the fiscal year 2021/22</li> <li>• Furtherance of good project planning and project management – maturation of EPMO – process improvement</li> </ul>	<p><b><u>Data/Technology</u></b></p> <ul style="list-style-type: none"> <li>• How to build a knowledge bank of information and skills; and create the best data bank</li> <li>• What is our inventory of skills and resources in order to leverage for future opportunities</li> </ul> <p><b><u>Service Delivery/Executing Plans</u></b></p> <ul style="list-style-type: none"> <li>• Continue to be a resource for the region; realize SCAG is a resource to Southern California</li> <li>• SCAG structure/staff is transportation heavy</li> <li>• Leadership in education and public health efforts should occur</li> </ul>

**Southern California Association of Governments (SCAG)  
Strategic Work Plan Discussion Workshop**

<u>Executive Staff Responses</u>	<u>Executive Committee Responses</u>
<p data-bbox="212 338 724 436"><b><u>Social Equity, Housing, Transportation, Technology, Economic, and Environmental Challenges</u></b></p> <ul data-bbox="250 495 781 674" style="list-style-type: none"><li>• Environmental impact on society</li><li>• Air quality improvement</li><li>• What are the most compelling problems to solve (e.g. infrastructure, utilities, broadband, permit-delivery system)</li></ul>	<p data-bbox="829 338 1341 436"><b><u>Social Equity, Housing, Transportation, Technology, Economic, and Environmental Challenges</u></b></p> <ul data-bbox="867 495 1411 1108" style="list-style-type: none"><li>• How to create economic opportunities throughout the SCAG region</li><li>• Impact of transportation on air quality</li><li>• Environmental issues in general (the climate action plan is important)</li><li>• Work on the total RHNA process and work with Sacramento HCD on this</li><li>• Focus on a fair and equitable RHNA process and be ready for the new cycle</li><li>• Affordable housing/create options for people</li><li>• Economic development; provide incentives and opportunities for other parts of our region to engage</li><li>• Progress in the digital divide (broad-band access)</li><li>• Global permitting process</li></ul>

**Southern California Association of Governments (SCAG)  
Strategic Work Plan Discussion Workshop**

	<p style="text-align: center;"><b><u>Executive Committee Responses</u></b></p> <p><b><u>Leadership In Policy Issues</u></b></p> <ul style="list-style-type: none"><li>• Reverse “Sacramento policy to Southern California” to “Southern California policy to Sacramento”; it is on us to create a legislative platform</li><li>• Better communications with Sacramento</li><li>• Be a powerful advocacy voice</li><li>• Focus on making sure the legislators know who we are and that we become present in the conversation; not just a receive and file for Sacramento</li><li>• See SCAG pursue revenue and back-fill funding</li><li>• SCAG should pursue the creation and development of new housing policies</li><li>• Pursue legislation that would incentivize cities to produce housing</li></ul>
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**Attachment: Strategic Work Plan Discussion Workshop Consultant Report (June 24 Special EAC Strategic Work Plan Discussion)**



5. WHAT DO YOU SEE AS SCAG'S <u>VISION</u> IN 2021 AND BEYOND?	
Executive Staff Responses	Executive Committee Responses
<p><b><u>Leadership/Collaboration</u></b></p> <ul style="list-style-type: none"> <li>• Continue to be relevant; continue to advance/foster collaboration</li> <li>• Leading the region on regional issues</li> <li>• To be a catalyst in the region</li> <li>• To be a positive light for the region; in 2021 we need to refocus and fine tune our vision</li> <li>• Continue to be a leader in excellent planning and policy work and regional consensus building</li> </ul> <p><b><u>Policy Making/Legislative Platform</u></b></p> <ul style="list-style-type: none"> <li>• SCAG is at a cross roads; we need to improve outcomes of our policy directives</li> </ul> <p><b><u>Data/Information</u></b></p> <ul style="list-style-type: none"> <li>• To be the primary/reputable information hub for our stakeholders</li> <li>• Using the best technology accessible to the region and the agency</li> <li>• SCAG is a trusted data source</li> </ul>	<p><b><u>Leadership/Collaboration</u></b></p> <ul style="list-style-type: none"> <li>• The need to be more cohesive; we need a better balance between bottom up and top down – electeds need to be more involved</li> <li>• Have SCAG be a leader and develop creative solutions</li> <li>• See SCAG unite and become a leading force in the State (listen to our collective needs)</li> </ul> <p><b><u>Policy Making/Legislative Platform</u></b></p> <ul style="list-style-type: none"> <li>• SCAG sees itself as policy makers; perhaps we need to leave policy items to the electeds</li> <li>• Create legislative platform/sponsor legislation</li> <li>• Re-examine legislative platform</li> </ul> <p><b><u>Data/Information</u></b></p> <ul style="list-style-type: none"> <li>• Being the leading authority through data driven processes with SCAG's mission and values</li> <li>• SCAG should maintain the integrity of the data</li> <li>• Provide tools/resources for agency partners to utilize the SCAG resources available</li> <li>• SCAG should be that constant educator and provider of good data (we need to have an open and direct discussion in identifying what the issues are)</li> <li>• Redefine/define tools for cities and counties; identify resources for others to use; have a great data bank</li> <li>• Stay with the basics; plan for today and for tomorrow; develop good information</li> </ul>

**Southern California Association of Governments (SCAG)  
Strategic Work Plan Discussion Workshop**

<u>Executive Staff Responses</u>	<u>Executive Committee Responses</u>
<p><b><u>Housing/Equity Gap</u></b></p> <ul style="list-style-type: none"> <li>• How to close the equity gap</li> <li>• Commitment to equity</li> <li>• Access to underserved communities</li> </ul>	<p><b><u>Housing/Equity Gap</u></b></p> <ul style="list-style-type: none"> <li>• Help with the diverse housing stock</li> <li>• Continue the equity conversation to help improve our region over-all</li> </ul>
<p><b><u>Quality of Life/Economic Recovery</u></b></p> <ul style="list-style-type: none"> <li>• Improve quality of life in Southern California</li> <li>• Improve air quality</li> <li>• What does our recovery look like</li> </ul>	<p><b><u>Quality of Life/Economic Recovery</u></b></p> <ul style="list-style-type: none"> <li>• Become a cheerleader for the region in economic recovery</li> <li>• Improve quality of life in Southern California</li> <li>• How do we increase the quality of life and what does that look like</li> <li>• Focus on recovery</li> <li>• Focus on what the learning lessons are</li> <li>• Maintain proactive approach and advocacy for long-term stability/economic development prosperity through regional infrastructure methods</li> </ul>
<p><b><u>Update and Execute Plans</u></b></p> <ul style="list-style-type: none"> <li>• The majority of our existing plan is relevant; however, it is time for some revisions</li> <li>• We are now not just developing planning programs; we are providing resources and building consensus to implement them</li> <li>• Conduct more effective work planning (under promise/over-deliver/manage expectations)</li> <li>• SCAG is really thinking about the next steps</li> </ul>	<p><b><u>Update and Execute Plans</u></b></p> <ul style="list-style-type: none"> <li>• The strategic plan is a pivotal moment on where we are going</li> </ul>
	<p><b><u>Relationships/Team Building</u></b></p> <ul style="list-style-type: none"> <li>• Let’s take a step back and take care of how we work together as a team with SCAG’s staff – it has to work at all levels in 2021 – we have to have buy-in – it goes both ways</li> <li>• Re-tool, rebuild/repair relationships with our regional partners</li> </ul>

<b>6. How do you see this initial planning process <u>integrating</u> into the existing strategic plan?</b>	
Executive Staff Responses	Executive Committee Responses
<p><b><u>Communicate/Clarify</u></b></p> <ul style="list-style-type: none"> <li>• This is a way to get clarity and convergence on what is important to the policy makers</li> <li>• This is a basis for updating the plan</li> <li>• Getting input/feedback from the Executive Committee on priorities and understanding their areas of importance</li> <li>• Are we talking about difficult issues, or just low hanging fruit?</li> <li>• Focus on the opportunities and strengths both on the Board and staff</li> <li>• More engagement and awareness about how technology can shape the future; there was no IT person involved in the last strategic planning process</li> </ul> <p><b><u>Integrate Plans</u></b></p> <ul style="list-style-type: none"> <li>• Diversity/equity/inclusion – merge it into the existing plan – we must keep its momentum</li> <li>• Develop and focus on our existing vision and mission with the Executive Committee</li> <li>• The majority of the existing plan is relevant; time for some revisions</li> <li>• How does the Executive Committee support the advancement of the existing strategic plan and highlight the areas of the Executive Committee’s new plan</li> <li>• Being committed to the existing strategic plan; how to align the Executive Committee’s priorities with the existing strategic plan</li> <li>• The information gathered through this process will help the Executive Team inform the global strategic plan update</li> </ul> <p style="text-align: center;"><b><u>Executive Staff Responses</u></b></p>	<p><b><u>Communicate/Clarify</u></b></p> <ul style="list-style-type: none"> <li>• Opportunity to take a step back and re-think the plan and how staff and the electeds can work together</li> <li>• We need to be more inclusive with this plan; the next president, etc. needs to carry the torch of the Executive Committee’s plan</li> <li>• Have a frank honest discussion on areas we can improve on; we need good communication and good listening</li> <li>• More of a refocus and time to reflect; time to discuss what we would like to see; are we moving in the right direction</li> <li>• The Executive Committee should look at what did and did not work with the existing plan</li> <li>• Would like to see SCAG address the differences in the room; look for common interests</li> <li>• We need to bring everyone together</li> <li>• We need to get this done together</li> </ul> <p><b><u>Integrate Plans</u></b></p> <ul style="list-style-type: none"> <li>• This session needs to integrate into the existing plan</li> <li>• This needs to be a living document we can all support</li> <li>• The plan needs to dovetail with our existing plan</li> </ul>

**Southern California Association of Governments (SCAG)  
Strategic Work Plan Discussion Workshop**

	<p style="text-align: center;"><b><u>Executive Committee Responses</u></b></p> <p><b><u>Create Common Themes/Purpose/ Philosophically Aligned</u></b></p> <ul style="list-style-type: none"><li>• This needs to be philosophically aligned if we are able to lead</li><li>• There needs to be a common sense of purpose throughout the organization; currently it is a bit disconnected</li><li>• Opportunity to establish common themes – there should be a push to be engaged in this process – there should be an active engagement of all of us</li><li>• Create a realistic plan “Region... to People..... to Staff..... leave no one behind; find the common thread”</li></ul> <p><b><u>Process/Feedback/Plan Updates/Succession of the Plan</u></b></p> <ul style="list-style-type: none"><li>• Ideally, this is the next step before the Regional Council gets to discuss the plan</li><li>• We should be doing two planning sessions/retreats – build on this event</li><li>• We need more continuity/are we on track (where do we go from here)</li><li>• We need an on-going process; be as inclusive as possible (e.g. from the president to the first vice president to the second vice president)</li><li>• We should be supporting each other and be on the same page (e.g. from the president to the first vice president to the second vice president)</li><li>• It is important to have this plan be transitional and pass the baton to the next president</li><li>• We need stability</li><li>• We need to maintain continuity in the plan from president to the next president</li><li>• We should have a smooth transition year to year</li><li>• Work with/support the committee work and policy work of the various committees and the work that the president is doing – it should all come together</li></ul>
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**Attachment: Strategic Work Plan Discussion Workshop Consultant Report (June 24 Special EAC Strategic Work Plan Discussion)**

# Executive/Administration Committee Strategic Work Plan

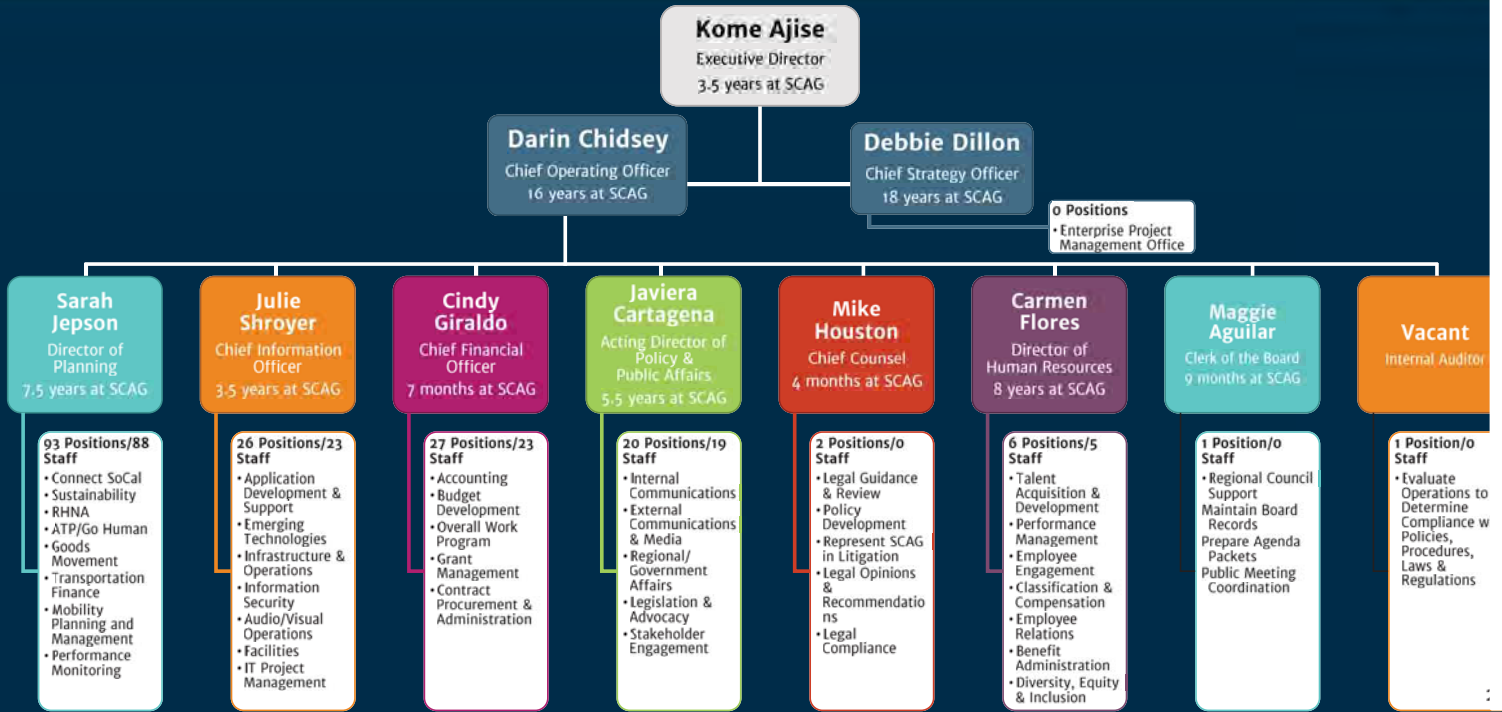
2021-2022

Kome Ajise  
Executive Director  
June 24, 2021

www.scag.ca.gov



## Executive Team Organizational Chart – June 2021

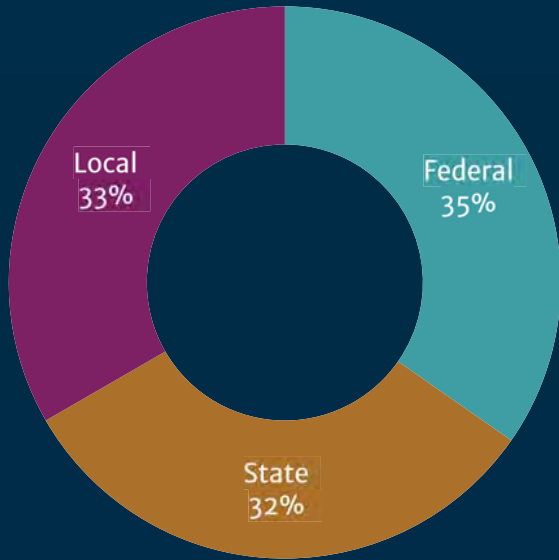


Attachment: PowerPoint Presentation from June 24 Special EAC Meeting (June 24 Special EAC Strategic Work Plan Discussion)

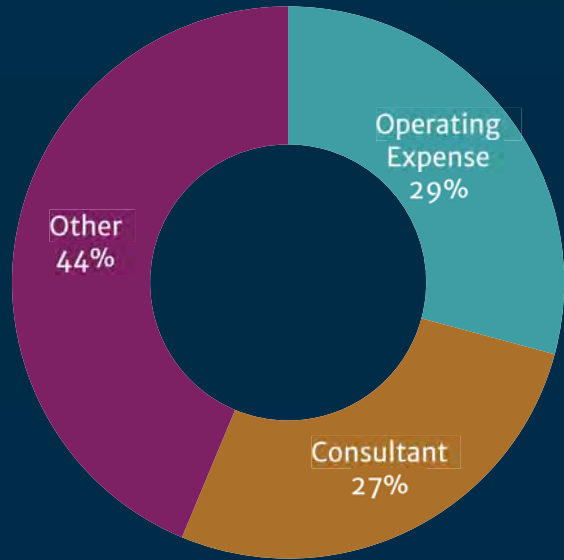
# Budget At A Glance \$147M



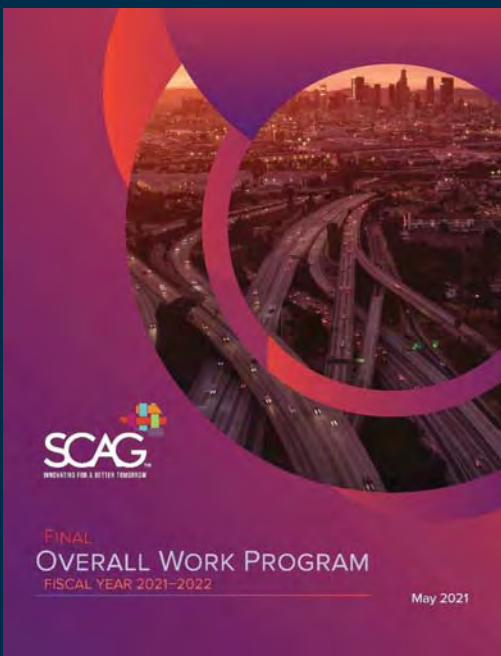
## Revenues



## Expenditures



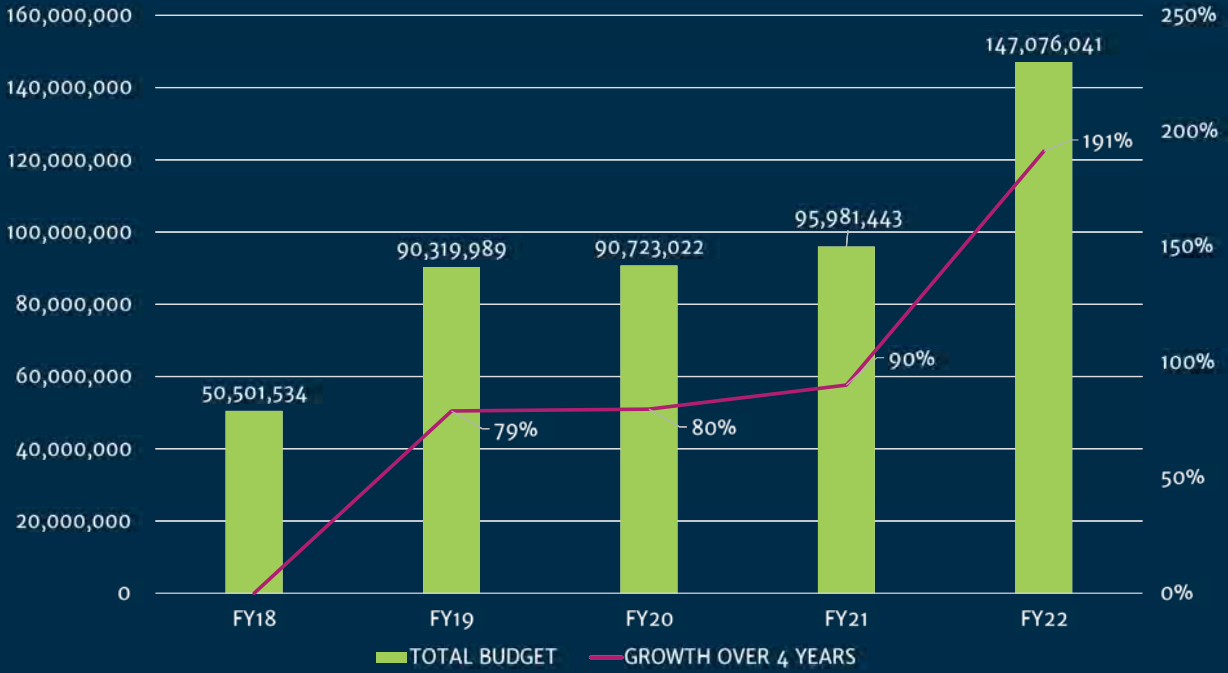
# Overall Work Program \$94.1M



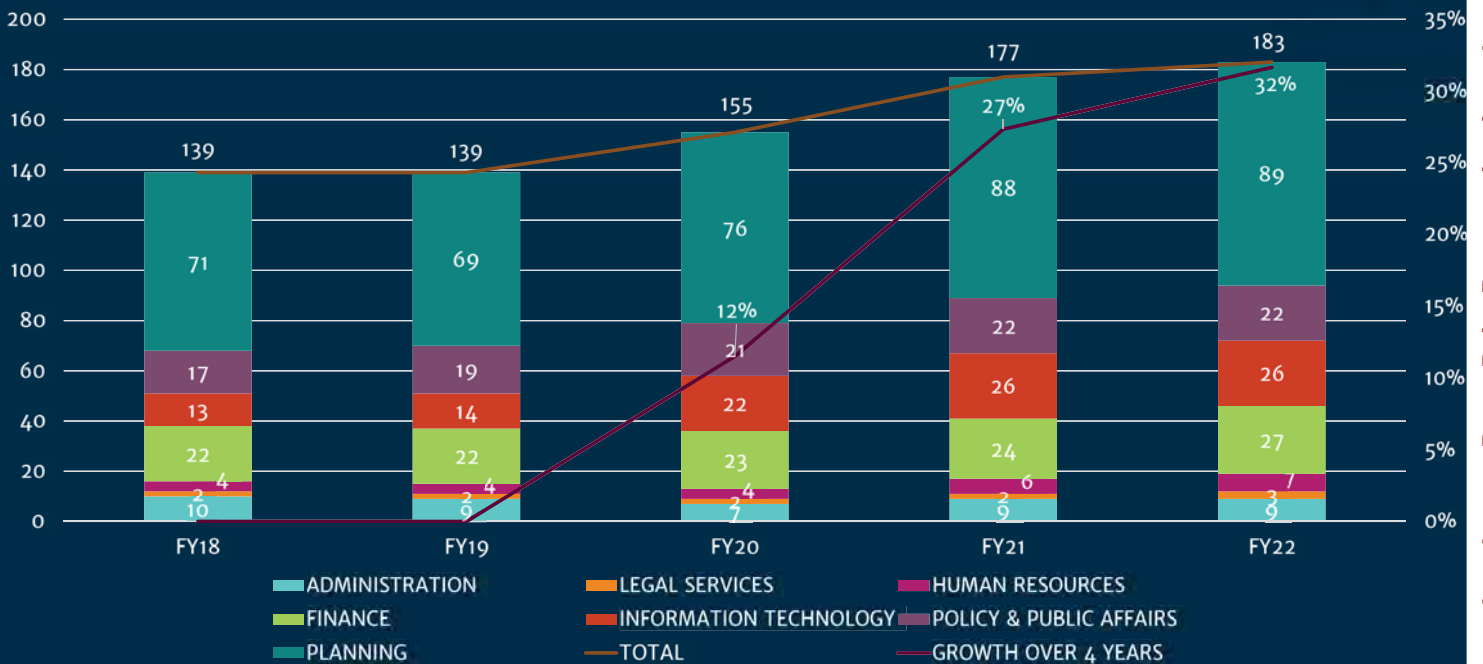
Consolidated Planning Grant (CPG) \$39.4M	SB 1 Sustainable Communities Formula Grants \$12.4M	AB 101 Regional Early Action Planning (REAP) \$11.9M
MSRC Last Mile Freight Program \$10M	Transportation Development Act (TDA) \$7.6M	Other State and Federal Grants \$7M
	Third Party Contributions \$5.8M	

Attachment: PowerPoint Presentation from June 24 Special EAC Meeting (June 24 Special EAC Strategic Work Plan Discussion)

# 4-Year Budget History



# 4-Year Staffing History



Attachment: PowerPoint Presentation from June 24 Special EAC Meeting (June 24 Special EAC Strategic Work Plan Discussion)

## FY 2020-2021 Accomplishments

- Connect SoCal
- 2021 Federal Transportation Improvement Program
- Regional Housing Needs Assessment Allocation Plan
- 11th Annual Southern California Economic Summit
- 32nd Annual Demographic Workshop
- Southern California Climate Adaptation Framework
- Sustainable Communities Program
- Last-Mile Freight Delivery Study
- Transportation Safety Regional Existing Conditions Report
- Regional Briefing Book
- Racial Equity Early Action Plan
- Racial Equity: Baseline Existing Conditions Report
- Outreach to Community-Based Organizations
- Advocacy in Washington & Sacramento

## FY 2020-2021 Recognition & Awards

Award	Program
APA CA Best Practices	Active Transportation Database
APA CA Public Outreach	Climate Adaptation Communication Strategies
APA LA Innovative Use of Technology	Active Transportation Database
ASLA National Awards - Honor Award, Analysis and Planning	Fontana Urban Greening Master Plan
WTS-LA Employer of the Year	Agency Award
ACT National Awards - Excellence in Planning	Excellence in Planning
WTS-OC Rosa Parks Diversity Award	Agency Award
APWA Management Innovation Award	ConOps
APA-SCD Excellence in Sustainability - Policy, Law, or Tool	Climate Adaptation Framework
NARC Achievement Award	Go Human
Governors Highway Safety Association Peter K. O'Rourke Special Achievement Award	Go Human



# Connect SoCal Implementation Strategy

## CORE VISION



COMPLETE STREETS INVESTMENT



DEMAND & SYSTEM MANAGEMENT



GOODS MOVEMENT



SUSTAINABLE DEVELOPMENT



SYSTEM PRESERVATION & RESILIENCE



TRANSIT BACKBONE

## KEY CONNECTIONS



SHARED MOBILITY & MOBILITY AS A SERVICE



SMART CITIES & JOB CENTERS



ACCELERATED ELECTRIFICATION

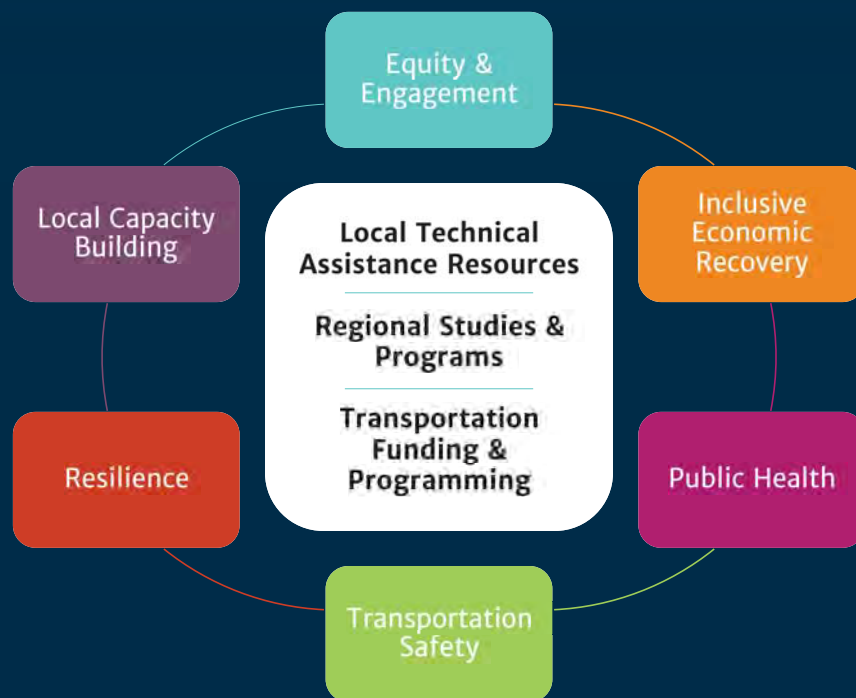


GO ZONES



HOUSING SUPPORTIVE INFRASTRUCTURE

# Connect SoCal Implementation Strategy



# Connect SoCal Implementation Strategy

## Local Technical Assistance Resources



**Sustainable Communities Program (SCP)**



**Regional Data Platform/General Plan Support**



**Equity & Environmental Justice**



**Go Human**

# Connect SoCal Implementation Strategy

## Regional & Sub-Regional Partnerships



### Housing

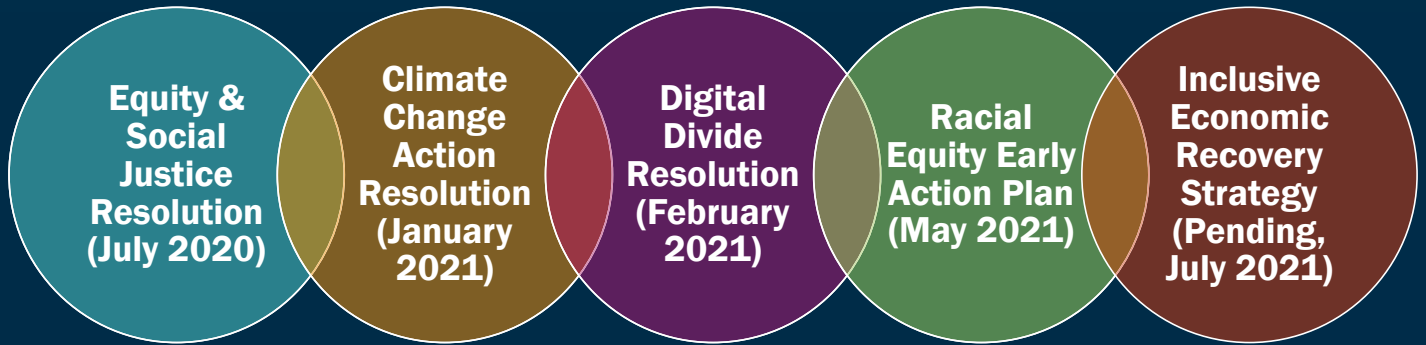
- Housing Element updates
- Accessory Dwelling Units (ADUs) best practices
- Development streamlining support and tools
- Leadership development in support of broad pro-housing coalitions.
- Financing strategies and new funding sources

### Mobility Innovation

- Last Mile Delivery
- Transit Recovery
- Mobility Incentives/Demand Management
- Smart Cities & Broadband
- Project Delivery/Funding
  - Mitigation Banks

# Connect SoCal Implementation

## Regional Policy Direction & Alignment



## Regions-Up Approach in the Governor's Comeback Plan



### SCAG Opportunities

- Community Economic Resilience Fund
- Regional Adaptation and Resilience Planning
- Regional Climate Collaborative Planning

### "REAP 2.0"

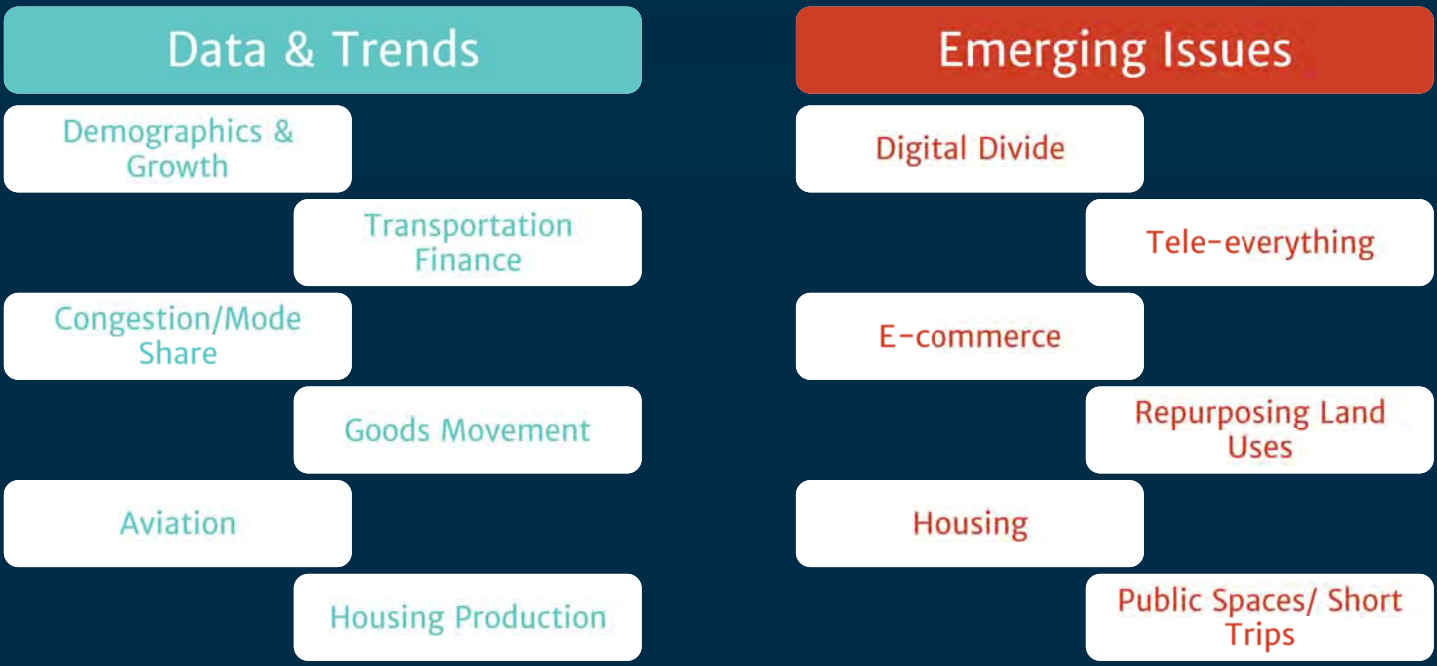
- Regional Early Action Planning Grants of 2021
- Housing investments combined with SCS implementation to reduce VMT
- Estimated \$500M – \$750M



# Connect SoCal 2024 Outlook



# Connect SoCal 2024 Emerging Trends



Attachment: PowerPoint Presentation from June 24 Special EAC Meeting (June 24 Special EAC Strategic Work Plan Discussion)

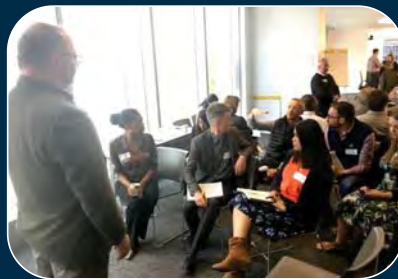
# Who Will Be Involved in Connect SoCal Development?

County  
Transportation  
Commissions

Local  
Jurisdictions



SCAG Policy  
Committees



Stakeholder  
Groups



General  
Public

Questions?  
Comments?

# THANK YOU!

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Southern California Association of Governments  
Remote Participation Only  
**September 1, 2021**

**MINUTES OF THE MEETING  
EXECUTIVE/ADMINISTRATION COMMITTEE (EAC)  
WEDNESDAY, JUNE 30, 2021**

THE FOLLOWING MINUTES IS A SUMMARY OF ACTIONS TAKEN BY THE EXECUTIVE/ADMINISTRATION COMMITTEE (EAC). A VIDEO AND AUDIO RECORDING OF THE ACTUAL MEETING IS AVAILABLE AT: <http://scag.iqm2.com/Citizens/>

The Executive/Administration Committee (EAC) of the Southern California Association of Governments (SCAG) held its special meeting telephonically and electronically, given public health directives limiting public gatherings due to the threat of COVID-19 and in compliance with the Governor’s Executive Order N-29-20. A quorum was present.

**Members Present**

<b>Hon. Clint Lorimore, President</b>	<i>Eastvale</i>	<b>District 4</b>
<b>Hon. Jan Harnik, 1<sup>st</sup> Vice President</b>		<b>RCTC</b>
<b>Hon. Carmen Ramirez, 2<sup>nd</sup> Vice President</b>		<b>Ventura County</b>
Hon. Frank Yokoyama, Vice Chair, CEHD	<i>Cerritos</i>	District 23
Hon. David Pollock, Chair, EEC	<i>Moorpark</i>	District 46
Hon. Deborah Robertson, Vice Chair, EEC	<i>Rialto</i>	District 8
Hon. Art Brown, Vice Chair, TC	<i>Buena Park</i>	District 21
Hon. Alan D. Wapner, Chair, LCMC		SBCTA
Hon. Peggy Huang, Vice Chair, LCMC		TCA
Hon. Kathryn Barger, President’s Appt.		Los Angeles County
Hon. Larry McCallon, President’s Appt.	<i>Highland</i>	District 7
Hon. Cheryl Viegas-Walker, President’s Appt.	<i>El Centro</i>	District 1
Hon. Donald P. Wagner, President’s Appt.		Orange County
Mr. Randall Lewis, Ex-officio	<i>Lewis Group of Companies</i>	Business Representative

**Members Not Present**

Hon. Sean Ashton, Chair, TC	<i>Downey</i>	District 25
Hon. Jorge Marquez, Chair, CEHD	<i>Covina</i>	District 33
<b>Hon. Rex Richardson, Imm. Past President</b>	<b><i>Long Beach</i></b>	<b>District 29</b>
Hon. Andrew Masiel, Sr.	<i>Pechanga Dev. Corp.</i>	TGRPB Representative

**Staff Present**

Kome Ajise, Executive Director  
Darin Chidsey, Chief Operating Officer  
Debbie Dillon, Chief Strategy Officer  
Tom Philip, Acting Chief Financial Officer  
Sarah Jepson, Director of Planning  
Carmen Flores, Human Resources Director  
Javiera Cartagena, Acting Director of Policy and Public Affairs  
Julie Shroyer, Chief Information Officer  
Michael Houston, Chief Counsel, Director of Legal Services  
Ruben Duran, Board Counsel  
Maggie Aguilar, Clerk of the Board

**CALL TO ORDER AND PLEDGE OF ALLEGIANCE**

The Honorable Clint Lorimore called the meeting to order at 3:00 p.m. and asked Larry McCallon, Highland, District 7, to lead the Pledge of Allegiance.

Given the public health directives limiting gatherings due to COVID-19, President Lorimore announced the meeting was being held telephonically and electronically in compliance with the Governor's Executive Orders.

**PUBLIC COMMENT PERIOD**

President Lorimore opened the Public Comment Period and outlined instructions for public comments.

He reminded the public to submit comments via email to [ePublicComment@scag.ca.gov](mailto:ePublicComment@scag.ca.gov). Staff acknowledged there were no public comments received by email.

Seeing no public comment speakers, President Lorimore closed the Public Comment Period.

**REVIEW AND PRIORITIZE AGENDA ITEMS**

There was no prioritization of agenda items.

**ACTION ITEMS**

1. Inclusive Economic Recovery Strategy – Final Report and Recommendations

President Lorimore called on Executive Director Kome Ajise to introduce the item.

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Executive Director Ajise reported that they were bringing the final Inclusive Economic Recovery Strategy (IERS) report and recommendations to the Community, Economic and Human Development Committee and Regional Council for adoption. He stated the IERS was the first step towards identifying ways SCAG can foster economic prosperity and an inclusive economy. He indicated the plan reflects priorities and needs raised during stakeholder convenings and sets forth strategies and actions around four focus areas. He commented that the IERS and the related strategies and actions were a new area of focus for SCAG and acknowledged that there were many others working in this space, and were committed to continuing to support and collaborate with them as they lead on the regional front. He was also excited to share that with the support of Senator Rubio, the current state budget through Assembly Bill 129, included a one-time \$3.5M allocation to SCAG to implement some of the priorities that are recommended in this plan and that the budget allocation also included an additional \$1M carve out for the San Gabriel Valley Council of Governments and their workforce development programs. Lastly, he stated that in the coming weeks SCAG will be working with stakeholders on how to invest the resources and pursue new partnerships. He called on Jenna Hornstock, Deputy Director of Planning, Special Initiatives, to provide a report.

Ms. Hornstock provided a brief overview of the IERS plan. She stated that draft recommendations were presented to the Regional Council on May 6, followed by publishing the draft recommendations for public comment. She highlighted the IERS guiding principles and the five focus areas that were inter-connected and equally important. She indicated that the staff report had a much broader list of recommendations but would only be focusing on the top priorities. She emphasized the top priority recommendations as follows: Housing Production; Transportation and Infrastructure; Sector Based Strategies; and Human Capital, and briefly discussed what could be accomplished with existing SCAG resources and the use of partners and additional resources. She also reported that if adopted the next steps were to continue working with the IERS recommendations, integrating key concepts around economic growth into the Regional Transportation Plan/Sustainable Communities Strategies (RTP/SCS), tracking new State and Federal funding sources, and supporting subregional partners in securing funding.

Regional Council Member Huang, TCA, stated this was excellent work and expressed she was really excited to see that as part of the plan they were addressing the issue of building wealth for the lower income families.

2<sup>nd</sup> Vice President Carmen Ramirez, Ventura County, congratulated Ms. Hornstock and staff on the work that was done.

A MOTION was made (Ramirez) to recommend that the Regional Council adopt the Final Report and Recommendations. Motion was SECONDED (Pollock) and passed by the following votes:



**AYES:** Barger, Brown, Huang, Lorimore, McCallon, Pollock, Ramirez, Robertson, Viegas-Walker, Wagner, Wapner and Yokoyama (12)

**NOES:** None (0)

**ABSTAIN:** None (0)

**CONSENT CALENDAR**

Approval Items

2. Minutes of the Meeting – June 2, 2021
3. Contracts \$200,000 or Greater: Contract No. 21-017-C01, Supporting Infrastructure for Zero Emission Heavy Duty Vehicles
4. Contracts \$200,000 or Greater: Contract No. 22-005-C01, Information Technology Research and Advisory Services
5. Contracts \$200,000 or Greater: Contract No. 22-007-C01, Primary Internet Connections
6. Contracts Amendment Greater Than \$75,000 and Greater Than 30% of the Contract’s Original Value: Contract No. 19-006-C01, Amendment 6, OnBase Maintenance and Support Licenses
7. Amendment \$75,000 or Greater or 30% or more of the Original Contract’s Value: Contract No. 17-024-C1 Amendment 10, High Quality Transit Area (HQTA) Analysis
8. Amendment \$75,000 or Greater or 30% or More of the Original Contract’s Value: Contract No. 21-028-C01 Amendment 1, Safe and Resilient Streets Strategies and Mini-Grants
9. SCAG Memberships and Sponsorships

Receive and File

10. Purchase Orders \$5,000 - \$199,999; Contracts \$25,000 - \$199,999 and Amendments \$5,000 - \$74,999
11. CFO Monthly Report



Immediate Past President Alan Wapner, SBCTA, requested to pull Agenda Items No. 3 and No. 5 of the Consent Calendar.

President Lorimore called on staff to provide a brief report on Agenda Items No. 3 and No. 5.

Immediate Past President Wapner stated that Agenda Item No. 3 indicated the contract was for about \$1.1 million and only showed funding for \$593,000. He asked how they were going to pay for the remainder. Leyton Morgan, Contracts Manager, stated that they would be budgeting for the balance of the project in next year's budget. With respect to Agenda Item No. 5, Immediate Past President Wapner stated that the contract was for \$315,000 and showed this year's budget at \$111,000 with additional funding, if available. He asked what would happen if funding was not available. Mr. Morgan stated that if funding was not available, then they would be cancelling the contract. Mr. Morgan further clarified that they would be starting the contract now and would be budgeting out the amount in the next years. He also indicated that there were deliverables associated with each amount of funding, so that they don't spend funding and get nothing in return.

A MOTION was made (Brown) to approve Consent Calendar, Items 2 through 9; Receive and File Item 10 and 11. Motion was SECONDED (Robertson) and passed by the following votes:

**AYES:** Barger, Brown, Harnik, Huang, Lorimore, McCallon, Pollock, Ramirez, Robertson, Viegas-Walker, Wagner, Wapner and Yokoyama (13)

**NOES:** None (0)

**ABSTAIN:** None (0)

**CFO REPORT**

Tom Philip, Acting Chief Financial Officer, reported that they had sent out the FY 2022 membership invoices and were in the process of preparing for the year-end close and audit. He also stated they collaborated with the public affairs and policy group and made several improvements related to the invoicing and collections process of membership dues and anticipated that this would result in timely collections this fiscal year. He reported they also collaborated with the Office of Regional Council Support group and made some improvements to processing stipend payments, specifically payments would no longer be lumped together into one payment, but rather broken out individually, which allows them to attach the description for each payment. Lastly, he indicated that one of the key benefits to making this change was that they were able to convert more vendors to electronic payments, which had been one of their primary goals since the pandemic.

**PRESIDENT'S REPORT**

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President Lorimore reported that the EAC members participated in a strategic planning session to discuss his plan for the year, which included discussion on goal setting. Lastly, he reminded the EAC members that August was a dark month and that the next meeting of the EAC was scheduled for Wednesday, September 1 at 3:00 p.m.

### **EXECUTIVE DIRECTOR'S REPORT**

Executive Director Ajise reported that on June 23, they met with Executive Directors of the Subregional Councils of Governments (COG) to share information on SCAG programs. He stated SCAG was now hosting bi-monthly meetings to improve communications and coordination with the subregions. He reported that they touched upon a number of items like an update on the Regional Early Actin Plan (REAP), SCAG resources available to support COGs, and the re-launch of SCAG's technical working group, as they begin to develop the 2024 plan.

He also provided an update on the Connect SoCal Implementation Strategy and reported that staff was working on a full report to the Policy Committees and Regional Council for the meeting in September, which will include an update on the progress made on the activities outlined in the implementation strategy and a series of new studies aimed at advancing the "Key Connection" strategies in the plan. He indicated that they were anticipating a significant amount of one-time resources being available to expand upon the Connect SoCal Implementation Strategy. He reported that the Governor's California Comeback Plan and budget bill, both support the creation of a new program that provides funding to metropolitan planning organizations (MPOs) to support planning and implementation activities to meet the goals under each region's SCS and at this point knew that the main budget bill included \$600 million for a state-wide program to accomplish this work. Lastly, he stated they had already started the dialogue with their partners in the Subregions and at the County Transportation Commissions about the prospect of these resources coming to the region and anticipate having more information by September.

He reported that on June 23, the California Transportation Commission (CTC) adopted the MPO component of the 2021 Active Transportation Program (ATP), including 23 projects totaling \$93.4 million for the SCAG region, which were approved by the Regional Council back in May and submitted to the CTC. He highlighted that the State Budget Act of 2021, as approved by the Senate and the Assembly, includes an additional \$500 million to ATP, subject to approval by the Governor. He stated that once this ATP augmentation is approved as part of the State Budget, they expect 40% for MPO augmentation, which could result in \$105 million for SCAG's region. Additionally, he indicated that staff will continue to coordinate with the CTC's to develop MPO component recommendations to present in September to meet the CTC's schedule.

Lastly, he provided a brief report on the State Budget and reported that on June 28, the State Legislature adopted a budget bill junior, which includes \$6 billion for broadband infrastructure and \$3.5 million for the IERS. He also highlighted advocacy efforts in Washington, D.C. and stated that Congress was working to reauthorize the surface transportation policy bill that authorizes all the nation's surface transportation programs.

### **FUTURE AGENDA ITEMS**

Regional Councilmember Art Brown, Buena Park, District 21, stated he read an article on the convergence on housing and the drought, and requested a future presentation on this.

### **ANNOUNCEMENTS**

There were no announcements.

### **CLOSED SESSION**

#### **CONFERENCE WITH LEGAL COUNSEL - EXISTING LITIGATION**

Pursuant to Government Code Section 54956.9(d)(1)

*Orange County Council of Governments v. Gustavo Velasquez, Interim Director of Dept. of Housing and Community Development; California Dept. of Housing and Community Development; Los Angeles County Superior Court Case No. 21STCP01970* [Note: Southern California Association of Governments is named as a "real party in interest"]

President Lorimore asked if there were any public comments on the closed session. Seeing none, he closed the public comment period.

Ruben Duran, Board Counsel, announced Closed Session discussion on Conference with Legal Counsel - Existing Litigation, pursuant to Government Code Section 54956.9(d)(1), *Orange County Council of Governments v. Gustavo Velasquez, Interim Director of Dept. of Housing and Community Development; California Dept. of Housing and Community Development; Los Angeles County Superior Court Case No. 21STCP01970* [Note: Southern California Association of Governments is named as a "real party in interest"]

Regional Councilmember Brown asked if he needed to recuse himself from closed session.

Chief Counsel Michael Houston stated that Regional Councilmember Brown did not need to recuse himself and that his service as a public official with respect to OCCOG does not rise to the level of a conflict of interest under Political Reform Act or Section 1090, at this point, or with respect to the common law doctrine and conflict of interest.

Discussion ensued by the EAC members with respect to Regional Councilmember Brown not needing to recuse himself from the Closed Session.

President Lorimore recessed the EAC into Closed Session.

**REPORT OF CLOSED SESSION ACTIONS**

President Lorimore reconvened the meeting of the EAC.

Ruben Duran, Board Counsel, reported that EAC members met in closed session and there was no reportable action.

**ADJOURNMENT**

There being no further business, President Lorimore adjourned the Regular Meeting of the EAC at 4:17 p.m.

[MINUTES ARE UNOFFICIAL UNTIL APPROVED BY THE EAC]

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Executive / Administration Committee Attendance Report

2021-22																
MEMBERS	CITY	Representing	JUN	JULY	AUG	SEPT	OCT	NOV	DEC	JAN	FEB	MAR	APR	MAY	Total M Attend To Date	
Hon. Clint Lorimore, President	Eastvale	District 4	1	1	D A R K										2	
Hon. Jan Harnik, Chair, 1st Vice Chair		RCTC	1	1												2
Sup. Carmen Ramirez, 2nd Vice Chair		Ventura County	1	1												2
Hon. Rex Richardson, Imm. Past President	Long Beach	District 29	1	0												1
Hon. Jorge Marquez, Chair, CEHD	Covina	District 33	1	0												1
Hon. Frank Yokoyama, Vice Chair, CEHD	Cerritos	District 23	1	1												2
Hon. David Pollock, Chair, EEC	Moorpark	District 46	1	1												2
Hon. Deborah Roberston, Vice Chair, EEC	Rialto	District 8	1	1												2
Hon. Sean Ashton, Chair, TC	Downey	District 25	1	0												1
Hon. Art Brown, Vice Chair, TC	Buena Park	District 21	1	1												2
Hon. Alan Wapner, Chair, LCMC		SBCTA	1	1												2
Hon. Peggy Huang, Vice Chair, LCMC		TCA	1	1												2
Sup. Kathryn Barger, President's Appt.		Los Angeles County	1	1												2
Hon. Larry McCallon, President's Appt.	Highland	District 7	1	1												2
Hon. Cheryl Viegas-Walker, President's Appt.	El Centro	District 1	1	1												2
Sup. Donald P. Wagner, President's Appt.		Orange County	1	1												2
Hon. Andrew Masiel, Sr.	Pechanga Dev. Corporation	Tribal Government Regional Planning Board	1	0												1
Mr. Randall Lewis, Ex-Officio Member	Lewis Group of Companies	Business Representative	1	1												2
			18	14	0	0	0	0	0	0	0	0	0	0	0	

Attachment: EAC Attendance Sheet 2021-22 (Minutes of the Meeting - June 30, 2021)



AGENDA ITEM 3  
REPORT

Southern California Association of Governments  
Remote Participation Only  
September 1, 2021

To: Executive/Administration Committee (EAC)  
Regional Council (RC)

EXECUTIVE DIRECTOR'S  
APPROVAL

From: Cindy Giraldo, Chief Financial Officer  
(213) 630-1413, giraldo@scag.ca.gov

Subject: Resolution No. 21-635-1 Approving Amendment 1 to the FY 2021-22  
Overall Work Program (OWP)

**RECOMMENDED ACTION:**

Adopt Resolution No. 21-635-1, approving Amendment 1 to the FY 2021-22 (FY22) Overall Work Program (OWP) budget and authorize the Executive Director, or his designee, to submit the necessary documentation to the California Department of Transportation (Caltrans).

**STRATEGIC PLAN:**

This item supports the following Strategic Plan Goal 7: Secure funding to support agency priorities to effectively and efficiently deliver work products.

**EXECUTIVE SUMMARY:**

*Staff recommends that the Executive Administration Committee (EAC) and Regional Council (RC) approve a First Amendment to the FY22 OWP budget in the amount of \$40,321,424, increasing the OWP budget from \$94,040,500 to \$134,361,924 (Amendment 1). Amendment 1 is an administrative amendment that includes: programming \$35,603,268 for the Regional Early Action Planning (REAP) full grant award; \$4,670,000 for the ATP Cycle 5 grant funds to support the 2020 Sustainable Communities Program (SCP) Call 1 – Active Transportation & Safety; \$26,686 grant balance adjustment for the FY21 OTS Pedestrian and Bicycle Safety Program; and \$21,470 for TDA funds to support the Active Transportation Disadvantage Communities Plans and the Future Communities Pilot Program. Additionally, this amendment includes reallocating Consolidated Planning Grant (CPG) funds that result in budget neutral changes for various regional transportation planning projects.*

**BACKGROUND:**

On May 6, 2021, the EAC and RC adopted the FY22 Final Comprehensive Budget, which included the FY22 OWP budget in the amount \$94.1 million. The Federal Highway Administration (FHWA) and the Federal Transit Administration (FTA) subsequently approved SCAG's FY22 OWP on June 29, 2021.



**DISCUSSION:**

Staff recommends that the EAC and RC approve Amendment 1 to the FY22 OWP in the amount of \$40.3 million, increasing the budget from \$94.1 million to \$134.4 million. Table 1 shows the changes to the funding sources in the amount of \$40.3 million:

FUNDING SOURCES	Adopted	Change	Amend#1	Justification
FHWA PL - Metropolitan Planning	\$ 21,450,065	\$ -	\$ 21,450,065	
FTA 5303 - Metropolitan Planning	\$ 17,965,396	\$ -	\$ 17,965,396	
FHWA SPR - Strategic Partnership Grants	\$ 997,365	\$ -	\$ 997,365	
FTA 5304 - Sustainable Communities Grants	\$ 449,146	\$ -	\$ 449,146	
FEDERAL OTHER	\$ 952,429	\$ 26,686	\$ 979,115	OTS grant carryover for Pedestrian and Bicycle Safety Program
SB 1 - Sustainable Communities Formula Grants	\$ 12,387,813	\$ -	\$ 12,387,813	
SHA - Sustainable Communities Grants	\$ 651,283	\$ -	\$ 651,283	
AB 101 - Regional Early Action Planning Grants	\$ 11,867,755	\$ 35,603,268	\$ 47,471,023	REAP Grant full award
MSRC Last Mile Freight Grant	\$ 10,000,000	\$ -	\$ 10,000,000	
STATE OTHER	\$ 3,948,356	\$ 4,670,000	\$ 8,618,356	ATP Cycle 5 funds for 2020 SCP Call 1 – Active Transportation & Safety
TDA	\$ 7,635,522	\$ 21,470	\$ 7,656,992	Match funds for grant projects
IN-KIND COMMITMENTS	\$ 4,563,689	\$ -	\$ 4,563,689	
CASH/LOCAL OTHER	\$ 1,171,681	\$ -	\$ 1,171,681	
<b>TOTAL</b>	<b>\$ 94,040,500</b>	<b>\$ 40,321,424</b>	<b>\$ 134,361,924</b>	

- 1) \$26,686 grant balance adjustment for the FY21 OTS Pedestrian and Bicycle Safety Program.
- 2) \$35,603,268 for the Regional Early Action Planning (REAP) full grant award to support various program areas:
  - a. \$20,853,324 for Subregional Partnership Program
  - b. \$7,917,199 for Future Labor Costs
  - c. \$4,415,850 for 2020 SCP Call 2 – Housing and Sustainable Development
  - d. \$1,500,000 for TOD & PGA Work Programs - LA Metro
  - e. \$800,000 for Priority Growth Area Strategies
  - f. \$116,895 for Other Costs
- 3) \$4,670,000 for the ATP Cycle 5 grant funds to support the 2020 Sustainable Communities Program (SCP) Call 1 – Active Transportation & Safety.
- 4) \$21,470 for TDA funds to support the Active Transportation Disadvantage Communities Plans and the Future Communities Pilot Program.

Attachment 2 includes a list of budget changes. The full report for Amendment 1 to the FY22 OWP is available online: <https://scag.ca.gov/sites/main/files/file-attachments/fy21-22-owp-amend1.pdf>.



**FISCAL IMPACT:**

Amendment 1 to the FY22 OWP results in an increase of \$40,321,424, increasing the OWP budget from \$94,040,500 to \$134,361,924. After approval by the EAC and RC, the revised budget will be submitted to Caltrans for their review.

**ATTACHMENT(S):**

1. Resolution No. 21-635-1
2. List of Budget Changes



SOUTHERN CALIFORNIA ASSOCIATION OF GOVERNMENTS 900 Wilshire Blvd., Ste. 1700 Los Angeles, CA 90017 T: (213) 236-1800 www.scag.ca.gov

REGIONAL COUNCIL OFFICERS

- President Rex Richardson, Long Beach
First Vice President Clint Lorimore, Eastvale
Second Vice President Jan C. Harnik, Riverside County Transportation Commission
Immediate Past President Bill Jahn, Big Bear Lake

COMMITTEE CHAIRS

- Executive/Administration Rex Richardson, Long Beach
Community, Economic & Human Development Jorge Marquez, Covina
Energy & Environment David Pollock, Moorpark
Transportation Cheryl Viegas-Walker, El Centro

RESOLUTION NO. 21-635-1

A RESOLUTION OF THE SOUTHERN CALIFORNIA ASSOCIATION OF GOVERNMENTS (SCAG) APPROVING AMENDMENT 1 TO THE FISCAL YEAR 2021-22 OVERALL WORK PROGRAM

WHEREAS, the Southern California Association of Governments (SCAG) is the Metropolitan Planning Organization, for the six-county region consisting of Los Angeles, Orange, San Bernardino, Riverside, Ventura, and Imperial counties pursuant to 23 U.S.C. § 134 et seq. and 49 U.S.C. § 5303 et seq.; and

WHEREAS, SCAG has developed the Fiscal Year (FY) 2021-22 Comprehensive Budget that includes the following budget components: the Overall Work Program (OWP); the FTA Discretionary and Formula Grant Budget; the TDA Capital and Debt Service Budget; the General Fund Budget; the Indirect Cost Budget (ICAP); and the Fringe Benefits Budget; and

WHEREAS, the OWP is the basis for SCAG's annual regional planning activities and budget; and

WHEREAS, in conjunction with the OWP Agreement and Master Fund Transfer Agreement, the OWP constitutes the annual funding contract between the State of California Department of Transportation (Caltrans) and SCAG for the Consolidated Planning Grant (CPG), and the Sustainable Transportation Planning Grants; and

WHEREAS, SCAG is also eligible to receive other Federal and/or State grant funds and/or local funds for certain regional transportation planning related activities. For such funding upon award, the funds are implemented through the OWP and SCAG and the applicable Federal or State agency shall execute the applicable grant agreement(s); and

WHEREAS, SCAG's Regional Council approved the OWP for FY 2021-22 in May 2021, which was subsequently approved by Caltrans in June 2021; and

WHEREAS, Amendment 1 to the FY 2021-22 OWP will result in a budget increase of \$40,321,424, from \$94,040,500 to \$134,361,924; and

WHEREAS, Amendment 1 to the FY 2021-22 OWP, along with its corresponding staff report and this resolution, has been reviewed and discussed by SCAG's Executive Administration Committee on September 1, 2021 and SCAG's Regional Council on September 2, 2021.

NOW, THEREFORE, BE IT RESOLVED, by the Regional Council of the Southern California Association of Governments, that Amendment 1 to the FY 2021-22 OWP is approved and adopted.

Attachment: Resolution No. 21-635-1 (Resolution No. 21-635-1 Approving Amendment 1 to the FY 2021-22 Overall Work Program (OWP))

**BE IT FURTHER RESOLVED THAT:**

1. The Regional Council hereby authorizes submittal of Amendment 1 to the FY 2021-22 OWP to the participating State and Federal agencies.
2. SCAG pledges to pay or secure in cash or services, or both, the matching funds necessary for financial assistance.
3. The SCAG Executive Director, or in his absence, the Chief Financial Officer, is hereby designated and authorized to execute all related agreements and other documents on behalf of the Regional Council.
4. The SCAG Executive Director, or in his absence, the Chief Financial Officer, is hereby authorized to make and submit to the applicable funding agencies, the necessary work program, and budget modifications to the FY 2021-22 OWP based on actual available funds and to draw funds as necessary on a line of credit or other requisition basis.
5. The SCAG Executive Director, or in his absence, the Chief Financial Officer, is hereby authorized to submit grant applications and execute the applicable grant agreements and any amendments with the applicable Federal or State agency and to implement grant funds through SCAG's OWP, and this includes submittal and execution of the required Overall Work Program Agreement (OWPA) and the Master Fund Transfer Agreement (MFTA) with Caltrans, as part of the Caltrans Sustainable Transportation Planning Grant Programs.
6. The SCAG Executive Director, or in his absence, the Chief Financial Officer, is hereby authorized to make administrative amendments to the FY 2021-22 OWP that do not affect the delivery of regional transportation planning tasks, activities, steps, products, or the funding amounts listed on the OWPA.
7. The SCAG Executive Director, or in his absence, the Chief Financial Officer, is hereby authorized to negotiate and execute subrecipient agreements (e.g., memorandum of understanding) and related documents, on behalf of the Regional Council, involving the expenditure of funds programmed under the FY 2021-22 Comprehensive Budget.

**PASSED, APPROVED AND ADOPTED** by the Regional Council of the Southern California Association of Governments at its regular meeting this 2<sup>nd</sup> day of September 2021.

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Clint Lorimore  
President, SCAG

Attested by:

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Kome Ajise  
Executive Director

Approved as to Form:

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Michael R.W. Houston  
Chief Counsel/Director of Legal Services

**FY 2021-22 OWP Amendment 1**  
**List of Budget Changes**

Director	Project Task No.	Project Task Name	Category	Budget Change	CPG FHWA_PL	CPG FTA_5303	TDA	FY21 SB1 Formula	FY22 SB1 Formula	REAP AB 101	Other Grants (OTS, DOE, MSRC, ATP)	Cash/Local Other	Justification
Jepson	010-1631.07	Planning for the 2028 Olympics	Consultant TC	\$ (113,065)		\$ (113,065)							FY22 A1: Reduce \$113,065 to fully fund Maas (140-0121.09, \$27,855) and Regional Dedicated Lanes (140-0121.10, \$85,210). (1) Shift \$27,855 from 010.1631.07 to 140-0121.09 Regional Dedicated Transit Lanes Study (for a new total \$277,855 for 140-0121.09). (2) Shift \$85,210 from 010.1631.07 to 140-0121.10 Mobility as a Service (MaaS) Feasibility (for a new total \$160,210 for 140-0121.10).
Jepson	140-0121.09	Regional Dedicated Transit Lanes Study	Consultant TC	\$ 27,855		\$ 27,855							FY22 A1: Add \$27,855 from 010-1631B.07 Olympic Study to fully fund Regional Dedicated Transit Lanes Study Contract 21-037-C01 \$277,854.07
Jepson	140-0121.10	Mobility as a Service (MaaS) Feasibility White Paper	Consultant TC	\$ 85,210		\$ 85,210							FY22 A1: Add \$85,210 from 010-1631B.07 Olympic Study to fully fund MaaS Contract 21-038-C01 \$160,209.60
Jepson	130-0162.18	Goods Movement Planning	Steps/Products	\$ -									<del>Delete Steps:</del> 3.Evaluate and Recommend Improvement to the SCAG Heavy Duty Truck Model. 4. heavy Duty Truck Model Enhancement and Validation. 5. Evaluation of the Goods Movement Elements of the 2020 RTP. <del>Delete Products:</del> 3. Evaluation of the 2020 RTP. 2. Updated Heavy Duty Truck Model. 4. Recommendations for Enhancing the Performance of the Regional Goods Movement Systems.
Jepson	275-4893.01	Mobility as a Service (MaaS) Feasibility White Paper (FY22 SB 1 Formula)	Consultant	\$ 75,000			\$ 8,602		\$ 66,398				Change Project/Task Name to Transit Pilot Planning. Shift \$75,000 from 275.4894.01 Regional Dedicated Transit Lanes Study to 275.4893.01 MaaS. Delete 275.4894.01 Regional Dedicated Transit Lanes Study entirely. New total for 275.4893.01 MaaS should be \$225,000. Pls see BCR for Steps and Products updates.
Jepson	275-4894.01	Regional Dedicated Transit Lanes Study (FY22 SB 1 Formula)	Consultant	\$ (75,000)			\$ (8,602)		\$ (66,398)				Change Project/Task Name to Transit Pilot Planning. Shift \$75,000 from 275.4894.01 Regional Dedicated Transit Lanes Study to 275.4893.01 MaaS. Delete 275.4894.01 Regional Dedicated Transit Lanes Study entirely. New total for 275.4893.01 MaaS should be \$225,000.
Jepson	225-3564.16	FY21 OTS - Pedestrian and Bicycle Safety Program	Staff	\$ (2,000)							\$ (2,000)		Removed travel budget and amount was reallocated to other categories of project per OTS grant revision #1.
Jepson	225-3564.16	FY21 OTS - Pedestrian and Bicycle Safety Program	Consultant	\$ (224,349)							\$ (224,349)		Reduced budget to align with OTS grant revision #2 and expenditures.
Jepson	225-3564.16	FY21 OTS - Pedestrian and Bicycle Safety Program	Non-Profit	\$ 257,436							\$ 257,436		Increased budget to align with OTS grant revision #2. Adjustment to grant balance. Grant ending 09/30/21.
Jepson	225-3564.16	FY21 OTS - Pedestrian and Bicycle Safety Program	Staff	\$ (4,401)							\$ (4,401)		Reduced budget to align with OTS grant revision #2 and expenditures.
Jepson	225-4839.01	SCAG Active Transportation Disadvantage Communities Plans	Consultant	\$ 3,082			\$ 3,082						Added carry-over FY21 funds to complete project. Contract ending 09/30/21.
Jepson	300-4887.01	2020 Sustainable Communities Program (SCP) - Housing and Sustainable Development (HSD)	Consultant	\$ 4,415,850						\$ 4,415,850			Programmed full funding received for REAP Grant Program.
Jepson	300-4887.02	TOD & PGA Work Programs - LA Metro	Consultant	\$ 1,500,000						\$ 1,500,000			Programmed full funding received for REAP Grant Program.
Jepson	300-4887.04	Priority Growth Area Strategies	Consultant	\$ 800,000						\$ 800,000			Programmed full funding received for REAP Grant Program.

Attachment: List of Budget Changes (Resolution No. 21-635-1 Approving Amendment 1 to the FY 2021-22

**FY 2021-22 OWP Amendment 1**  
**List of Budget Changes**

Director	Project Task No.	Project Task Name	Category	Budget Change	CPG FHWA_PL	CPG FTA_5303	TDA	FY21 SB1 Formula	FY22 SB1 Formula	REAP AB 101	Other Grants (OTS, DOE, MSRC, ATP)	Cash/Local Other	Justification
Jepson	300-4888.01	Regional Housing Needs Assessment (RHNA) (AB 101)	Consultant	\$ 20,000						\$ 20,000			Programmed full funding received for REAP Grant Program.
Jepson	300-4889.01	Subregional Partnership Program	Consultant	\$ 20,853,324						\$ 20,853,324			Programmed full funding received for REAP Grant Program.
Jepson	300-4890.01	Data Tools and Technical Support for Housing Element Updates	Consultant	\$ 35,147						\$ 35,147			Programmed full funding received for REAP Grant Program. Added steps and products.
Jepson	300-4890.02	Research/Policy Briefs, Honorariums, University Partnerships	Consultant	\$ 41,748						\$ 41,748			Programmed full funding received for REAP Grant Program. Added steps and products.
Jepson	300-4891.01	Reporting and Invoicing	Staff	\$ 7,917,199						\$ 7,917,199			Programmed full funding received for REAP Grant Program. Current Misc Labor budget is \$0, add \$7,917,199.
Jepson	300-4891.02	Final Report to Legislature	Staff	\$ 20,000						\$ 20,000			Programmed full funding received for REAP Grant Program.
Jepson	275-4892.02	Sustainable Communities Program - 2020 Call 1 (ATP Cycle 5)	Consultant	\$ 4,670,000							\$ 4,670,000		Programming approved ATP Cycle 5 projects for \$4.7M. Create task and add project info (pls see BCR).
Jepson	015-0159.02	Transportation User Fee—Planning Groundwork Project Phase II	Consultant	\$ 57,000		\$ 50,462	\$ 6,538						Shift the Non-Profit/IHL budget to Consultant Budget.
Jepson	015-0159.02	Transportation User Fee—Planning Groundwork Project Phase II	Non-Profit	\$ (57,000)		\$ (50,462)	\$ (6,538)						Shift the Non-Profit/IHL budget to Consultant Budget.
Jepson	280-4824.02	Future Communities Pilot Program (FY19 SB 1 Formula)	Consultant	\$ 18,388			\$ 18,388						Consultant contract extended to August 2021. SB1 grant ending 2/28/21 and TDA match ending 8/31/21.
Jepson	290-4827.03	Mobility Innovations & Incentives Study (FY22 SB1 Formula)	Consultant	\$ (60,000)			\$ (6,882)		\$ (53,118)				Update the GL for UC Davis Contract Budget, 21-024-C01 from Consultant to Non-Profit/IHL.
Jepson	290-4827.03	Mobility Innovations & Incentives Study (FY22 SB1 Formula)	Non-Profit	\$ 60,000			\$ 6,882		\$ 53,118				Update the GL for UC Davis Contract Budget, 21-024-C01 from Consultant to Non-Profit/IHL.
Jepson	145-4885.01	I-710 North Mobility Hubs Plan	Consultant	\$ 32,312								\$ 32,312	Correct budget and difference of \$32,312 from Cal State LA (fund code W4) to LA County (fund code W3).
Jepson	145-4885.01	I-710 North Mobility Hubs Plan	Consultant	\$ (32,312)								\$ (32,312)	Correct budget and difference of \$32,312 from Cal State LA (fund code W4) to LA County (fund code W3).
<b>TOTAL</b>				\$ 40,321,424	\$ -	\$ -	\$ 21,470	\$ -	\$ -	\$ 35,603,268	\$ 4,696,686	\$ -	

Attachment: List of Budget Changes (Resolution No. 21-635-1 Approving Amendment 1 to the FY 2021-22



AGENDA ITEM 4  
REPORT

Southern California Association of Governments  
Remote Participation Only  
September 1, 2021

To: Executive/Administration Committee (EAC)  
Regional Council (RC)

EXECUTIVE DIRECTOR'S  
APPROVAL

From: Cindy Giraldo, Chief Financial Officer  
(213) 630-1413, giraldo@scag.ca.gov

Subject: Contract Amendment Greater Than 30% of the Contract's Original Value:  
20-035-C01, IT Managed Services

**RECOMMENDED ACTION:**

Approve Contract No. 20-035-C01 Amendment 3, with E.K. Associates, in an amount not-to-exceed \$1,961,341, increasing the contract value from \$2,554,499 to \$4,515,840, to provide additional IT Managed Services. Authorize the Executive Director, or his designee, pursuant to legal counsel review, to execute the contract amendment on behalf of SCAG.

**STRATEGIC PLAN:**

This item supports the following Strategic Plan Goal 3: Be the foremost data information hub for the region.

**EXECUTIVE SUMMARY:**

*On July 29, 2020, SCAG awarded Contract 20-035-C01 to E.K. Associates for on-demand managed information technology services. Consultant provides the following services: planning and design, monitoring, troubleshooting and repair, maintenance, and support services. These services extend to SCAG's computers, servers, network equipment, peripherals, related system software, cloud services, and professional services related to remote and on-site monitoring. The contract was structured into two parts: 1. A flat monthly fee for IT managed services as outlined above; and 2. As needed optional services not included in the flat monthly fee & billed on a time & materials basis at pre-negotiated hourly rates. This amendment increases the contract value from \$2,554,499 to \$4,515,840 (\$1,961,341). This increase is a result of implementation support for infrastructure upgrade projects that have been scoped and budgeted since July 29, 2020 and fall into the "as needed optional services" part of the contract. This amendment exceeds \$75,000, as well as 30% of the contract's original value. Therefore, in accordance with the SCAG Procurement Manual (January 2021) Section 9.3, it requires the Regional Council's approval.*





**BACKGROUND:**

**Staff recommends executing the following contract \$200,000 or greater:**

<b><u>Consultant/Contract #</u></b>	<b><u>Contract Purpose</u></b>	<b><u>Contract Amount</u></b>
E.K. Associates (20-035-C01)	Consultant will provide expert scheduled and on-demand managed information technology services.	\$1,961,341

**FISCAL IMPACT:**

811-1163.08 \$1,961,341

Funding sources: Indirect Funding.

Funding of \$1,961,341 for this contract amendment is available in the Indirect Cost Budget in project number 811-1163.08 in multiple fiscal years: \$732,300 in FY21 and \$829,000 in FY22, and \$400,041 will be included in the FY23 budget.

**ATTACHMENT(S):**

1. Contract Summary 20-035-C01 Amendment 3
2. Contract Summary 20-035-C01 COI

**CONSULTANT CONTRACT NO. 20-035-C01 AMENDMENT 3**

**Consultant:** E.K. Associates

**Background & Scope of Work:** On July 29, 2020, SCAG awarded Contract 20-035-C01 to E.K. Associates for on-demand managed information technology services.

Specifically, Consultant provides the following services: planning and design, monitoring, troubleshooting and repair, maintenance, and support services. These services extend to SCAG’s computers, servers, network equipment, peripherals, related system software, cloud services, and professional services related to remote and on-site monitoring.

The contract was structured into two parts: 1. A flat monthly fee for IT managed services as outlined above; and 2. As needed optional services not included in the flat monthly fee, billed on a time & materials basis at pre-negotiated hourly rates.

This amendment also increases the contract value from \$2,554,499 to \$4,515,840 (\$1,961,341).

This increase is a result of implementation support for infrastructure upgrade projects that have been scoped and budgeted since July 29, 2020 and fall into the “as needed optional services” part of the contract.

**Project’s Benefits & Key Deliverables:** The project’s benefits and key deliverables include, but are not limited to:

- Helpdesk phone, e-mail, and onsite support;
- System Maintenance;
- System design and planning of SCAG’s server infrastructure;
- Manage SCAG’s network infrastructure;
- Manage SCAG’s cloud infrastructure; and
- Work closely with SCAG’s CIO and Operations Manager to coordinate IT Planning, budgeting, user response and deployment activities.

**Strategic Plan:** This item supports SCAG’s Strategic Plan Goal 3: Be the foremost data information hub for the region.

<b>Amendment Amount:</b>	Amendment 3	\$1,961,341
	Amendment 2 (administrative - no change to contract’s value)	\$0
	Amendment 1 (administrative - no change to contract’s value)	\$0
	Original contract value	\$2,554,499
	<b>Total contract value is not to exceed</b>	<b>\$4,515,840</b>

This amendment exceeds \$75,000, as well as 30% of the contract’s original value. Therefore, in accordance with the SCAG Procurement Manual (January 2021) Section 9.3, it requires the Regional Council’s approval.

**Contract Period:** July 29, 2020 through June 30, 2025

Attachment: Contract Summary 20-035-C01 Amendment 3 (Contract Amendment Greater Than 30% of the Contract's Original Value: 20-035-

**Project Number:** 811-1163.08 \$1,961,341  
Funding sources: Indirect Cost Budget

Funding of \$1,961,341 for this contract amendment is available in the Indirect Cost Budget in project number 811-1163.08 in multiple fiscal years: \$732,300 in FY21 and \$829,000 in FY22, and \$400,041 will be included in the FY23 budget.

**Basis for the Amendment:** This amendment is required to pay for work being completed under the “as needed optional services” part of the contract. If this contract is not amended, we will exhaust all approved funding before the contract term ends and will be unable to pay for future base or optional services that are required to support the agency.

**Conflict Of Interest (COI) Form - Attachment  
For September 2, 2021 Regional Council Approval**

Approve Contract No. 20-035-C01 Amendment 3, with E.K. Associates, in an amount not-to-exceed \$1,961,341, increasing the contract value from \$2,554,499 to \$4,515,840, to provide additional IT Managed Services. Authorize the Executive Director, or his designee, pursuant to legal counsel review, to execute the contract amendment on behalf of SCAG.

Consultant Name	Did the consultant disclose a conflict in the Conflict of Interest Form they submitted with its original proposal (Yes or No)?
E.K. Associates (prime consultant)	No

# SCAG CONFLICT OF INTEREST FORM

RFP No./Contract No. 20-035-C01

## SECTION I: INSTRUCTIONS

All persons or firms seeking contracts must complete and submit a SCAG Conflict of Interest Form along with the proposal. This requirement also applies to any proposed subconsultant(s). Failure to comply with this requirement may cause your proposal to be declared non-responsive.

In order to answer the questions contained in this form, please review SCAG's Conflict of Interest Policy, the list of SCAG employees, and the list of SCAG's Regional Council members. All three documents can be viewed online at <https://scag.ca.gov>. The SCAG Conflict of Interest Policy is located under "GET INVOLVED", then "Contract & Vendor Opportunities" and scroll down under the "Vendor Contracts Documents" tab; whereas the SCAG staff may be found under "ABOUT US" then "OUR TEAM" then "Employee Directory"; and Regional Council members can be found under "MEETINGS", then scroll down to "LEADERSHIP" then select "REGIONAL COUNCIL" on the left side of the page and click on "Regional Council Officers and Member List."

Any questions regarding the information required to be disclosed in this form should be directed to SCAG's Legal Division, especially if you answer "yes" to any question in this form, as doing so MAY also disqualify your firm from submitting an offer on this proposal

Name of Firm: E.K. ASSOCIATES  
Name of Preparer: REGNA VASWANI  
Project Title: \_\_\_\_\_  
Date Submitted: \_\_\_\_\_

## SECTION II: QUESTIONS

1. During the last twelve (12) months, has your firm provided a source of income to employees of SCAG or members of the SCAG Regional Council, or have any employees or Regional Council members held any investment (including real property) in your firm?

YES       NO

If "yes," please list the names of those SCAG employees and/or SCAG Regional Council members and the nature of the financial interest:

Name	Nature of Financial Interest
_____	_____
_____	_____
_____	_____

2. Have you or any members of your firm been an employee of SCAG or served as a member of the SCAG Regional Council within the last twelve (12) months?

YES       NO

If "yes," please list name, position, and dates of service:

Name	Position	Dates of Service
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

3. Are you or any managers, partners, or officers of your firm related by blood or marriage/domestic partnership to an employee of SCAG or member of the SCAG Regional Council that is considering your proposal?

YES       NO

If "yes," please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____
_____	_____

4. Does an employee of SCAG or a member of the SCAG Regional Council hold a position at your firm as a director, officer, partner, trustee, employee, or any position of management?

YES       NO

If "yes," please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____
_____	_____

5. Have you or any managers, partners, or officers of your firm ever given (directly or indirectly), or offered to give on behalf of another or through another person, campaign contributions or gifts to any current employee of SCAG or member of the SCAG Regional Council (including contributions to a political committee created by or on behalf of a member/candidate)?

YES       NO

If "yes," please list name, date gift or contribution was given/offered, and dollar value:

Name	Date	Dollar Value
_____	_____	_____
_____	_____	_____
_____	_____	_____

**SECTION III: VALIDATION STATEMENT**

This Validation Statement must be completed and signed by at least one General Partner, Owner, Principal, or Officer authorized to legally commit the proposer.

**DECLARATION**

I, (printed full name) REENA VASWANI, hereby declare that I am the (position or title) PRINCIPAL of (firm name) E.K. ASSOCIATES, and that I am duly authorized to execute this Validation Statement on behalf of this entity. I hereby state that this SCAG Conflict of Interest Form dated 8/23/2021 is correct and current as submitted. I acknowledge that any false, deceptive, or fraudulent statements on this Validation Statement will result in rejection of my contract proposal.

Reena Jay V.

Signature of Person Certifying for Proposer  
(original signature required)

8/23/21

Date

**NOTICE**

A material false statement, omission, or fraudulent inducement made in connection with this SCAG Conflict of Interest Form is sufficient cause for rejection of the contract proposal or revocation of a prior contract award.



AGENDA ITEM 5  
REPORT

Southern California Association of Governments  
Remote Participation Only  
September 1, 2021

**To:** Executive/Administration Committee (EAC)  
Regional Council (RC)  
**From:** Cindy Giraldo, Chief Financial Officer  
(213) 630-1413, giraldo@scag.ca.gov  
**Subject:** Contracts \$200,000 or Greater: Contract No. 22-012-C01, Infrastructure  
Upgrade – Data Center Equipment

EXECUTIVE DIRECTOR'S  
APPROVAL

**RECOMMENDED ACTION:**

Approve Contract No. 22-012-C01 in an amount not to exceed \$1,277,323, with The Pinnacle Group, to provide hardware, software, maintenance, and support for state-of-the-art equipment including physical servers, storage, and network devices at SCAG's secondary data center. Authorize the Executive Director, or his designee, pursuant to legal counsel review, to execute the contract on behalf of SCAG.

**STRATEGIC PLAN:**

This item supports the following Strategic Plan Goal 3: Be the foremost data information hub for the region.

**EXECUTIVE SUMMARY:**

*As part of the overall effort to upgrade SCAG's information technology infrastructure, staff initiated an upgrade to its backup and disaster recovery plan that included moving to a new secondary datacenter facility to ensure SCAG's servers, enterprise services and core data will be available should SCAG experience an interruption to connectivity/business at our primary data center. To ensure the reliability and availability of SCAG's business applications, SCAG's secondary data center components need to be upgraded, including those that have reached their end-of-life.*

**BACKGROUND:**

Staff recommends executing the following contract \$200,000 or greater:

<u>Consultant/Contract #</u>	<u>Contract Purpose</u>	<u>Contract Amount</u>
The Pinnacle Group (22-012-C01)	This contract includes hardware, software, maintenance and support fees for new equipment purchased including:	\$1,277,323



1. Physical servers, storage, and network devices at SCAG's secondary data centers.
2. Updating VMWare, Veeam, and Windows Server software and licenses that are used for SCAG IT infrastructure data recovery and backup.

**FISCAL IMPACT:**

Funding of \$424,635 for software, support, and licensing is available in the Indirect Cost Budget in project number 811.1163.17. Funding of \$852,688 for capital assets over \$5,000 such as servers, storage, network devices, and installation will be charged to the General Fund Budget in project number 800.0160.10. The cost will be recovered through depreciation in the Indirect Cost Budget.

**ATTACHMENT(S):**

1. Contract Summary 22-012-C01
2. Contract Summary 22-012-C01 COI

**CONSULTANT CONTRACT NO. 22-012-C01**

**Recommended Consultant:**

The Pinnacle Group

**Background & Scope of Work:**

As part of the overall effort to upgrade SCAG’s information technology infrastructure, staff initiated an upgrade to its backup and disaster recovery plan that included moving to a new secondary datacenter facility to ensure SCAG’s servers, enterprise services and core data will be available should SCAG experience an interruption to connectivity/business at our primary data center. To ensure the reliability and availability of SCAG’s business applications, SCAG’s secondary data center components need to be upgraded, including those that have reached their end-of-life.

It is of critical importance to SCAG operations that SCAG’s aging IT infrastructure is upgraded and that backup systems are in place should SCAG experience an unforeseen event. SCAG’s IT infrastructure supports all of SCAG’s business applications daily. This includes Finance Division applications, Microsoft Dynamics GP, Microsoft Customer Relationship Management (CRM), SQL Server databases. It also supports critical projects such as GIS applications and the Regional Aerial Imagery project.

This contract includes hardware, software, maintenance, and support fees for new equipment purchased including:

1. Physical servers, storage, and network devices at SCAG’s secondary data center.
2. Updating VMWare, Veeam, and Windows Server software and licenses that are used for SCAG IT infrastructure data recovery and backup.

**Project’s Benefits & Key Deliverables:**

The project’s benefits and key deliverables include, but are not limited to:

- State of the art equipment ensuring the reliability, availability and efficiency of SCAG’s business applications;
- Ensuring that SCAG’s core data are available to reliably serve SCAG staff, constituents, and partners; and
- Increasing the reliability of SCAG’s disaster recovery and business continuity plan.

**Strategic Plan**

This item supports SCAG’s Strategic Plan Goal 3: Be the foremost data information hub for the region; Objective: Model best practices by prioritizing continuous improvement and technical innovations through the adoption of interactive, automated, and state-of-the-art information tools and technologies.

**Contract Amount:**

**Total not to exceed**

**\$1,277,323**

**Contract Period:**

Notice to proceed through September 30, 2026

**Project Number:**

Funding of \$424,635 for software, support, and licensing is available in the Indirect Cost Budget in project number 811.1163.17. Funding of \$852,688 for capital assets over \$5,000 such as servers, storage, network devices, and installation will be

Attachment: Contract Summary 22-012-C01 (Contracts \$200,000 or Greater: Contract No. 22-012-C01, Infrastructure Upgrade – Data Center

charged to the General Fund Budget in project number 800.0160.10. The cost will be recovered through depreciation in the Indirect Cost Budget.

**Request for Quote:**

SCAG staff notified 1,404 firms of the release of RFP 22-012-C01 via SCAG's Solicitation Management System website. A total of 47 firms downloaded the RFP. SCAG received the following three (3) quotes in response to the solicitation:

<b>The Pinnacle Group</b>	<b>\$1,277,323</b>
Kambrian Corporation	\$1,536,065
BB2 Technology Group	\$1,577,186

**Basis for Selection:**

Given the fact that staff issued an RFQ, staff recommends awarding the contract to the lowest responsive and responsible bidder, Pinnacle.

**Conflict Of Interest (COI) Form - Attachment  
For September 2, 2021 Regional Council Approval**

Approve Contract No. 20-012-C01 in an amount not to exceed \$1,277,323, with The Pinnacle Group, to provide hardware, software, maintenance, and support for state-of-the-art equipment including physical servers, storage, and network devices at SCAG’s secondary data center. Authorize the Executive Director, or his designee, pursuant to legal counsel review, to execute the contract on behalf of SCAG.

The consultant team for this contract includes:

Consultant Name	Did the consultant disclose a conflict in the Conflict of Interest Form they submitted with its original proposal (Yes or No)?
The Pinnacle Group	No - form attached

# SCAG CONFLICT OF INTEREST FORM

RFP No./Contract No. 22-012-C01

## SECTION I: INSTRUCTIONS

All persons or firms seeking contracts must complete and submit a SCAG Conflict of Interest Form along with the proposal. This requirement also applies to any proposed subconsultant(s). Failure to comply with this requirement may cause your proposal to be declared non-responsive.

In order to answer the questions contained in this form, please review SCAG's Conflict of Interest Policy, the list of SCAG employees, and the list of SCAG's Regional Council members. All three documents can be viewed online at <https://scag.ca.gov>. The SCAG Conflict of Interest Policy is located under "GET INVOLVED", then "Contract & Vendor Opportunities" and scroll down under the "Vendor Contracts Documents" tab; whereas the SCAG staff may be found under "ABOUT US" then "OUR TEAM" then "Employee Directory"; and Regional Council members can be found under "MEETINGS", then scroll down to "LEADERSHIP" then select "REGIONAL COUNCIL" on the left side of the page and click on "Regional Council Officers and Member List."

Any questions regarding the information required to be disclosed in this form should be directed to SCAG's Legal Division, especially if you answer "yes" to any question in this form, as doing so MAY also disqualify your firm from submitting an offer on this proposal

**Name of Firm:** Pinnacle Business Solutions (The Pinnacle Group)  
**Name of Preparer:** James Bartlett - EVP Services  
**Project Title:** RFQ 22-012 - Datacenter Network Infrastructure Upgrade  
**Date Submitted:** 8-11-21

## SECTION II: QUESTIONS

1. During the last twelve (12) months, has your firm provided a source of income to employees of SCAG or members of the SCAG Regional Council, or have any employees or Regional Council members held any investment (including real property) in your firm?

YES       NO

If "yes," please list the names of those SCAG employees and/or SCAG Regional Council members and the nature of the financial interest:

Name	Nature of Financial Interest
<hr/>	<hr/>
<hr/>	<hr/>
<hr/>	<hr/>

2. Have you or any members of your firm been an employee of SCAG or served as a member of the SCAG Regional Council within the last twelve (12) months?

YES       NO

If "yes," please list name, position, and dates of service:

Name	Position	Dates of Service
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

3. Are you or any managers, partners, or officers of your firm related by blood or marriage/domestic partnership to an employee of SCAG or member of the SCAG Regional Council that is considering your proposal?

YES       NO

If "yes," please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____
_____	_____

4. Does an employee of SCAG or a member of the SCAG Regional Council hold a position at your firm as a director, officer, partner, trustee, employee, or any position of management?

YES       NO

If "yes," please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____
_____	_____

5. Have you or any managers, partners, or officers of your firm ever given (directly or indirectly), or offered to give on behalf of another or through another person, campaign contributions or gifts to any current employee of SCAG or member of the SCAG Regional Council (including contributions to a political committee created by or on behalf of a member/candidate)?

YES  NO

If "yes," please list name, date gift or contribution was given/offered, and dollar value:


Name	Date	Dollar Value
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

**SECTION III: VALIDATION STATEMENT**

This Validation Statement must be completed and signed by at least one General Partner, Owner, Principal, or Officer authorized to legally commit the proposer.

**DECLARATION**

I, (printed full name) James Bartlett, hereby declare that I am the (position or title) EVP - Services of (firm name) The Pinnacle Group, and that I am duly authorized to execute this Validation Statement on behalf of this entity. I hereby state that this SCAG Conflict of Interest Form dated 8-18-21 is correct and current as submitted. I acknowledge that any false, deceptive, or fraudulent statements on this Validation Statement will result in rejection of my contract proposal.

  
 \_\_\_\_\_ 8-18-21  
 Signature of Person Certifying for Proposer Date  
 (original signature required)

**NOTICE**

A material false statement, omission, or fraudulent inducement made in connection with this SCAG Conflict of Interest Form is sufficient cause for rejection of the contract proposal or revocation of a prior contract award.



AGENDA ITEM 6  
REPORT

Southern California Association of Governments  
Remote Participation Only  
September 1, 2021

**To:** Executive/Administration Committee (EAC)  
Regional Council (RC)  
**From:** Cindy Giraldo, Chief Financial Officer  
(213) 630-1413, giraldo@scag.ca.gov  
**Subject:** Contracts \$200,000 or Greater: Contract No. 21-058-C01, Heavy Duty  
Truck Model Improvement

EXECUTIVE DIRECTOR'S  
APPROVAL

**RECOMMENDED ACTION:**

Approve Contract No. 21-058-C01 in an amount not to exceed \$312,590, with Fehr and Peers, subject final negotiation, to review, enhance and validate Heavy-Duty Truck (HDT) model and provide framework for future HDT model enhancement. Authorize the Executive Director, or his designee, pursuant to legal counsel review, to execute the contract on behalf of SCAG.

**STRATEGIC PLAN:**

This item supports the following Strategic Plan Goal 4: Provide innovative information and value-added services to enhance member agencies' planning and operations and promote regional collaboration.

**EXECUTIVE SUMMARY:**

*The consultant shall provide a comprehensive Heavy-Duty Truck (HDT) model, technical assistant, and future HDT model strategic framework to further advance HDT model that SCAG's modeling staff shall use to analyze truck travel from various transportation improvements and policies for SCAG's plans and programs in support of the 2024 Regional Transportation plan and Sustainable Communities Strategy (2024 RTP/SCS).*

**BACKGROUND:**

Staff recommends executing the following contract \$200,000 or greater:

<u>Consultant/Contract #</u>	<u>Contract Purpose</u>	<u>Contract Amount</u>
Fehr and Peers (21-058-C01)	The consultant shall review, enhance, and validate Heavy-Duty Truck (HDT) model and provide framework for future HDT model enhancement.	\$312,590





**FISCAL IMPACT:**

Funding of \$312,590 is available in the FY 2021-22 Overall Work Program (OWP) budget in Project Number 070-0130B.12.

**ATTACHMENT(S):**

1. Contract Summary 21-058-C01
2. Contract Summary 21-058-C01 COI

**CONSULTANT CONTRACT NO. 21-058-C01**

**Recommended Consultant:**

Fehr & Peers

**Background & Scope of Work:**

As the Metropolitan Planning Organization (MPO) for the region, SCAG is responsible for the development and maintenance of Heavy-Duty Truck (HDT) Model to evaluate important policy choices and investment decisions for the SCAG region. The model is a primary tool to analyze truck travel, including seaports and airports, for SCAG’s main plans and projects. The HDT model, as one of the main model components of SCAG’s Regional Travel Demand Model, was used for the analysis to SCAG’s 2020 RTP/SCS.

The scope of work entails data analysis review, HDT model components improvements, model validation (to verify accuracy of the model), and sensitivity testing (testing the model by different transportation policies) which will all enhance SCAG’s HDT model.

**Project’s Benefits & Key Deliverables:**

The project’s benefits and key deliverables include, but are not limited to:

- Providing a comprehensive HDT model that is capable of analyzing various transportation improvements and policies for SCAG’s plans and programs in support of the 2024 RTP/SCS;
- Providing technical assistance on model estimation and validation to enhance staff’s technical and analytical skills;
- Providing future HDT model strategic framework to further advance HDT model
- SCAG Heavy Duty Truck Model Software;
- Technical documents; and
- Framework for future HDT model enhancements.

**Strategic Plan:**

This item supports SCAG’s Strategic Plan Goal 4: Develop, Maintain and Promote the Utilization of State of the Art Models, Information Systems and Communication Technologies; Objective: a) Develop and maintain planning models that support regional planning.

**Contract Amount:**

<b>Total not to exceed</b>	<b>\$312,590</b>
Fehr & Peers (prime consultant)	\$136,360
Cambridge Systematics (subconsultant)	\$135,386
VRPA Technologies, Inc. (subconsultant)	\$40,844

**Contract Period:**

Notice to proceed through June 30, 2023

**Project Number(s):**

070-0130B.12    \$312,590  
Funding source(s): Consolidated Planning Grant (CPG) – Federal Transit Administration (FTA) Section 5303.

Funding of \$312,590 is available in the FY 2021-22 Overall Work Program (OWP) budget in Project Number 070-0130B.12.

**Request for Proposal (RFP):**

SCAG staff notified 4,506 firms of the release of RFP 21-058 via SCAG’s Solicitation Management System. A total of 22 firms downloaded the RFP. SCAG received the following 2 proposals in response to the solicitation:

<b>Fehr &amp; Peers (prime consultant)</b>	<b>\$312,590</b>
HBA Specto Incorporated (1 subconsultant)	\$245,860

**Selection Process:**

The Proposal Review Committee (PRC) evaluated each proposal in accordance with the criteria set forth in the RFP, and conducted the selection process in a manner consistent with all applicable federal and state contracting regulations. After evaluating the proposals, the PRC interviewed both firms.

The PRC consisted of the following individuals:

- Mana Sangkapichai, Transportation Modeler IV, SCAG
- Stephen Sungsu Yoon, Sr. Regional Planner, SCAG
- Ellen Jisu Lee, Transportation Modeler II, SCAG
- John Cho, Sr. Regional Planner, SCAG

**Basis for Selection:**

The PRC recommended Resource Systems Group for the contract award because the consultant:

- Demonstrated the most extensive experience with projects of similar size and scope. Specifically, Fehr & Peers demonstrated most familiarity and better understanding, specifically on strength and potential changes with SCAG HDT model since their members from Cambridge Systematics were the original developers of the SCAG HDT model and its latest major updates of the model. Fehr & Peers also demonstrated better experience on other essential HDT model components which are important to complete model calibration and validation. For example, they involved in the design of the PortTAM model (to model trips to and from Port of L.A. and Long Beach and intermodal railyard), as well as adapted the SCAG HDT model for use in sub-regional updates for Riverside, San Bernardino, and imperial county; and
- Proposed methodologies and technical approaches were more detailed, comprehensive and innovative. Specifically, Fehr & Peers’ data analysis and data utilization approach: Fehr & Peers demonstrated more experience and capability to utilize and apply other new and existing data sources, such as truck GPS data, commodity flow data, and establishment data, as alternatives to an outdated establishment survey. Further, their Sensitivity Test approach demonstrated the most thorough understanding of sensitivity tests that are essential to determine whether the model responds well to reasonable changes from key inputs. Their proposed possible tests include changes in land use, employee and generalized costs, such as operation cost or fuel cost, which meet requirements of the HDT model enhancement for the analysis

Although the other firm proposed lower prices than the selected consultant, the PRC did not recommend this firm for contract award because this firm did not demonstrate clear approaches and methodologies, specifically on data analysis, data utilization for model components improvement, and sensitivity test approach for model validation.

**Conflict of Interest (COI) Form - Attachment  
For September 2, 2021 Regional Council Approval**

Approve Contract No. 21-058-C01 in an amount not to exceed \$312,590, with Fehr and Peers, subject final negotiation, to review, enhance and validate Heavy-Duty Truck (HDT) model and provide framework for future HDT model enhancement. Authorize the Executive Director, or his designee, pursuant to legal counsel review, to execute the contract on behalf of SCAG.

The consultant team for this contract includes:

Consultant Name	Did the consultant disclose a conflict in the Conflict of Interest Form they submitted with its original proposal (Yes or No)?
Fehr & Peers (prime consultant)	No - form attached
Cambridge Systematics (subconsultant)	No - form attached
VRPA Technologies, Inc. (subconsultant)	No - form attached

# SCAG CONFLICT OF INTEREST FORM

RFP No. 21-058

## SECTION I: INSTRUCTIONS

All persons or firms seeking contracts must complete and submit a SCAG Conflict of Interest Form along with the proposal. This requirement also applies to any proposed subconsultant(s). Failure to comply with this requirement may cause your proposal to be declared non-responsive.

In order to answer the questions contained in this form, please review SCAG’s Conflict of Interest Policy, the list of SCAG employees, and the list of SCAG’s Regional Council members. All three documents can be viewed online at [www.scag.ca.gov](http://www.scag.ca.gov). The SCAG Conflict of Interest Policy is located under “OPPORTUNITIES”, then “Doing Business with SCAG” and scroll down under the “CONTRACTS” tab; whereas the SCAG staff may be found under “ABOUT” then “Employee Directory”; and Regional Council members can be found under “ABOUT”, then scroll down to “ELECTED OFFICIALS” on the left side of the page and click on “See the list of SCAG representative and their Districts.”

Any questions regarding the information required to be disclosed in this form should be directed to SCAG’s Deputy Legal Counsel, especially if you answer “yes” to any question in this form, as doing so MAY also disqualify your firm from submitting an offer on this proposal

Name of Firm: Fehr & Peers

Name of Preparer: Mike Wallace

Project Title: Heavy Duty Truck Model Improvement

RFP Number: A 21-058 Date Submitted: \_\_\_\_\_

## SECTION II: QUESTIONS

1. During the last twelve (12) months, has your firm provided a source of income to employees of SCAG or members of the SCAG Regional Council, or have any employees or Regional Council members held any investment (including real property) in your firm?

YES  NO

If “yes,” please list the names of those SCAG employees and/or SCAG Regional Council members and the nature of the financial interest:

Name	Nature of Financial Interest
_____	_____
_____	_____
_____	_____
_____	_____

Attachment: Contract Summary 21-058-C01 COI (Contracts \$200,000 or Greater: Contract No. 21-058-C01, Heavy Duty Truck Model

2. Have you or any members of your firm been an employee of SCAG or served as a member of the SCAG Regional Council within the last twelve (12) months?

YES  NO

If "yes," please list name, position, and dates of service:

Name	Position	Dates of Service
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

3. Are you or any managers, partners, or officers of your firm related by blood or marriage/domestic partnership to an employee of SCAG or member of the SCAG Regional Council that is considering your proposal?

YES  NO

If "yes," please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____
_____	_____

4. Does an employee of SCAG or a member of the SCAG Regional Council hold a position at your firm as a director, officer, partner, trustee, employee, or any position of management?

YES  NO

If "yes," please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____
_____	_____

Attachment: Contract Summary 21-058-C01 COI (Contracts \$200,000 or Greater: Contract No. 21-058-C01, Heavy Duty Truck Model

5. Have you or any managers, partners, or officers of your firm ever given (directly or indirectly), or offered to give on behalf of another or through another person, campaign contributions or gifts to any current employee of SCAG or member of the SCAG Regional Council (including contributions to a political committee created by or on behalf of a member/candidate)?

YES  NO

If "yes," please list name, date gift or contribution was given/offered, and dollar value:


Name	Date	Dollar Value
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

**SECTION III: VALIDATION STATEMENT**

This Validation Statement must be completed and signed by at least one General Partner, Owner, Principal, or Officer authorized to legally commit the proposer.

**DECLARATION**

I, (printed full name) Mike Wallace, hereby declare that I am the (position or title) Principal of (firm name) Fehr & Peers, and that I am duly authorized to execute this Validation Statement on behalf of this entity. I hereby state that this SCAG Conflict of Interest Form dated 06/02/2021 is correct and current as submitted. I acknowledge that any false, deceptive, or fraudulent statements on this Validation Statement will result in rejection of my contract proposal.


06/02/2021

---

Signature of Person Certifying for Proposer Date  
(original signature required)

**NOTICE**

A material false statement, omission, or fraudulent inducement made in connection with this SCAG Conflict of Interest Form is sufficient cause for rejection of the contract proposal or revocation of a prior contract award.

Attachment: Contract Summary 21-058-C01 COI (Contracts \$200,000 or Greater: Contract No. 21-058-C01, Heavy Duty Truck Model

# SCAG CONFLICT OF INTEREST FORM

RFP No. 21-058

## SECTION I: INSTRUCTIONS

All persons or firms seeking contracts must complete and submit a SCAG Conflict of Interest Form along with the proposal. This requirement also applies to any proposed subconsultant(s). Failure to comply with this requirement may cause your proposal to be declared non-responsive.

In order to answer the questions contained in this form, please review SCAG's Conflict of Interest Policy, the list of SCAG employees, and the list of SCAG's Regional Council members. All three documents can be viewed online at [www.scag.ca.gov](http://www.scag.ca.gov). The SCAG Conflict of Interest Policy is located under "OPPORTUNITIES", then "Doing Business with SCAG" and scroll down under the "CONTRACTS" tab; whereas the SCAG staff may be found under "ABOUT" then "Employee Directory"; and Regional Council members can be found under "ABOUT", then scroll down to "ELECTED OFFICIALS" on the left side of the page and click on "See the list of SCAG representative and their Districts."

Any questions regarding the information required to be disclosed in this form should be directed to SCAG's Deputy Legal Counsel, especially if you answer "yes" to any question in this form, as doing so MAY also disqualify your firm from submitting an offer on this proposal

Name of Firm: Cambridge Systematics, Inc.

Name of Preparer: James J. Brogan

Project Title: Executive Vice President

RFP Number: 21-058 Date Submitted: June 4, 2021

## SECTION II: QUESTIONS

1. During the last twelve (12) months, has your firm provided a source of income to employees of SCAG or members of the SCAG Regional Council, or have any employees or Regional Council members held any investment (including real property) in your firm?

YES       NO

If "yes," please list the names of those SCAG employees and/or SCAG Regional Council members and the nature of the financial interest:

Name	Nature of Financial Interest
_____	_____
_____	_____
_____	_____
_____	_____



2. Have you or any members of your firm been an employee of SCAG or served as a member of the SCAG Regional Council within the last twelve (12) months?

YES  NO

If "yes," please list name, position, and dates of service:

Name	Position	Dates of Service
_____	_____	_____
_____	_____	_____
_____	_____	_____

3. Are you or any managers, partners, or officers of your firm related by blood or marriage/domestic partnership to an employee of SCAG or member of the SCAG Regional Council that is considering your proposal?

YES  NO

If "yes," please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____

4. Does an employee of SCAG or a member of the SCAG Regional Council hold a position at your firm as a director, officer, partner, trustee, employee, or any position of management?

YES  NO

If "yes," please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____

5. Have you or any managers, partners, or officers of your firm ever given (directly or indirectly), or offered to give on behalf of another or through another person, campaign contributions or gifts to any current employee of SCAG or member of the SCAG Regional Council (including contributions to a political committee created by or on behalf of a member/candidate)?

YES       NO

If "yes," please list name, date gift or contribution was given/offered, and dollar value:

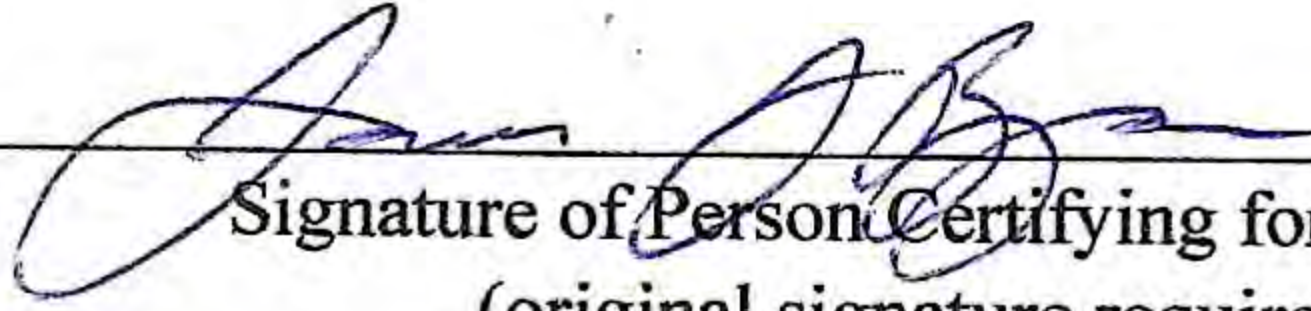
Name	Date	Dollar Value
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

**SECTION III: VALIDATION STATEMENT**

This Validation Statement must be completed and signed by at least one General Partner, Owner, Principal, or Officer authorized to legally commit the proposer.

**DECLARATION**

I, (printed full name) James J. Brogan, hereby declare that I am the (position or title) Executive Vice President of (firm name) Cambridge Systematics, Inc., and that I am duly authorized to execute this Validation Statement on behalf of this entity. I hereby state that this SCAG Conflict of Interest Form dated \_\_\_\_\_ is correct and current as submitted. I acknowledge that any false, deceptive, or fraudulent statements on this Validation Statement will result in rejection of my contract proposal.


 \_\_\_\_\_ Date 5/27/21  
 Signature of Person Certifying for Proposer (original signature required)

**NOTICE**

A material false statement, omission, or fraudulent inducement made in connection with this SCAG Conflict of Interest Form is sufficient cause for rejection of the contract proposal or revocation of a prior contract award.

# SCAG CONFLICT OF INTEREST FORM

RFP No. 21-058

## SECTION I: INSTRUCTIONS

All persons or firms seeking contracts must complete and submit a SCAG Conflict of Interest Form along with the proposal. This requirement also applies to any proposed subconsultant(s). Failure to comply with this requirement may cause your proposal to be declared non-responsive.

In order to answer the questions contained in this form, please review SCAG's Conflict of Interest Policy, the list of SCAG employees, and the list of SCAG's Regional Council members. All three documents can be viewed online at [www.scag.ca.gov](http://www.scag.ca.gov). The SCAG Conflict of Interest Policy is located under "OPPORTUNITIES", then "Doing Business with SCAG" and scroll down under the "CONTRACTS" tab; whereas the SCAG staff may be found under "ABOUT" then "Employee Directory"; and Regional Council members can be found under "ABOUT", then scroll down to "ELECTED OFFICIALS" on the left side of the page and click on "See the list of SCAG representative and their Districts."

Any questions regarding the information required to be disclosed in this form should be directed to SCAG's Deputy Legal Counsel, especially if you answer "yes" to any question in this form, as doing so MAY also disqualify your firm from submitting an offer on this proposal

Name of Firm: VRPA Technologies, Inc.

Name of Preparer: Erik Ruehr

Project Title: Heavy Duty Truck Model Improvement

RFP Number: A 21-058 Date Submitted: 05/28/21

## SECTION II: QUESTIONS

1. During the last twelve (12) months, has your firm provided a source of income to employees of SCAG or members of the SCAG Regional Council, or have any employees or Regional Council members held any investment (including real property) in your firm?

YES  NO

If "yes," please list the names of those SCAG employees and/or SCAG Regional Council members and the nature of the financial interest:

Name	Nature of Financial Interest
_____	_____
_____	_____
_____	_____
_____	_____

2. Have you or any members of your firm been an employee of SCAG or served as a member of the SCAG Regional Council within the last twelve (12) months?

YES  NO

If "yes," please list name, position, and dates of service:

Name	Position	Dates of Service
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

3. Are you or any managers, partners, or officers of your firm related by blood or marriage/domestic partnership to an employee of SCAG or member of the SCAG Regional Council that is considering your proposal?

YES  NO

If "yes," please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____
_____	_____

4. Does an employee of SCAG or a member of the SCAG Regional Council hold a position at your firm as a director, officer, partner, trustee, employee, or any position of management?

YES  NO

If "yes," please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____
_____	_____

Attachment: Contract Summary 21-058-C01 COI (Contracts \$200,000 or Greater: Contract No. 21-058-C01, Heavy Duty Truck Model

5. Have you or any managers, partners, or officers of your firm ever given (directly or indirectly), or offered to give on behalf of another or through another person, campaign contributions or gifts to any current employee of SCAG or member of the SCAG Regional Council (including contributions to a political committee created by or on behalf of a member/candidate)?

YES       NO

If "yes," please list name, date gift or contribution was given/offered, and dollar value:

Name	Date	Dollar Value
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

**SECTION III: VALIDATION STATEMENT**

This Validation Statement must be completed and signed by at least one General Partner, Owner, Principal, or Officer authorized to legally commit the proposer.

**DECLARATION**

I, (printed full name) Erik Ruehr, hereby declare that I am the (position or title) Director of Traffic Engineering of (firm name) VRPA Technologies, Inc., and that I am duly authorized to execute this Validation Statement on behalf of this entity. I hereby state that this SCAG Conflict of Interest Form dated 05/28/21 is correct and current as submitted. I acknowledge that any false, deceptive, or fraudulent statements on this Validation Statement will result in rejection of my contract proposal.



05/28/21

Signature of Person Certifying for Proposer  
(original signature required)

Date

**NOTICE**

A material false statement, omission, or fraudulent inducement made in connection with this SCAG Conflict of Interest Form is sufficient cause for rejection of the contract proposal or revocation of a prior contract award.



AGENDA ITEM 7  
REPORT

Southern California Association of Governments  
Remote Participation Only  
September 1, 2021

**To:** Executive/Administration Committee (EAC)  
Regional Council (RC)  
**From:** Cindy Giraldo, Chief Financial Officer  
(213) 630-1413, giraldo@scag.ca.gov  
**Subject:** Contracts \$200,000 or Greater: Contract No. 21-064-C01, Southern  
California Goods Movement Communities Freight Impact Assessment

EXECUTIVE DIRECTOR'S  
APPROVAL

**RECOMMENDED ACTION:**

Approve Contract No. 21-064-C01 in an amount not to exceed \$239,419 with Fehr & Peers to conduct a Southern California Goods Movement Communities Freight Impact Assessment. Authorize the Executive Director, or his designee, pursuant to legal counsel review, to execute the contract on behalf of SCAG.

**STRATEGIC PLAN:**

This item supports the following Strategic Plan Goal 1: Produce innovative solutions that improve the quality of life for Southern Californians.

**EXECUTIVE SUMMARY:**

*Contract 21-064-C01 in an amount not to exceed \$239,419 with Fehr & Peers is presented for approval to conduct a study to understand the positive and negative impacts of goods movement as well as the experience of these impacts on the communities. This study will focus on public health, workforce development and communications best practices. Key products include a best practices toolkit for impacted communities, recommendations for impacted communities and the region, and a communications strategy for SCAG goods movement outreach.*

**BACKGROUND:**

Staff recommends executing the following contract \$200,000 or greater:

<u>Consultant/Contract #</u>	<u>Contract Purpose</u>	<u>Contract Amount</u>
Fehr & Peers	<i>The consultant will conduct a study to understand the positive and negative impacts of goods movement as well as the experience of these impacts on the communities.</i>	\$239,419



**FISCAL IMPACT:**

Funding of \$239,419 is available in the FY 2021-22 Overall Work Program (OWP) in Project Numbers 145-486H1.01 and 145-4865E.01.

**ATTACHMENT(S):**

1. Contract Summary 21-064-C01
2. Contract Summary 21-064-C01 COI

**CONSULTANT CONTRACT NO. 21-064-C01**

**Recommended Consultant:**

Fehr & Peers

**Background & Scope of Work:**

Consistent with the requirements of the Caltrans Sustainable Communities Planning Grant that funds this project, the consultant shall work on behalf of SCAG to conduct a Southern California Goods Movement Communities Freight Impact Assessment. This study aims to understand the positive and negative impacts of goods movement as well as the experience of these impacts on the communities in the SCAG region. This study will focus on public health, workforce development and communications best practices. Key products include a best practices toolkit for impacted communities, recommendations for impacted communities and the region, and a communications strategy for SCAG goods movement outreach.

The study will explore innovative means of outreach to engage communities. The study will result in mutually developed tools and recommendations for communities to benefit from goods movement economic opportunities and address any perceived negative impacts in their communities.

**Project's Benefits & Key Deliverables:**

The project's benefits and key deliverables include, but are not limited to:

- Identification of disproportionate burdens on disadvantaged and impacted communities in the region, particularly those related to air quality, traffic, and employment opportunities resulting from localized goods movement activities;
- Identification of potential opportunities for disadvantaged and impacted communities to benefit from goods movement activities;
- Development and execution of a communications strategy to share study findings and toolkit with populations of disadvantaged and impacted communities, and to guide SCAG's future engagement on goods movement with communities in the region and

A toolkit of strategies for stakeholders of impacted communities that can be replicated and used to mitigate localized goods movement impacts, and make appropriate investments that strengthen their communities.

**Strategic Plan:**

This item supports SCAG's Strategic Plan Goal 1: Produce innovative solutions that improve the quality of life for Southern Californians.

**Contract Amount:**

<b>Total not to exceed</b>	<b>\$239,419</b>
Fehr & Peers (prime consultant)	\$161,329
Arellano Associates (subconsultant)	\$78,090

**Contract Period:**

Notice to Proceed through September 30, 2022

**Project Number(s):**

145-4865H1.01 \$191,535  
145-4865E.01 \$ 47,884  
Funding source(s): Caltrans Sustainable Transportation Planning Grant, FHWA Strategic Partnerships.



**Request for Proposal (RFP):** SCAG staff notified 2,005 firms of the release of RFP 21-064 via SCAG’s Solicitation Management System website. A total of 1 firm downloaded the RFP. SCAG received the following two (2) proposals in response to the solicitation:

**Fehr & Peers (1 subconsultant) \$239,419**

The Regents of University of California,  
on behalf of the Riverside Campus – (1 subconsultant) \$632,893

**Selection Process:** The Proposal Review Committee (PRC) evaluated each proposal in accordance with the criteria set forth in the RFP and conducted the selection process in a manner consistent with all applicable federal and state contracting regulations. After evaluating the proposals, the PRC did not conduct interviews because the proposals contained sufficient information on which to base a contract award.

The PRC consisted of the following individuals:

- Alison Linder, (Project Manager) Sr. Regional Transportation Planner(SCAG)
- Anita Au, Sr. Regional Transportation Planner (SCAG)
- Prithvi Deore, Assistant Transportation Planner(SCAG)
- Annie Nam, Manager of Goods Movement and Transportation Finance

**Basis for Selection:** The PRC recommended Fehr & Peers for the contract award because the consultant:

- Demonstrated the best understanding of the project, specifically, the consultant understood the need to engage goods movement impacted communities in new and innovative ways and provided several examples of how this would be done. The consultant describes a two way communications process where material will be shared with relevant community members and input and feedback will be collected. The consultant describes workshops, CBO integration and use of interactive online tools to engage community members and collect and analyze input;
- Provided the best technical approach, for example; the consultant described appropriate tools and methods to conduct a community screening, to document goods movement impacted communities and to identify communities of focus for the study; and
- Proposed the lowest price.

**Conflict of Interest (COI) Form - Attachment  
For September 2, 2021 Regional Council Approval**

Approve Contract No. 21-064-C01 in an amount not to exceed \$239,419 with Fehr & Peers to conduct a Southern California Goods Movement Communities Freight Impact Assessment. Authorize the Executive Director, or his designee, pursuant to legal counsel review, to execute the contract on behalf of SCAG.

The consultant team for this contract includes:

Consultant Name	Did the consultant disclose a conflict in the Conflict of Interest Form they submitted with its original proposal (Yes or No)?
Fehr & Peers (prime consultant)	No - form attached
Arellano Associates (subconsultant)	No- form attached

# SCAG CONFLICT OF INTEREST FORM

RFP No./Contract No. RFP No. 21-064

## SECTION I: INSTRUCTIONS

All persons or firms seeking contracts must complete and submit a SCAG Conflict of Interest Form along with the proposal. This requirement also applies to any proposed subconsultant(s). Failure to comply with this requirement may cause your proposal to be declared non-responsive.

In order to answer the questions contained in this form, please review SCAG’s Conflict of Interest Policy, the list of SCAG employees, and the list of SCAG’s Regional Council members. All three documents can be viewed online at <https://scag.ca.gov>. The SCAG Conflict of Interest Policy is located under “GET INVOLVED”, then “Contract & Vendor Opportunities” and scroll down under the “Vendor Contracts Documents” tab; whereas the SCAG staff may be found under “ABOUT US” then “OUR TEAM” then “Employee Directory”; and Regional Council members can be found under “MEETINGS”, then scroll down to “LEADERSHIP” then select “REGIONAL COUNCIL” on the left side of the page and click on “Regional Council Officers and Member List.”

Any questions regarding the information required to be disclosed in this form should be directed to SCAG’s Legal Division, especially if you answer “yes” to any question in this form, as doing so MAY also disqualify your firm from submitting an offer on this proposal

Name of Firm: Fehr & Peers

Name of Preparer: Jeremy Klop

Project Title: Southern California Goods Movement Communities Freight Impact Assessment.

RFP Number: 21-064 Date Submitted: 6/9/2021

## SECTION II: QUESTIONS

1. During the last twelve (12) months, has your firm provided a source of income to employees of SCAG or members of the SCAG Regional Council, or have any employees or Regional Council members held any investment (including real property) in your firm?

YES  NO

If “yes,” please list the names of those SCAG employees and/or SCAG Regional Council members and the nature of the financial interest:

Name	Nature of Financial Interest
_____	_____
_____	_____
_____	_____
_____	_____

2. Have you or any members of your firm been an employee of SCAG or served as a member of the SCAG Regional Council within the last twelve (12) months?

YES       NO

If "yes," please list name, position, and dates of service:

Name	Position	Dates of Service
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

3. Are you or any managers, partners, or officers of your firm related by blood or marriage/domestic partnership to an employee of SCAG or member of the SCAG Regional Council that is considering your proposal?

YES       NO

If "yes," please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____
_____	_____

4. Does an employee of SCAG or a member of the SCAG Regional Council hold a position at your firm as a director, officer, partner, trustee, employee, or any position of management?

YES       NO

If "yes," please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____
_____	_____

5. Have you or any managers, partners, or officers of your firm ever given (directly or indirectly), or offered to give on behalf of another or through another person, campaign contributions or gifts to any current employee of SCAG or member of the SCAG Regional Council (including contributions to a political committee created by or on behalf of a member/candidate)?

YES       NO

If "yes," please list name, date gift or contribution was given/offered, and dollar value:

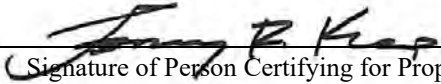
Name	Date	Dollar Value
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

**SECTION III: VALIDATION STATEMENT**

This Validation Statement must be completed and signed by at least one General Partner, Owner, Principal, or Officer authorized to legally commit the proposer.

**DECLARATION**

I, (printed full name) Jeremy Klop, hereby declare that I am the (position or title) Principal of (firm name) Fehr & Peers, and that I am duly authorized to execute this Validation Statement on behalf of this entity. I hereby state that this SCAG Conflict of Interest Form dated 6/8/2021 is correct and current as submitted. I acknowledge that any false, deceptive, or fraudulent statements on this Validation Statement will result in rejection of my contract proposal.


6/8/2021

(Signature of Person Certifying for Proposer) Date  
 (original signature required)

**NOTICE**

A material false statement, omission, or fraudulent inducement made in connection with this SCAG Conflict of Interest Form is sufficient cause for rejection of the contract proposal or revocation of a prior contract award.



AGENDA ITEM 8  
REPORT

Southern California Association of Governments  
Remote Participation Only  
September 1, 2021

**To:** Executive/Administration Committee (EAC)  
Regional Council (RC)  
**From:** Javiera Cartagena, Acting Director of Policy and Public Affairs  
(213) 236-1980, cartagena@scag.ca.gov  
**Subject:** AB 215 (Chiu) - Housing Element Relative Progress Determination

EXECUTIVE DIRECTOR'S  
APPROVAL

**RECOMMENDED ACTION:**

Oppose

**STRATEGIC PLAN:**

This item supports the following Strategic Plan Goal 2: Advance Southern California's policy interests and planning priorities through regional, statewide, and national engagement and advocacy.

**EXECUTIVE SUMMARY:**

*Assembly Bill (AB) 215 (Chiu, D-San Francisco) would have established a process for a mid-cycle housing element consultation between the state Department of Housing and Community Development (HCD) and any jurisdiction it deems not to have made sufficient progress toward its regional housing needs allocation (RHNA). AB 215 was amended on August 16, 2021, however, to remove the mid-cycle housing element consultation component of the bill.*

*As currently written, AB 215 requires HCD to notify a local jurisdiction and the office of the Attorney General if a city or county is in violation of the Housing Crisis Act of 2019 (also known as SB 330, Skinner, D-Berkeley) and authorizes the Attorney General to bring an action to enforce state law.*

*At its meeting on July 20, 2021, and notably, before the bill was most recently amended, the Legislative/Communications and Membership Committee (LCMC) unanimously voted to forward a "oppose" position on AB 215 to the Regional Council.*

**BACKGROUND:**

Existing law requires every city and county to prepare and adopt a general plan, including a housing element, to guide the future growth of a community. The housing element must identify and analyze existing and projected housing needs, identify adequate sites with appropriate zoning to

meet the housing needs of all income segments of the community, and ensure that regulatory systems provide opportunities for, and do not unduly constrain, housing development.

Each community's fair share of housing is determined through the RHNA process. Once councils of governments (COGs) divide and allocate the regional housing need to cities and counties in the respective region, each city or county must incorporate its allocation into the housing element of its general plan.

Local governments must submit a draft of their housing elements to HCD for review and then must adopt their housing elements while also accounting for any findings by HCD as to whether or not the housing element complies with state housing and zoning law. If a jurisdiction fails to comply with state housing and zoning law, HCD is required to notify the office of the Attorney General that the jurisdiction is in violation of state law.

The Housing Crisis Act (HCA), adopted as SB 330 (Chapter 654, Statutes of 2019), prohibits certain local actions that would reduce housing capacity. HCA prohibits downzoning unless the jurisdiction upzones an equal amount elsewhere so that there is "no net loss" in residential capacity. The bill also voids certain local policies that limit growth, including building moratoria, caps on the numbers of units that may be approved, and population limits. HCA prohibits a local agency from applying new rules or standards to a project after a preliminary development application is submitted and requires local agencies to list all information needed to make a development application complete. In addition, HCA establishes a cap of five hearings that may be conducted on a project and establishes specified anti-displacement protections.

#### **AB 215**

Sponsored by the California Housing Consortium, AB 215 originally would have required HCD to determine the progress of each city, county, or COG region toward meeting its RHNA allocation. AB 215 would have also further required a jurisdiction, if its progress toward meeting its RHNA allocation were less than that of the COG as a whole, to undertake a mid-cycle housing element consultation with HCD. These provisions were amended out of the bill on August 16, 2021, however.

As currently written, AB 215 would require HCD to notify a local jurisdiction and the office of the Attorney General if a city or county is in violation of the Housing Crisis Act of 2019 (also known as SB 330, Skinner, D-Berkeley) and would authorize the Attorney General to bring a legal action to enforce state law.

AB 215 passed the Assembly floor on June 1, 2021, on a vote of 58-11-10 with Assemblymembers Aguiar-Curry (D-Winters), Arambula (D-Fresno), Berman (D-Menlo Park), Bloom (D-Santa Monica), Bryan (D-Baldwin Park), Burke (D-Marina del Rey), Calderon (D-Whittier), Carrillo (D-Los Angeles),

Cervantes (D-Corona), Chau (D-Monterey Park), Chiu (D-San Francisco), Cooley (D-Rancho Cordova), Cooper (D-Elk Grove), Daly (D-Anaheim), Fong (R-Bakersfield), Frazier (D-Fairfield), Friedman (D-Glendale), Gabriel (D-Woodland Hills), Gallagher (R-Yuba City), Cristina Garcia (D-Bell Gardens), Eduardo Garcia (D-Coachella), Gipson (D-Carson), Lorena Gonzalez (D-San Diego), Gray (D-Merced), Grayson (D-Concord), Holden (D-Pasadena), Irwin (D-Thousand Oaks), Jones-Sawyer (D-South Los Angeles), Kalra (D-San Jose), Lackey (R-Palmdale), Lee (D-San Jose), Low (D-Silicon Valley), McCarty (D-Sacramento), Medina (D-Riverside), Mullin (D-San Mateo), Nazarian (D-Sherman Oaks), O'Donnell (D-Long Beach), Patterson (R-Fresno), Petrie-Norris (D-Laguna Beach), Quirk (D-Hayward), Quirk-Silva (D-Fullerton), Ramos (D-Highland), Reyes (D-San Bernardino), Luz Rivas (D-Arleta), Robert Rivas (D-Salinas), Rodriguez (D-Pomona), Blanca Rubio (D-Baldwin Park), Salas (D-Bakersfield), Santiago (D-Los Angeles), Stone (D-Monterey Bay), Ting (D-San Francisco), Valladares (R-Santa Clarita), Villapudua (D-Stockton), Ward (D-San Diego), Akilah Weber (D-San Diego), Wicks (D-Oakland), Wood (D-Santa Rosa), and Speaker Rendon (D-Lakewood) voting in support.

Assemblymembers Bigelow (R-Placerville), Boerner Horvath (D-Oceanside), Cunningham (R-San Luis Obispo), Megan Dahle (R-Bieber), Davies (R-Laguna Niguel), Levine (D-San Rafael), Nguyen (R-Garden Grove), Seyarto (R-Murrieta), Smith (R-Hesperia), Voepel (R-Santee), and Waldron (R-Escondido) voted against the bill. Assemblymembers Bauer-Kahan (D-San Ramon), Bennett (D-Ventura), Chen (R-Diamond Bar), Choi (R-Irvine), Flora (R-Ripon), Kiley (R-Rocklin), Maienschein (D-San Diego), Mathis (R-Visalia), Mayes (NPP-Palm Desert), and Muratsuchi (D-Torrance) abstained from the vote.

On July 1, 2021, Chair Wiener (D-San Francisco) and Senators Cortese (D-San Jose), Skinner (D-Berkeley), Umberg (D-Garden Grove), and Wieckowski (D-Fremont) supported AB 215 in the Senate Housing Committee. Senators Bates (R-Laguna Niguel) and Ochoa Bogh (R-Rancho Cucamonga) opposed it. Senators Caballero (D-Salinas) and McGuire (D-Santa Rosa) abstained from the vote.

AB 215 was amended on August 16, 2021 and is currently scheduled for a hearing in the Senate Appropriations Committee on August 23, 2021.

### **Prior Committee Action**

Staff presented AB 215 to the LCMC at its meeting on July 20, 2021, with a recommendation to "oppose," as the bill was inconsistent with the following point of the adopted 2021 State Legislative Platform:

- While providing local jurisdictions with additional tools and funding, preserve local authority to address housing production, affordability, and homelessness challenges.

Members of the LCMC unanimously voted to forward a "oppose" recommendation on AB 215 to the Regional Council.





**FISCAL IMPACT:**

Work associated with the AB 215 staff report is contained in the Indirect Cost budget, Legislation 810-0120.10.



AGENDA ITEM 9  
REPORT

Southern California Association of Governments  
Remote Participation Only  
September 1, 2021

**To:** Executive/Administration Committee (EAC)  
Regional Council (RC)  
**From:** Javiera Cartagena, Acting Director of Policy and Public Affairs  
(213) 236-1980, cartagena@scag.ca.gov  
**Subject:** SB 9 (Atkins) - Duplex Approvals

EXECUTIVE DIRECTOR'S  
APPROVAL

**RECOMMENDED ACTION:**

Oppose

**STRATEGIC PLAN:**

This item supports the following Strategic Plan Goal 2: Advance Southern California's policy interests and planning priorities through regional, statewide, and national engagement and advocacy.

**EXECUTIVE SUMMARY:**

*Senate Bill (SB) 9 (Atkins, D-San Diego) would (1) require the ministerial approval of a housing development of no more than two units in a single-family zone (duplex) and (2) require the ministerial approval of the subdivision (lot split) of a single parcel, already zoned for residential use, into two parcels. At its May 6, 2021, meeting, the Regional Council voted 37-17 to take an "oppose unless amended" position on SB 9. Since that time, suggested amendments offered by SCAG have not been incorporated in the bill. Therefore, the Legislative/Communications and Membership Committee (LCMC) recommends the Regional Council (RC) update the agency's position to an outright "oppose."*

**BACKGROUND:**

In December of 2020, Pro Tem Atkins and her colleagues, Senators Anna Caballero (D-Salinas), Nancy Skinner (D-Berkeley), and Scott Wiener (D-San Francisco), introduced a Senate Housing Package with many of the members in the working group mentioned above serving as co-authors. This package includes six bills aimed at increasing the production and supply of housing opportunities for Californians. The six bills are as follows:

- SB 5 (Atkins) is a spot bill that establishes the initial framework for a statewide housing bond that would fund the creation of new, affordable housing for homeless and low-income families.

- SB 6 (Caballero) would authorize residential development on existing lots currently zoned for commercial office and retail space such as strip malls or large "big box" retail spaces. The bill requires the development of residential units to be at a minimum density to accommodate affordable housing and abide by existing local planning and development ordinances.
- SB 7 (Atkins) would expand and extend the California Environmental Quality Act (CEQA) streamlining process created for environmental leadership development projects under AB 900. The SCAG Regional Council formally supported SB 7 at its March 4, 2021 meeting.
- SB 8 (Skinner) would extend the sunset of the Housing Crisis Act of 2019 (HCA) by five years to January 1, 2030. The Housing Crisis Act of 2019, also authored by Senator Skinner as SB 330, prohibits down-zoning unless the city or county concurrently up-zones an equal amount elsewhere so that there is no net loss in residential capacity. It also voids certain local policies that limit growth, including building moratoria, caps on the numbers of units that can be approved, and population limits.
- SB 9 (Atkins) would allow landowners to create a duplex or subdivide an existing lot in residential areas and is the main subject of this report. The RC took a formal "oppose unless amended" position on SB 9 at its May 6, 2021 meeting.
- SB 10 (Wiener) would allow cities to upzone areas close to job centers, transit, and existing urbanized areas for up to ten units without having to go through the lengthy CEQA process. The RC took a "support if amended" position on SB 10 at its May 6, 2021 meeting.

Additional information on SB 9 is included below.

### **SB 9**

SB 9 was introduced on December 7, 2020, the first day of the 2021-22 legislative session. The bill is authored by Senate President Pro Tem Toni Atkins, Senators Anna Caballero, Susan Rubio, and Senate Housing Committee Chair Scott Wiener. In addition, Transportation Committee Chair Lena Gonzalez and Senate Government and Finance Committee Chair Mike McGuire are co-authors of the bill.

First, this bill would require a proposed housing development containing no more than two residential units with a single-family residential zone to be considered ministerially, without discretionary review or a hearing of the local agency, if the proposed housing development would not require demolition or alteration of housing that is subject to a recorded covenant or a rent control ordinance, would not require demolition of more than 25% of the existing exterior structural walls (except if a local ordinance allows for a greater amount of demolition or if the site

has not been occupied by a tenant in the last three years), would not be located within a historic district or designated as a historic property by a local agency.

Second, SB 9 would require a city or county ministerially to approve a parcel map or tentative and final map for an urban lot split if that proposed action is located within a residential zone, would not require the demolition or alteration of housing that is subject to a recorded covenant or a rent control ordinance, and that the parcel is not located within a historic district or designated as a historic property by a local agency. As an urban lot split, the parcel would have to be in an urbanized area or urban cluster and could not be on prime farmland, wetlands, or on certain other sensitive uses.

By requiring ministerial approval for the actions described above, the proposed project would no longer be subject to CEQA. CEQA requires a city or county to prepare an environmental impact report on a project that may have a significant impact on the environment. However, CEQA does not apply to the approval of ministerial projects.

The bill would set forth what a local agency can and cannot require in approving an urban lot split, relating to objective zoning standards, objective subdivision standards, and objective design standards, and prohibiting certain standards if those standards would (a) have the effect of physically precluding the construction of two units on either of the resulting parcels, (b) physically preclude either of the two units from being at least 800 square feet in floor area, (c) prohibit the imposition of setback requirements under certain circumstances, and setting maximum setback requirements under all other circumstances.

Additionally, SB 9 would prohibit a city or county from requiring more than one parking space per unit for either a proposed duplex or a proposed lot split. The bill would further prohibit a city or county from imposing any parking requirements if the parcel is located within one-half mile walking distance of either a high-quality transit corridor or a major transit stop, or if there is a car share vehicle located within one block of the parcel.

Lastly, Pro Tem Atkins amended SB 9 on April 5, 2021, to clarify that a local agency shall not be required to permit an accessory dwelling unit or junior accessory dwelling unit on parcels that use both ministerial authorities contained within the bill at the time when the lot split is authorized. In addition, the bill was amended to authorize lot splits to be up to a 40/60 split instead of two parcels of equal size.

SB 9 passed the Senate Floor on May 26, 2021, with the following 28 Senators voting in support: Bob Archuleta (D-Pico Rivera), Toni Atkins (D-San Diego), Josh Becker (D-Menlo Park), Steve Bradford (D-Carson), Ana Caballero (D-Salinas), Dave Cortese (D-San Jose), Brian Dahle (R-Bieber), Bill Dodd (D-Napa) Maria Elena Durazo (D-Los Angeles), Susan Talamantes Eggman (D-Stockton),

Lena Gonzalez (D-Long Beach), Shannon Grove (R-Bakersfield), Bob Hertzberg (D-Van Nuys), Ben Hueso (D-San Diego), Melissa Hurtado (D-Sanger), John Laird (D-Santa Cruz), Connie Leyva (D-San Bernardino), Mark McGuire (D-Santa Rosa), Dave Min (D-Irvine), Jim Nielsen (R-Gerber), Richard Pan (D-Sacramento), Anthony Portantino (D-La Canada Flintridge), Richard Roth (R-Riverside), Susan Rubio (D-Baldwin Park), Nancy Skinner (D-Berkeley), Tom Umberg (D-Garden Grove), Wieckowski (D-Fremont), Wiener (D-San Francisco).

Senators Patricia Bates (R-Laguna Niguel), Andreas Borgeas (R-Fresno), Brian Jones (R-Santee), Melissa Melendez (R-Lake Elsinore), Rosilicie Ochoa Bogh (R-Yucaipa), and Senator Scott Wilk (R-Santa Clarita) voted against SB 9 and Senators Ben Allen (D-Santa Monica), Steve Glazer (D-Orinda), Sydney Kamlager (D-Los Angeles), Monique Limón, Josh Newman (D-Fullerton), and Henry Stern (D-Agoura Hills) abstained from the vote.

SB 9 was amended on August 16, 2021 to require the applicant for an urban lot split to sign an affidavit stating that she intends to occupy one of the housing units as her principal residence for a minimum of three years from the date of the approval of the urban lot split, unless the applicant is a community land trust or a qualified nonprofit corporation.

In the Assembly, SB 9 passed the Local Government committee and Housing and Community Development committee with bipartisan votes. Most recently, SB 9 passed the Assembly Appropriations Committee on August 19, 2021 with Committee Chair Lorena Gonzalez (D-San Diego) and Assemblymembers Lisa Calderon (D-Industry), Wendy Carrillo (D-Los Angeles), Megan Dahle (R-Bieber), Vince Fong (R-Bakersfield), Eduardo Garcia (D-Coachella), Ash Karla (D-San Jose), Kevin McCarty (D-Sacramento), Kevin Mullin (D-San Mateo), Bill Quirk (D-Hayward), Luz Rivas (D-San Fernando), and Mark Stone (D-Santa Cruz) voting for the bill.

Assemblymember Frank Bigelow (R-O'Neals) voted against SB 9, while Assemblymember Isaac Bryan (D-Culver City), Ed Chau (D-Montebello), and Randy Voepel (R-Santee) abstained. SB 9 now moves to the Assembly floor where the bill's final vote has not yet been scheduled.

As of the bill's most recent policy committee hearing, the following organizations and agencies had registered their official support or opposition to the bill.

**Support** (partial list)

**Opposition** (partial list)

- Abundant Housing LA
- American Planning Association, California Chapter
- California Apartment Association
- California Chamber of Commerce
- California Building Industry Association
- Chan Zuckerberg Initiative
- Fieldstead and Company
- Local Government Commission
- Orange County Business Council
- AIDS Healthcare Foundation
- California Contract Cities Association
- League of California Cities
- San Gabriel Valley Council of Governments
- Ventura Council of Governments
- The following 70 cities from the SCAG region: Azusa, Bellflower, Beverly Hills, Brea, Burbank, Camarillo, Carson, Cerritos, Chino, Chino Hills, Cypress, Diamond Bar, Downey, Eastvale, El Segundo, Fountain Valley, Garden Grove, Glendora, Hesperia, Huntington Beach, Hidden Hills, Indian Wells, Irvine, Irwindale, La Palma, La Verne, La Canada Flintridge, Laguna Beach, Laguna Niguel, Lakewood, Lancaster, Lomita, Los Alamitos, Maywood, Menifee, Mission Viejo, Moorpark, Murrieta, Newport Beach, Norwalk, Ontario, Pasadena, Palm Desert, Palos Verdes Estates, Paramount, Placentia, Rancho Cucamonga, Rancho Palos Verdes, Rancho Santa Margarita, Redondo Beach, Rolling Hills, Rolling Hills Estates, San Gabriel, San Jacinto, San Marino, Santa Clarita, Santa Monica, Signal Hill, Simi Valley, South Pasadena, South Gate, Stanton, Temecula, Thousand Oaks, Torrance, Town of Apple Valley, Ventura, Westlake Village, Whittier, and Yorba Linda.

**Prior Committee Action**

At its April 20, 2021 meeting, Members of the LCMC unanimously voted to forward an "oppose unless amended" position to the RC. Subsequently, the RC voted to confirm this position by a vote of 37-17 on May 6, 2021. It is worth noting that during the discussion at that meeting, many RC Members who voted "no" on the motion to "oppose unless amended" were comfortable with an outright "oppose" position on the bill.

A formal "oppose unless amended" position was adopted by the RC and the following amendments were transmitted to the author's office:

1. Limit ministerial approval to two units only to mitigate the unintended consequence of adding several new units to a single-family lot.

2. Clarify that any new units produced under SB 9's authority may be counted toward a jurisdiction's RHNA allocation, including those cities and counties in the SCAG region. Specifically, SB 9 should be amended to take into consideration that Housing Element updates for the 191 cities and six counties in the SCAG region are due on October 15, 2021.
  
3. Clarify that local governments retain the authority to regulate quality of life issues via the adoption of objective standards, such as parking standards, directional signage for safety and service calls, and the like.

As the 2021 legislative session has progressed, no amendments to SB 9 were taken in either the Assembly Local Government Committee or the Assembly Housing and Community Development Committee. Because of this, many organizations updated their positions from oppose unless amended to an outright oppose, including the San Gabriel Valley COG and the League of California Cities. Given this situation, at its July 20, 2021 meeting, Members of the LCMC unanimously voted to forward an "oppose" position to the RC.

**FISCAL IMPACT:**

Work associated with the staff report on SB 9 is contained in the Indirect Cost budget, Legislation 810-0120.10.

**ATTACHMENT(S):**

1. SB 9 (Atkins) - SCAG Position Letter



SOUTHERN CALIFORNIA  
ASSOCIATION OF GOVERNMENTS  
900 Wilshire Blvd., Ste. 1700  
Los Angeles, CA 90017  
T: (213) 236-1800  
www.scag.ca.gov

June 24, 2021

The Honorable Lorena Gonzalez  
Chair, Assembly Appropriations Committee  
State Capitol, Room 2114  
Sacramento, CA 95814

**RE: Senate Bill (SB) 9 – Oppose Unless Amended**

Dear Chair Gonzalez:

On behalf of the Regional Council of the Southern California Association of Governments (SCAG), I regret to inform you of our **“Oppose Unless Amended”** position on SB 9. Within few parameters, SB 9 would require the ministerial approval of a lot split and/or duplex construction on a parcel zoned for single-family residential use.

SCAG appreciates the leadership on the important topic of housing production and its inextricable link to the housing affordability and homelessness crises. As such, SB 9 was discussed at length by SCAG’s Legislative/Communications and Membership Committee, Executive Administration Committee, and Regional Council. Fundamentally, SCAG is concerned that SB 9 removes local authority for jurisdictions to determine the manner in which additional housing units would be accommodated in their communities or reconciled with other state policy objectives, such as greenhouse gas reduction targets.

Due to the ability for any owner to construct an Accessory Dwelling Unit by right, SB 9 has the potential to transform single-family residential neighborhoods in a way that is inconsistent with the local planning and public participation upon which successful Housing Elements and General Plans rely.

Furthermore, as Housing Element updates within the SCAG region are due October 15, 2021, our local governments would not be able to take advantage of the increased residential capacity implications of SB 9 to accommodate their RHNA allocations for their site inventories unless the Housing Element update deadline were extended to 2022 when the bill would take effect.

REGIONAL COUNCIL OFFICERS

- President  
Clint Lorimore, Eastvale
- First Vice President  
Jan C. Harnik, Riverside County  
Transportation Commission
- Second Vice President  
Carmen Ramirez, County of Ventura
- Immediate Past President  
Rex Richardson, Long Beach

COMMITTEE CHAIRS

- Executive/Administration  
Clint Lorimore, Eastvale
- Community, Economic &  
Human Development  
Jorge Marquez, Covina
- Energy & Environment  
David Pollock, Moorpark
- Transportation  
Sean Ashton, Downey

Attachment: SB 9 (Atkins) - SCAG Position Letter (SB 9 (Atkins) - Duplex Approvals)

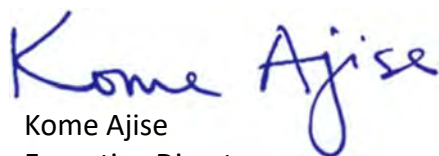


Recognizing that solving California's housing affordability and homelessness crisis requires serious solutions, SCAG proposes the following amendments for your consideration:

1. Limit ministerial approval to two units only to mitigate the unintended consequence of adding several new units to a single-family lot.
2. Clarify that any new units produced under SB 9's authority may be counted toward a jurisdiction's RHNA allocation, including those cities and counties in the SCAG region. Specifically, SB 9 should be amended to take into consideration that Housing Element updates for the 191 cities and six counties in the SCAG region are due on October 15, 2021.
3. Clarify that local governments retain the authority to regulate quality of life issues via the adoption of objective standards, such as parking standards, directional signage for safety and service calls, and the like.

SCAG appreciates your continued leadership on this issue, and we remain committed to continuing to work with you to ensure that all Californians have access to affordable housing. If you have any questions or wish to discuss this further, please contact Mr. Kevin Gilhooley, State and Federal Legislative Affairs Manager, at (213) 236-1878 or via email at [gilhooley@scag.ca.gov](mailto:gilhooley@scag.ca.gov).

Sincerely,

  
Kome Ajise  
Executive Director



AGENDA ITEM 10  
REPORT

Southern California Association of Governments  
Remote Participation Only  
September 1, 2021

**To:** Executive/Administration Committee (EAC)  
Regional Council (RC)  
**From:** Javiera Cartagena, Acting Director of Policy and Public Affairs  
(213) 236-1980, cartagena@scag.ca.gov  
**Subject:** SCAG Memberships and Sponsorships

EXECUTIVE DIRECTOR'S  
APPROVAL

**RECOMMENDED ACTION:**

Approve up to \$68,845 in annual memberships and sponsorships for the 1) Eno Center for Transportation (\$10,000), 2) Southern California Leadership Network (\$10,000), 3) California Association of Councils of Governments (\$43,845), and 4) Mobility 21 Summit (\$5,000).

**STRATEGIC PLAN:**

This item supports the following Strategic Plan Goal 2: Advance Southern California's policy interests and planning priorities through regional, statewide, and national engagement and advocacy.

**EXECUTIVE SUMMARY:**

*At its July 20, 2021, meeting, the Legislative/Communications and Membership Committee (LCMC) recommended approval of up to \$20,000 in annual memberships for the 1) Eno Center for Transportation (\$10,000) and 2) Southern California Leadership Network (\$10,000).*

*At its subsequent August 17, 2021, meeting, the LCMC recommended approval of up to \$48,845 for an annual membership in the 3) California Association of Councils of Governments (\$43,845) and sponsorship of the 4) Mobility 21 2021 Summit (\$5,000).*

*Between the two LCMC meetings, the LCMC recommends approval for a total of \$68,845 for the above-outlined memberships and sponsorships.*

**BACKGROUND:**

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**Item 1:** Eno Center for Transportation  
**Type:** Membership      **Amount:** \$10,000

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The Eno Center for Transportation's mission is to continuously improve transportation and its public and public-private leadership to increase the system's mobility, safety, and sustainability. Eno works

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across all modes of transportation, with the mission of cultivating creative and visionary leadership for the sector. They pursue this mission by supporting activities in their Center for Transportation Policy (CTP) and their Center for Transportation Leadership (CTL).

Eno Transportation Weekly (ETW), a weekly roundup of transportation and infrastructure related news and analyses, provides valuable information to SCAG staff on policy and legislation making its way through Washington D.C. ETW's thorough and high-quality analyses cover different topics, including transportation reauthorization bills, competitive grant programs, proposed budgets for federal departments, and discussion of new and emerging technologies in the transportation sector.

SCAG staff recommends that the agency maintain membership at the "Gold Connector" level. Although this membership level typically costs organizations \$15,000, SCAG receives a discount as a government agency, thus bringing the amount down to \$10,000. This membership provides the agency with the following benefits:

- 15 subscriptions to ETW;
- Opportunity to participate in an Eno research initiative, such as working groups, that supports research on current issues in transportation policy; and
- Choice of any one optional sponsorship opportunity.

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**Item 2:** Southern California Leadership Network

**Type:** Membership      **Amount:** \$10,000

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The Southern California Leadership Network (SCLN) was founded to advance the region and the state by inspiring, preparing, and connecting leaders to drive change. SCLN does this through its signature Leadership Fellowships, continued leadership development opportunities, and other events to promote lifelong leadership learning. SCAG has been a long-time supporter of various SCLN programs, including the California Connections Program and their annual Visionaries Luncheon.

SCLN's Leadership Southern California Fellowship Program gives professionals from government, business, academic, and community organizations a unique opportunity to connect on a regional level while also preparing them to be a part of the region in a positive direction through new and innovative partnerships. The curriculum is designed for civic leaders to give them the skills to lead and facilitate diverse teams through conflict into common ground and new initiatives. Fellows will learn to recognize unity that is present in the midst of diversity, conflict, and strife, giving them the skills needed to build consensus and resolve community challenges in a productive, impactful manner.

SCAG staff is recommending that the agency obtain membership at the "Silver" level in the amount of \$10,000, which will provide SCAG with the following:

- An introductory or speaking role for an organizational representative during class orientation or other selected seminar day;
- Organizational logo on electronic promotions, event-related signage, materials for every seminar, sponsor slide show, and SCLN website (with link to organization site);
- Opportunity for sponsor representative to attend any seminar-related receptions; and
- Complimentary tuition for one participant in the Leadership Southern California (LSC) 2021-22 fellowship (SCAG LSC Alumni below),

SCAG's LSC Alumni		
Philip Law '06	Mike Jones '14	Ying Zhou '18
Darin Chidsey '08	Debbie Dillon '15 (California Connections)	Sarah Dominguez '19
John Asuncion '11	Ma'Ayn Johnson '15	Stephen Yoon '19
Annie Nam '11	Alison Linder '15	Anita Au '20
Marco Anderson '12	Jeff Liu '15	Julia Lippe-Klein '20
Grieg Asher '12	Kimberly Clark '16	Marisa Blancarte '21
Naresh Amatya '13	Andrew Mora '17	Hannah Brunelle '21
Frank Wen '13	Javiera Cartagena '18	Julie Shroyer '21

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**Item 3:** California Association of Councils of Governments (CALCOG)  
**Type:** Membership      **Amount:** \$43,845

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Established in 1977, CALCOG is a statewide association representing 47 regional planning agencies working to assist each member in developing the capacity to serve its own members' needs for regional coordination and policy development. CALCOG works with and through its members to:

- Review plans and policies on subjects agreed upon by members;
- Coordinate policy development as appropriate to the League of California Cities, the California State Association of Counties, the National Association of Regional Councils, and the Association of Metropolitan Planning Organizations;
- Promote more effective planning at the regional level;
- Conduct statewide workshops and conferences which provide members with an ideal opportunity to discuss key issues and learn from recognized experts in various fields; and
- Provide an informational clearinghouse on issues of concern to the regions and state.



The Fiscal Year (FY) 2021-2022 annual dues are \$43,845. Given the vast quantity of legislation and policies related to regional issues and sustainable communities, CALCOG membership has become increasingly valuable to SCAG. CALCOG provides a strong voice for regional organizations in Sacramento. Two years ago, CALCOG was instrumental in including the first Regional Early Action Planning (REAP) Housing Grant program with a \$125 million allocation in Governor Newsom's Housing Budget. SCAG received \$47 million to help local communities promote and increase the housing supply. CALCOG sought to expand the program this past year and secured \$510 million to MPO regions for the FY 21-22 REAP program.

Former SCAG President Cheryl Viegas-Walker is the Immediate Past President of CALCOG. Other CALCOG Board of Directors include former SCAG President and current Legislative/Communications & Membership Committee Chair Alan Wapner, SCAG First Vice President Jan Harnik, SCAG Second Vice President Carmen Ramirez, and SCAG Regional Council Member Margaret Finlay.

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**Item 4:** Mobility 21 2021 Summit  
**Type:** Sponsorship      **Amount:** \$5,000

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Mobility 21 is a coalition of public, business, and community stakeholders to pursue regional solutions to transportation challenges facing the SCAG region and San Diego County. Created in 2002 as an effort in Los Angeles County, Mobility 21 became a regional effort in 2007 with the primary goals to:

- Support practical solutions to Southern California's transportation challenges;
- Mobilize regional support for transportation funding and legislative priorities at the federal and state levels;
- Unite political leaders around common priorities for transportation; and
- Bring together residents, civic leaders, business groups, and industry experts to inspire them to act and educate them on how to speak out in support of transportation initiatives effectively.

SCAG is a founding member of Mobility 21, and Kome Ajise, SCAG's Executive Director, is a member of the coalition's board of directors. This year, Mobility 21 is hosting its virtual 2021 Southern California Transportation Summit, Road to Recovery, on Thursday, September 30, and Friday, October 1. The summit will bring together elected officials, CEOs, Executive Directors, private sector leaders, and other experts to discuss the road to recovery from the COVID-19 pandemic for the transportation sector, including SCAG board members and Executive Director Kome Ajise. Given that SCAG is a founding member, Staff recommends sponsoring the summit this year at the "Gold Sponsor" level, which includes the following benefits:

- Registration for five (5) full conference attendees;

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- Option to choose from three (3) 3D-exhibitor booth designs provided by Mobility 21 with pop-up window linking to company photos, brochure, company info text, and website link;
  - Attendance for one (1) representative at exclusive Virtual VIP reception with Mobility 21 Board of Directors, speakers, and special guests;
  - Logo visibility on shared slide in conference sponsor slide show;
  - Logo on Mobility 21 sponsor page website;
  - Company name on pre-conference advertising, both print and electronic;
  - Company name on the event program and other summit materials;
  - Half-page ad in Summit program;

**PLUS 2021 Gold Residual Benefits**

- Three (3) complimentary registrations at each virtual event through March 2022; and
- One (1) additional VIP reception registration for 2021.

**PRIOR COMMITTEE ACTION:**

Staff presented the memberships for the 1) Eno Center for Transportation (\$10,000) and 2) Southern California Leadership Network (\$10,000) to the LCMC at its meeting on July 1, 2021. Staff presented the membership for the 3) California Association of Councils of Governments (\$43,845) and sponsorship for the 4) Mobility 21 Summit (\$5,000) to the LCMC at its August 17, 2021, meeting. The LCMC approved all four items via unanimous votes at both meetings.

Due to a staff error, Mobility 21 was listed as a membership in the August 17 LCMC Memberships and Sponsorships staff report when in fact, these funds would be for an event sponsorship.

**FISCAL IMPACT:**

\$10,000 for membership in the Eno Center for Transportation is included in the approved FY 21-22 Indirect Cost budget.

\$58,845 for memberships in the Southern California Leadership Network and the California Association of Councils of Governments, and a sponsorship for the Mobility 21 Summit is included in the approved FY 21-22 General Fund budget.



AGENDA ITEM 11  
REPORT

Southern California Association of Governments  
Remote Participation Only  
September 1, 2021

**To:** Executive/Administration Committee (EAC)  
Regional Council (RC)  
**From:** Jenna Hornstock, Deputy Director of Planning  
(213) 630-1448, hornstock@scag.ca.gov  
**Subject:** Regional Early Action Plan (REAP) 2021 Update

EXECUTIVE DIRECTOR'S  
APPROVAL

**RECOMMENDED ACTION:**

Receive and File

**STRATEGIC PLAN:**

This item supports the following Strategic Plan Goal 1: Produce innovative solutions that improve the quality of life for Southern Californians. 2: Advance Southern California’s policy interests and planning priorities through regional, statewide, and national engagement and advocacy.

**EXECUTIVE SUMMARY:**

*On July 19, 2021, Governor Newsom signed AB 140 into law, the budget trailer bill which further defined the housing and homelessness provisions of the Budget Act of 2021. This bill established the \$600 million Regional Early Action Planning (REAP) Planning Grants Program of 2021. REAP 2021 will provide funding to regions for transformative planning and implementation activities, such as accelerating infill development, realizing multi-modal communities, shifting travel behavior through reducing driving, or increasing transit ridership. Through this program, SCAG could receive an estimated \$246 million in formula funds to support the implementation of Connect SoCal and would have the opportunity to compete for additional funding from a \$30 million set aside for “transformative planning and implementation activities that demonstrably exceed the requirements of [the grant program] and further multiple policy objectives.” This update report provides a summary of the REAP 2021 program as defined in the trailer bill language, along with an estimated funding table. The summary and estimated funding table were developed by the California Association of Councils of Government (CALCOG). Staff intends to return to the Policy Committees with a REAP 2021 Program Development Framework in October that aligns with the Connect SoCal Implementation Plan and incorporates goals established during the EAC Retreat to guide SCAG’s Leadership in Resource Deployment.*

**BACKGROUND:**

AB140 was signed into law on July 19, 2021 as part of the State’s May budget revise process. Section 15 of the bill creates a structure for distributing \$600 million for the Regional Early Action

Planning Grant Program for 2021. CalCOG has provided a summary of key provisions for the REAP 2021 funds along with an estimate of the funding allocations for MPOs.

- **Overall Structure.** The structure of REAP 2021 is very similar to REAP 1 (established in 2019 through AB101) insofar as each region will be able to propose a budget or plan of programs that reflect regional needs and circumstances for approval, provided the proposed expenditures are consistent with the broad guidelines of the program. There are some key differences between REAP 1 and REAP 2021, further described below.
- **For MPOs.** The primary eligible entity for the largest part of the program will be MPOs. For reference, the first REAP program granted funds to COGs with RHNA authority.
- **Lead Administering Agency.** HCD is the lead administering agency. But they must collaborate with the Air Resources Board (ARB), the Strategic Growth Council (SGC), and the Office of Planning and Research (OPR) to administrate the program—including developing the guidelines and approving regional budgets.
- **Eligible Entities.** Although MPOs are the primary recipients for the primary program, MPOs are authorized to suballocate funds to “eligible entities,” which include councils of governments, regional transportation planning agencies, cities, counties, transit agencies, county transportation agencies, and tribal entities.
- **Grant Administration.** The provisions relating to accounting and reporting parallel the first REAP program. Thus, we anticipate that HCD’s administration will work a lot like the administration of the existing REAP program. However, there will likely be some changes insofar as budget and programs will have to be approved in collaboration with SGC, OPR, and ARB.
- **MPO Allocations.** Most of the funding will be made available to MPOs to fund transformational infrastructure and planning programs as defined.
- **Rural Competitive Program.** Eligible entities in the 19 counties that are not within an MPO are eligible to compete for \$30 million competitively.
- **“Demonstrably Exceeding” Competitive Program.** All eligible entities, including MPOs, may compete for an additional \$30 million for projects that “demonstrably exceed the requirements of this chapter and further multiple policy objectives.” Scoring will account for infill housing production and reduction of per capita VMT.



- **For “transformative planning and implementation activities.”** Funding must be used for *“housing, planning, infrastructure investments supporting infill housing, and other actions that enable meeting housing goals that also result in per capita vehicle miles traveled reductions, including accelerating infill development, supporting residents through realizing multimodal communities, shifting travel behavior through reducing driving, and increasing transit ridership.”* Investments must also align with one of the following: state planning priorities (Gov’t Code § 65041.1), affirmatively further fair housing (Gov’t Code § 8899.50), housing element compliance, or a sustainable communities strategy (or APS).
  
- **Specific Eligible Uses.** The following would be eligible if deemed “transformative” in consultation with HCD (this list is not exhaustive):
  - Providing technical assistance, planning, staffing, or consultant needs
  - Administering any programs described in this subdivision.
  - Rezoning and encouraging development by updating planning documents
  - Revamping local planning processes to accelerate infill development.
  - Completing environmental clearance to eliminate project-specific review for infill.
  - Establishing and funding an affordable housing catalyst fund, trust fund, or revolving loan fund for location efficient projects.
  - Infrastructure planning and upgrades like sewers, water systems, transit, roads, or other facilities to enable reduction in VMT, including accelerating housing.
  - Implementing a vision-zero program, a safety plan, and a slow streets program.
  - Bicycle, pedestrian and multi-modal infrastructure plans and policies.
  - Expand active transportation and implement bicycle or pedestrian plans.
  - Producing multimodal corridor studies.
  - Reducing driving, including studying and implementing road pricing.
  - Establishing a VMT impact fee or regional VMT mitigation bank.
  - Parking and transportation demand management programs or ordinances.
  - Accelerating infill housing production near jobs, transit, and resources.
  - Increasing transit ridership, including through seamless regional transit systems, including establishing common fares, schedules, service design, and wayfinding.
  - Implementing multimodal access plans to and from transit facilities.
  - Planning for additional housing near transit.
  
- **Funding Totals.** Under AB 128 and 129 a total of \$600,000,000 has been allocated to the program. The first \$500 million in the Governor’s original budget (AB 128) was \$500 million in funds made available to the State of California under the American Recovery Plan Act. An additional \$100 million in General Fund dollars was made available under the Legislature’s June 28<sup>th</sup> Budget (AB 129). The trailer bill language will distribute this funding as follows:
  - 5% (or \$30 million) to HCD for program administration and technical assistance
  - 5% (or \$30 million) to eligible entities in the 19 counties not within a MPO

- 5% (or \$30 million) to a new “demonstrably exceeds” competitive program
  - 85% (or \$510,000,000) to MPOs for transformative investments
- **Suballocations.** Suballocations from MPOs to eligible entities shall consider geographic equity, including the needs of rural and urban communities, transformative and collaborative approaches, including through subregions, and the degree to which the suballocation will be in furtherance of all of the requirements of transformative planning and implementation activities. Funds designated for suballocation must be awarded within 60 days.
  - **Initial Allocations.** Beginning on January 1, 2022, MPOs can request an initial allocation of 10 percent of the funds for which they are eligible.
  - **Formula For MPOs.** The language distributes the funding to MPOs by population, but instead of using the current population, the funds are distributed by the DOF’s forecasted 2030 population. (Specifically, Department of Finance P-2A County Population Projections as of July 1, 2021).<sup>1</sup> The amounts are based on the aggregate 2030 projected population for each MPO as a percentage of projected 2030 statewide population. Below is CALCOG’s *unofficial* calculation of the distribution:

MPO	2030 Population	Pop %	Formula Allocation
Association of Monterey Bay Area Governments	815,149	1.978%	\$ 10,133,742
Butte County Association of Governments	236,874	0.577%	\$ 2,944,762
Fresno Council of Governments	1,096,638	2.673%	\$ 13,633,148
Kern Council of Governments	1,019,221	2.484%	\$ 12,670,718
Kings County Association of Governments	165,752	0.404%	\$ 2,060,590
Madera County Transportation Commission	178,070	0.434%	\$ 2,213,725
Merced County Association of Governments	314,690	0.767%	\$ 3,912,153
Shasta Regional Transportation Agency	180,498	0.440%	\$ 2,243,909
Metropolitan Transportation Commission	8,272,525	20.165%	\$ 102,842,103
Sacramento Area Council of Governments *	2,706,637	6.598%	\$ 33,648,280
San Diego Association of Governments	3,461,883	8.439%	\$ 43,037,323
San Joaquin Council of Governments	853,661	2.081%	\$ 10,612,515

<sup>1</sup> See <https://www.dof.ca.gov/forecasting/demographics/projections/>



San Luis Obispo Council of Governments	284,729	0.694%	\$ 3,539,685
Santa Barbara Council of Governments	469,717	1.145%	\$ 5,839,412
Southern California Association of Governments	19,789,953	48.240%	\$ 246,024,084
Stanislaus Council of Governments	606,128	1.477%	\$ 7,535,242
Tahoe Regional Planning Agency *	55,000	0.134%	\$ 683,747
Tulare County Association of Governments	516,810	1.260%	\$ 6,424,861
<b>TOTALS</b>	<b>41,023,935</b>	<b>100.000%</b>	<b>\$ 510,000,000</b>

\* CalCOG estimated the population in the Tahoe basin (California side) to be about 55,000, and subtracted that figure from SACOG’s 2030 population forecast. This is just a rough estimate.

**Next Steps:** Staff are working on a framework for program development that incorporates goals established during the EAC Retreat to guide SCAG’s Leadership in Resource Deployment. Given that funding guidelines are still being developed by the State, staff cannot develop the final approach to the SCAG region’s REAP 2021 proposed programs. However, in conformance with the REAP 2021 program objectives, staff plans to base the program on the Connect SoCal Implementation Strategy adopted by the Regional Council as a companion piece to the 2020 Connect SoCal plan. The State has committed to making 10% of the REAP funds eligible by January 2022 and at that time full program guidelines are expected to be completed. Staff will report to the Regional Council with a more complete framework and outreach plan at that time.

**FISCAL IMPACT:**

Per the CALCOG estimate, SCAG anticipates an allocation of approximately \$246 million in REAP 2021 funds.



Southern California Association of Governments  
Remote Participation Only  
September 1, 2021

**To:** Executive/Administration Committee (EAC)  
Regional Council (RC)  
**From:** Cindy Giraldo, Chief Financial Officer  
(213) 630-1413, giraldo@scag.ca.gov  
**Subject:** Purchase Orders \$5,000 - \$199,999; Contracts \$25,000 - \$199,999 and  
Amendments \$5,000 - \$74,999

EXECUTIVE DIRECTOR'S  
APPROVAL

**RECOMMENDED ACTION:**

Information Only - No Action Required

**STRATEGIC PLAN:**

This item supports the following Strategic Plan Goal 7: Secure funding to support agency priorities to effectively and efficiently deliver work products.

**BACKGROUND:**

**SCAG executed the following Purchase Orders (PO's) more than \$5,000 but less than \$200,000**

<u>Vendor</u>	<u>PO Purpose</u>	<u>PO Amount</u>
Caliper Corporation	FY21 Caliper Transcad Support	\$66,825
Law Offices Of Jim Kahng	FY22 Immigration Law Services	\$30,000
Office Depot Business Services Div.	FY22 Office Supplies	\$30,000
Saba Software Canada Inc	FY22 Saba Software Renewal	\$26,440
Liebert Cassidy Whitmore (LCW)	FY22 Employment Law Services	\$25,000
SAS Institute, Inc.	FY22 SAS Software Renewal	\$21,960
Staples Contract & Commercial, Inc.	FY22 Office Supplies	\$20,000
Historic Mission Inn Corp	2021 EAC Retreat	\$15,939
Law Offices Of Jim Kahng	FY22 H-1B Law Services	\$11,671
U.S. Postal Service	FY22 USPS Postage	\$10,000
Governmentjobs.Com, Inc.	FY22 Neogov Software Renewal	\$9,934
Crown Castle Fiber LLC	FY22 Network & Communications	\$7,656
Cellco Partnership Db a Verizon Wireless	FY22 Verizon Communication Services	\$6,000
Domtar Paper Co, LLC	FY22 Copier/Printer Paper	\$6,000
Governmentjobs.Com, Inc.	FY21 Job Recruitment	\$5,711
FedEx Kinkos	FY22 FedEx Printing Services	\$5,000



Quadient Leasing USA Inc.

FY22 Mail Machine Lease

\$5,000

**SCAG executed the following Contract more than \$25,000 but less than \$200,000**

<b><u>Consultant/Contract #</u></b>	<b><u>Contract’s Purpose</u></b>	<b><u>Contract Amount</u></b>
1. Various (21-047-C01 through 21-047-C19, and 21-050-C01)	Monthly report on Regional Early Action Plan Program (REAP) on-call services.	Various (as identified in attachment)
2. Meyers Nave, APC (21-051-C01)	The consultant shall provide legal counsel services to represent SCAG in litigation entitled Orange County Council of Governments v. Gustavo Velasquez and California Department of Housing and Community Development (LA County Sup. Ct. Case No. 21STCP01970).	\$190,000
3. Population Reference Bureau (21-052-C01); and Center for the Continuing Study of the California Economy ( 21-052-C02)	The selected consultants shall assist staff within the development and execution of a framework for an updated regional growth forecasts an integrate them with SCAG regional planning activities, namely the upcoming 2024 Regional Transportation Plan/Sustainable Communities Strategy.	\$142,003
4. The Pinnacle Group (22-009-C01)	The vendor shall provide hardware, software, maintenance and support fees for new equipment including: 1. Network firewalls, switches, and supporting peripheral devices at SCAG’s main and satellite offices. 2. Updating of software subscriptions and licenses used on SCAG’s network devices.	\$63,127
5. GeoTabUSA	Staff is seeking a qualified vendor to	\$56,000



**SCAG executed the following Contract more than \$25,000 but less than \$200,000**

<b><u>Consultant/Contract #</u></b>	<b><u>Contract's Purpose</u></b>	<b><u>Contract Amount</u></b>
(21-061-C01)	provide truck travel data. The database is crucial to SCAG's goal to develop, maintain and enhance heavy-duty truck model. The scope of work entails SCAG with truck travel information/data which would be used in updating SCAG's Heavy-Duty Truck (HDT) model and on-going preparation of 2024 Regional Transportation Plan/Sustainable Community Strategies (RTP/SCS).	
6. CDW Government, Inc. (22-014-C01)	The vendor shall provide state-of-the-art video conferencing equipment.	\$45,662
7. First American Data & Analytics (21-049-C01)	The purpose of this project is to provide SCAG with a parcel database in an effort to develop an integrated land use database as well as to estimate housing units in the region. The parcel database will incorporate employment, number of households, building information at the parcel level.	\$34,500
8. IHS Markit (21-062-C01)	Staff is seeking a qualified vendor to provide SCAG with a database of freight traffic across the United States, including commodities and multiple units of measure. The database includes different modes of transportation, such as truck (with sub-modes by truck types), rail, intermodal, waterborne and air. The database shall be used for both vehicle and heavy-duty truck (HDT) travel demand model calibration and validation to support regional transportation planning.	\$30,000



**SCAG executed the Amendment more than \$5,000 but less than \$75,000**

<b><u>Consultant/Contract #</u></b>	<b><u>Amendment's Purpose</u></b>	<b><u>Amendment Amount</u></b>
N/A	N/A	

**ATTACHMENT(S):**

1. Contract Summary 21-047-C01 to C19 and 21-050-C01
2. Contract Summary 21-052-C01 & C02
3. Contract Summary 21-051-C01
4. Contract Summary 22-009-C01
5. Contract Summary 21-061-C01
6. Contract Summary 22-014-C01
7. Contract Summary 21-049-C01
8. Contract Summary 21-062-C01

**CONSULTANT CONTRACT NOS. 21-047-C01 through 21-047-C19 and 21-050-C01**  
**MONTHLY REAP FOLLOW UP**

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**Background &  
Scope of Work:**

On April 1, 2021, the Regional Council approved a procurement program to accelerate project delivery for the Regional Early Action Plan Program (REAP) and requested staff to report back monthly on procurement activities related to the on-call services for the REAP Program. This report is to inform the Regional Council of those activities.

In summary the REAP Program provides a new model for timely implementation of SCAG's local assistance programs as follows:

- (1) Authorization to enter into up to a total of \$10,000,000 in On Call Services contracts to implement the Regional Council's approved REAP work program, upon completion of competitive procurement and selection of consultants for the On Call Services;
- (2) Waiver of SCAG's procurement requirement to first obtain the Executive/Administration Committee's and Regional Council's approval for contracts at or above \$200,000 prior to execution, for any individual contract up to \$500,000 awarded to complete work that is part of the Regional Council's approved REAP grant funded program and authorization for the Executive Director or his/her designee to execute such contracts upon consultation with legal counsel;
- (3) Waiver of SCAG's procurement requirement necessitating Executive/Administration Committee's and Regional Council approval prior to entering any contract amendment exceeding \$75,000 or 30% (whichever is less) and, instead, requiring amendments of 30% or more to be first approved by the Executive/Administration Committee and Regional Council, and authorizing the Executive Director or his/her designed to execute such amendments upon consultation with legal counsel; and
- (4) Directing staff to make monthly informational reports to the Regional Council of procurement activities, contracts and amendments related to REAP made pursuant to this action.



- Selected Consultants:**
1. AECOM Technical Services, Inc.
  2. Arup North America, Ltd.
  3. Ascent Environmental, Inc.
  4. BAE Urban Economics, Inc.
  5. CTY Housing, Inc.
  6. ECONorthwest
  7. Estolano Advisors
  8. HR&A Advisors Inc.
  9. Kimley-Horn and Associates, Inc.
  10. Kosmont & Associates, Inc.
  11. LeSar Development Consultants
  12. National Community Renaissance of California
  13. Opticos Design, Inc.
  14. Raimi + Associates
  15. Stantec Consulting Services, Inc.
  16. RDC-S111 (dba Studio One Eleven)
  17. Turner Housing Innovation Labs, Inc.
  18. Woodsong Associates, LLC
  19. WSP USA Inc.

- Project's Benefits & Key Deliverables:**
- The project's benefits and key deliverables include, but are not limited to:
- Supporting local jurisdictions in the update of their Housing Elements;
  - Assistance with integrated land use planning, urban design and land use policy;
  - Assistance with community development finance;
  - Assistance with racial equity analysis and training; and
  - Assistance with Grant Writing and Grant Program Administration.

**Strategic Plan:** This item supports SCAG's Strategic Plan Goal 1: Produce innovative solutions that improve the quality of life for Southern Californians.

**Contract Amount:** **Total not to exceed** **\$10,000,000**

Note: This is for on-call, or as needed services with consultants to be paid upon a Task Order award. As such, there is no specific award amount to each consultant, nor does SCAG guarantee any specific amount of work to a consultant. Therefore, the amount that may be funded to each consultant is not yet determined

**Contract Period:** June 2021 through December 31, 2023

**Project Number(s):**  
 300.4872.01  
 300.4872.02  
 300.4872.03  
 300.4872.05  
 300.4872.06

Funding source(s): REAP Program Grant

**Status**

Below is a table showing the on-call services procurements, and their status at present. Any future dates are subject to change, and procurements may be added or removed to this list.

<b>Project Title</b>	<b>RFP Released</b>	<b>Awarded to (Consultant)</b>	<b>Amount of Award</b>
P&O-1 Leadership Academy	05/03/21	LeSar Development Consultants	\$815,823
Project Management Support. (21-050-C01)	02/22/21	Southern California Association of Non-Profit Housing	\$499,999
HPS-1 CEQA	05/25/21	TBD (Final Selection)	TBD
HPS-2 Other to Residential	07/16/21	TBD (Closed 8/06/21)	TBD
HSD 1-A - Advanced ADU Bundle	06/30/21	TBD (Closed 7/30/21)	TBD
SRP-3 N. LA County/Palmdale Digital Utility Data Inventory Tool for Housing	08/11/21	TBD	TBD
SRP-1 WSCCOG REAP Subregional Partnership Project	08/12/21	TBD (Closed 8/12/21)	TBD
HSD 1-B Preliminary ADU Bundle	08/04/21	TBD	TBD
HSD 2-A EIFD Bundle	07/30/21	TBD	TBD
HSD 2-D One San Pedro EIFD Study	08/11/21	TBD	TBD
HSD 2-C Heart of Hollywood TIF Study	TBD	TBD	TBD
HSD 3-A Objective Development Standards	TBD	TBD	TBD
HSD 3-D Burbank Media District Specific Plan	TBD	TBD	TBD
SRP-2 SFVCOG Mapping Application Tool	TBD	TBD	TBD
HSD 2-B Utilities & Infrastructure Needs Assessment & Development Program	TBD	TBD	TBD
HSD 3-C Rialto Specific Plan Merger and Update	TBD	TBD	TBD
HSD 3-E South El Monte Comprehensive Zoning Update	TBD	TBD	TBD
<b>Total:</b>			<b>\$1,315,823</b>

Attachment: Contract Summary 21-047-C01 to C19 and 21-050-C01 (Purchase Orders \$5,000 - \$199,999; Contracts \$25,000 - \$199,999 and

**CONSULTANT CONTRACT NO . 21-052-C01 and 21-052-C02**

**Recommended Consultant:** Population Reference Bureau (PRB) and Center for the Continuing Study of the California Economy (CCSCE)

**Background & Scope of Work:** The selected consultants shall assist staff within the development and execution of a framework for an updated regional growth forecasts an integrate them with SCAG regional planning activities, namely the upcoming 2024 Regional Transportation Plan/Sustainable Communities Strategy. Consultant will convene a panel of demographic and economic experts to support forecast development, recommend a range of regional growth forecasts, assess best practices in merging local and regional growth visions, and provide overall technical support to SCAG staff during this process. The forecast will form the basis of the 2024 regional plan projections of employment, population, and households which undergirds the assessment of future transportation demand.

**Project’s Benefits & Key Deliverables:** The project’s benefits and key deliverables include, but are not limited to:

- Convening a panel of regional experts to provide technical and policy support for understanding the region’s future growth patterns;
- Developing a range of potential future growth in the SCAG region, helping stakeholders to understand the uncertainties inherent in SCAG’s long-range planning processes; and
- Providing technical assistance to SCAG staff in order to successfully deliver a long-range regional forecast of population, households, and employment.

**Strategic Plan:** This item supports SCAG’s Strategic Plan Goal 1. Produce innovative solutions that improve the quality of life; and 3. Be the foremost data information hub for the region.

**Contract Amount:**

<b>Total not to exceed</b>		<b>\$142,003</b>
	Population Reference Bureau (prime consultant)	\$96,357
	Center for the Continuing Study of the California Economy (prime consultant)	\$45,646

Note: PRB originally proposed \$99,713, but staff negotiated the price down to \$96,357 without reducing the scope of work. Similarly CCSCE proposed \$72,857 and staff negotiated the price down to \$45,646 without reducing the scope of work.

**Contract Period:** June 9, 2021 through July 30, 2022

**Project Number(s):** 055.4856.01 \$142,003  
Funding source(s): Federal Transit Administration (FTA) Transportation Development Act (TDA)

**Request for Proposal (RFP):** SCAG staff notified 1,974 firms of the release of RFP 21-052 via SCAG’s Solicitation Management System. A total of 37 firms downloaded the RFP. SCAG received the following three (3) proposals in response to the solicitation:

Attachment: Contract Summary 21-052-C01 & C02 (Purchase Orders \$5,000 - \$199,999; Contracts \$25,000 - \$199,999 and Amendments \$5,000 -

<b>Population Reference Bureau (no subconsultants) and Center for the Continuing Study of the California Economy (no subconsultants)</b>	<b>\$99,713 \$72,857</b>
EBP US, Inc. (2 subconsultants)	\$165,006

**Selection Process:** The Proposal Review Committee (PRC) evaluated each proposal in accordance with the criteria set forth in the RFP and conducted the selection process in a manner consistent with all applicable federal and state contracting regulations. After evaluating the proposals, the PRC conducted two bidder interviews.

The PRC consisted of the following individuals:  
 Kevin Kane, Project Manager / Sr. Regional Planner, SCAG  
 John Cho, Sr. Regional Planner, SCAG  
 Ying Zhou, Program Manager, SCAG

**Basis for Selection:** The PRC determined that SCAG could capitalize on the extensive experience and qualifications of the two (2) firms that submitted proposals and obtain a better work product by splitting the contract award between the 2 firms. Accordingly, the PRC split the contact award between the 2 firms because it was in SCAG’s best interest to do so. The PRC determined that CCSCE’s experience and qualifications were best suited as Senior Advisor related to a portion of task numbers 1, 2 and 3 (Panel of Experts Meeting and Growth Forecast Technical Support, Policy Integration & Forecast Uncertainty, Local & Regional Growth Integration, respectively); and that PRB’s experience and qualifications were best suited to the remaining portion of task numbers 1, 2 and 3, as well as task 4 (Presentations).

The PRC also select the two firms because they:

- Demonstrated the best understanding of the project, specifically CCSCE’s experience and PRB’s schedule and execution;
- Provided the best technical approach; and
- Proposed the lowest prices.

**CONSULTANT CONTRACT NO. 21-051-C01**

**Recommended Consultant:**

Meyers Nave, APC

**Background & Scope of Work:**

It is necessary to retain legal counsel services to represent SCAG in litigation entitled Orange County Council of Governments v. Gustavo Velasquez and California Department of Housing and Community Development (LA County Sup. Ct. Case No. 21STCP01970). SCAG has been named as a “real party in interest” in this litigation. The OCCOG litigation challenges the Department of Housing and Community Development’s (HCD) final determination of the Regional Housing Needs Assessment (RHNA) allocation for the six-county SCAG region for the 6th RHNA cycle (2021-2029). Outside legal counsel is necessary to represent SCAG in this litigation, to appear on behalf of SCAG in these proceedings, and to take action for SCAG’s benefit in this litigation as may be deemed appropriate by SCAG.

This litigation was reported to the Executive Administration Committee on June 30, 2021 and to the Regional Council on July 1, 2021, as required by Regional Council Policy Manual, Art. IX, E.

The scope of work may include the following on an as-needed basis: (1) conducting research, assessing the merits of the litigation and recommending a litigation strategy; (2) preparing or assisting SCAG to prepare the lodging of any records with the court that may be necessary; (3) bringing or responding to preliminary motions to adjudicate matters; (4) preparing for and asserting SCAG’s position in the case before the trial court; (5) engaging in mandatory settlement conferences; and (6) meeting in closed session with SCAG’s decision-making bodies as necessary.

**Project’s Benefits & Key Deliverables:**

The project’s benefits and key deliverables include, but are not limited to:

- Representation of SCAG in pending litigation brought by another party; and
- Providing legal counsel to SCAG and its decision-making bodies and filing of pleadings in trial court.

**Strategic Plan:**

This item supports SCAG’s Strategic Plan Goal #2 Advance Southern California’s policy interests and planning priorities through regional, statewide, and national engagement and advocacy.

**Contract Amount:**

**Total not to exceed** **\$190,000**  
Meyers Nave, APC (prime consultant)

Note: Consultant is charging SCAG their 2021 Public Agency rates with a 5% discount off of their Sr. Partner hourly rate.

**Contract Period:**

July 5, 2021 through June 30, 2022

**Project Number(s):**

800-0160.03 \$95,000  
300-4888.01 \$95,000  
Funding source(s): General Fund and REAP

Attachment: Contract Summary 21-051-C01 (Purchase Orders \$5,000 - \$199,999; Contracts \$25,000 - \$199,999 and Amendments \$5,000 -

**Request for Proposal (RFP):** This contract was processed as a sole source contract. Consistent with 7.3.1, C.1 of the Procurement Manual (dated January 2021), the Executive Director determined that the purchase was in the best interest of the agency. Additionally, consistent with 5.6.B of the Procurement Manual (dated January 2021), sole source justification may rely on the State Contracting Manual, which permits authorization for noncompetitive proposals for legal services. The vendor selected for this work has recent and unique experience successfully defending RHNA allocation challenges and did not as of the time of engagement have any professional ethical conflicts that required waivers or informed written consent.

**Selection Process:** Not Applicable

**Basis for Selection:** Consistent with 7.3.1, C.1 of the Procurement Manual (dated January 2021), the Executive Director determined that the purchase was in the best interest of the agency. Additionally, consistent with 5.6.B of the Procurement Manual (dated January 2021), sole source justification may rely on the State Contracting Manual, which permits authorization for noncompetitive proposals for legal services. The vendor selected for this work has recent and unique experience successfully defending RHNA allocation challenges and did not as of the time of engagement have any professional ethical conflicts that required waivers or informed written consent.

**CONSULTANT CONTRACT NO. 22-009-C01**

**Recommended Consultant:**

The Pinnacle Group

**Background & Scope of Work:**

In FY21, SCAG initiated the first phase of a project to upgrade and optimize the IT infrastructure that supports SCAG business applications, which are core to agency operations. The industry recommended lifecycle for this type of equipment is three-to-five years. There are remaining networking components located in SCAG offices that have exceeded the normal lifecycle and are reaching their end-of-life. SCAG will complete the upgrade in the first quarter of FY22 to ensure reliability, availability and efficiency of the systems that support SCAG’s business operations.

This contract includes hardware, software, maintenance and support fees for new equipment including:

1. Network firewalls, switches, and supporting peripheral devices at SCAG’s main and satellite offices.
2. Updating of software subscriptions and licenses used on SCAG’s network devices.
3. Service agreements for network devices.

**Project’s Benefits & Key Deliverables:**

The project’s benefits and key deliverables include, but are not limited to:

- State of the art equipment ensuring the reliability, availability and efficiency of SCAG’s business applications; and
- Increasing SCAG staff productivity with high performing hardware and software.

It is of critical importance to SCAG operations that SCAG’s aging IT infrastructure is upgraded. SCAG’s IT infrastructure supports all of SCAG’s business applications daily. This includes Finance Division applications, Microsoft Dynamics GP, Microsoft Customer Relationship Management (CRM), SQL Server databases. It also supports critical projects such as GIS applications and the Regional Aerial Imagery project.

**Strategic Plan**

This item supports SCAG’s Strategic Plan Goal 3: Be the foremost data information hub for the region; Objective: Model best practices by prioritizing continuous improvement and technical innovations through the adoption of interactive, automated, and state-of-the-art information tools and technologies.

**Contract Amount:**

**Total not to exceed**

**\$63,127**

**Contract Period:**

July 22, 2021 through June 30, 2022.

**Project Number:**

811-1163.17 – Indirect Cost

**Request for Quote (RFQ):**

SCAG staff notified 1,567 firms of the release of RFQ 22-009 via SCAG’s Solicitation Management System website. A total of 43 firms downloaded

Attachment: Contract Summary 22-009-C01 (Purchase Orders \$5,000 - \$199,999; Contracts \$25,000 - \$199,999 and Amendments \$5,000 -

the RFP. SCAG received the following two (2) quotes in response to the solicitation:

<b>The Pinnacle Group</b>	<b>\$63,127</b>
Howard Industries (no subconsultants)	\$73,061

**Basis for Selection:**

Given the fact that staff issued an RFQ, staff awarded the contract to the lowest responsive and responsible bidder, The Pinnacle Group.



**CONSULTANT CONTRACT NO. 21-061-C01**

**Recommended Consultant:** GeoTab USA

**Background & Scope of Work:** The Southern California Association of Governments (SCAG) is seeking a qualified vendor to provide truck travel data. The database is crucial to SCAG’s goal to develop, maintain and enhance heavy-duty truck model. The scope of work entails SCAG with truck travel information/data which would be used in updating SCAG’s Heavy-Duty Truck (HDT) model and on-going preparation of 2024 Regional Transportation Plan/Sustainable Community Strategies (RTP/SCS).

**Project’s Benefits & Key Deliverables:** The project’s benefits and key deliverables include, but are not limited to:

- Data that will enable Southern California Regional Planners the ability to accurately estimate truck trips within each county through an updated Heavy-Duty Truck (HDT) model. Additionally, the model will help develop more accurate outcomes that will result in improving the lives of residents within SCAG region.

**Strategic Plan:** This item supports SCAG’s Strategic Plan Goal 3: Be the foremost data information hub for the region: Objective a. Develop and maintain planning models and tools data sets that support innovative plan development, policy analysis and policy implementation, Objective b. Model best practices by prioritizing continues improvement and technical innovation through the adoption of interactive, automated and state-of-art information tools and technologies.

**Contract Amount:** **Total not to exceed** **\$56,000**  
GeoTab USA (prime consultant)

**Contract Period:** June 29, 2021 through June 30, 2022

**Project Number(s):** 070-0130B.10 \$56,000

Funding source: Federal Transit Administration (FTA) 5303

**Request for Proposal (RFP):** SCAG staff notified 3,707 firms of the release of RFP 21-061-C01 via SCAG’s PlanetBids website. A total of 34 firms downloaded the RFP. SCAG received the following two (2) proposals in response to the solicitation:

<b>GeoTab USA (no subconsultants)</b>	<b>\$56,000</b>
American Transportation Research Institute (no subconsultants)	\$75,000

**Selection Process:** The Proposal Review Committee (PRC) evaluated each proposal in accordance with the criteria set forth in the RFP and conducted the selection process in a manner consistent with all applicable federal and state contracting regulations. After evaluating the proposals, the PRC did not conduct interviews because the proposals contained sufficient information on which to base a contract award.

The PRC consisted of the following individuals:

**Attachment: Contract Summary 21-061-C01 (Purchase Orders \$5,000 - \$199,999; Contracts \$25,000 - \$199,999 and Amendments \$5,000 -**

Mana Sangkapichai, Transportation Modeler IV, SCAG  
John Cho, Senior Regional Planner, SCAG  
Jisu Lee, Transportation Modeler II, SCAG

**Basis for Selection:**

The PRC recommended GeoTab USA for the contract award because the consultant:

- Was responsive to the solicitation;
- Demonstrated capability to perform successfully under the terms and conditions proposed (consideration will be given to such matters as offeror integrity, compliance with public policy, record of past performance, and financial and technical resources);
- Demonstrated the most extensive experience with projects of similar size and scope. Specifically, the truck GPS data is highly specialized data and would require an experience firm to process and prepare the data. GeoTab's proposal demonstrated a thorough understanding and capability to deliver the data that meet the RFP requirements; and
- Proposed the lowest price.

**CONSULTANT CONTRACT 22-014-C01**

**Recommended Consultant:**

CDW Government, Inc.

**Background & Scope of Work:**

SCAG’s current video conferencing equipment in its nine (9) small to medium sized conference rooms in the Los Angeles office have reached their end-of-life and need to be upgraded to industry standards for Microsoft Teams compatibility. Staff recommends upgrading the nine (9) conference rooms with Microsoft Teams compatible video conferencing units. By upgrading this equipment, SCAG will continue to provide current and reliable in-room, and hybrid video conferencing, with audio, video, and collaboration features.

This purchase procures new video conferencing equipment including tabletop conference devices, cameras, microphones, and presentation systems.

**Project’s Benefits & Key Deliverables:**

The project’s benefits and key deliverables include, but are not limited to:

- State of the art equipment ensuring reliability, and availability of in-room and hybrid video conferencing to connect participants from any location; and
- Increased SCAG staff productivity and collaboration with high performing hardware and software.

**Strategic Plan**

This item supports SCAG’s Strategic Plan Goal 3: Be the foremost data information hub for the region; Objective: Model best practices by prioritizing continuous improvement and technical innovations through the adoption of interactive, automated, and state-of-the-art information tools and technologies.

**Contract Amount:**

**Total not to exceed**

**\$45,662**

**Contract Period:**

July 20, 2021 through July 1, 2026

**Project Number:**

811-1163.17 – Indirect Cost

**Basis for Selection:**

In accordance with SCAG’s Procurement Manual (January 2021) Section 9.3, to foster greater economy and efficiency, SCAG’s federal procurement guidance (2 CFR 200.318 [e]) authorizes SCAG to procure goods and services by using an Intergovernmental Agreement (Master Service Agreement – MSA, also known as a Leveraged Purchase Agreement – LPA). The goods and services procured under an MSA were previously competitively procured by another governmental entity (SCAG is essentially “piggy-backing” on the agreement.) SCAG utilized an MSA with the National IPA, Agreement No. Contract 2018011-01, that was competitively procured. This MSA is specifically designed for use by local agencies to leverage combined purchasing power for discounted volume pricing.

**Attachment: Contract Summary 22-014-C01 (Purchase Orders \$5,000 - \$199,999; Contracts \$25,000 - \$199,999; Amendments \$5,000 -**

**CONSULTANT CONTRACT NO. 21-049-C01**

<b>Recommended Consultant:</b>	First American Data & Analytics						
<b>Background &amp; Scope of Work:</b>	The purpose of this project is to provide SCAG with a parcel database in an effort to develop an integrated land use database as well as to estimate housing units in the region. The parcel database will incorporate employment, number of households, building information at the parcel level. Additionally, the parcel database can be used to determine proper usage for existing land, as well as, designating jurisdictional zoning and/or general plan codes. Once completed, the integrated land use database will be used as the basis for the 2024 Regional Transportation Plan/Sustainable Community Strategy (RTP/SCS) growth forecast development.						
<b>Project's Benefits &amp; Key Deliverables:</b>	Parcel data will be used to update land use data and to estimate housing units more accurately. Key deliverables include, but are not limited to: <ul style="list-style-type: none"><li>• Parcel boundaries in separate ESRI SHP files for Imperial, Los Angeles, Orange, Riverside, San Bernardino and Ventura Counties;</li><li>• One electronic version of a User's Guide/Data Dictionary including a correspondence table between vendor's land use code and SCAG' land use code will be delivered to SCAG; and</li><li>• Detailed document containing information on any restrictions to the sharing of data by SCAG with our member agencies.</li></ul>						
<b>Strategic Plan:</b>	This item supports SCAG's Strategic Plan Goal 3: Be the foremost data information hub for the region - Develop and maintain models, tools, and data sets that support innovative plan development, policy analysis and project implementation.						
<b>Contract Amount:</b>	<b>Total not to exceed</b> <span style="float: right;"><b>\$34,500</b></span> First American Data & Analytics (prime consultant)						
<b>Contract Period:</b>	June 29, 2021 through June 30, 2022						
<b>Project Number(s):</b>	055-0704B.02      \$34,500  Funding source: Federal Transit Administration (FTA) 5303						
<b>Request for Proposal (RFP):</b>	SCAG staff notified 2,113 firms of the release of RFP 21-049-C01 via SCAG's PlanetBids website. A total of 31 firms downloaded the RFP. SCAG received the following three (3) proposals in response to the solicitation:  <table border="0" style="width: 100%;"><tr><td style="width: 80%;"><b>First American Data &amp; Analytics (no subconsultants)</b></td><td style="text-align: right;"><b>\$34,500</b></td></tr><tr><td>Parcel Quest (no subconsultants)</td><td style="text-align: right;">\$35,000</td></tr><tr><td>Lightbox (no subconsultants)</td><td style="text-align: right;">\$75,000</td></tr></table>	<b>First American Data &amp; Analytics (no subconsultants)</b>	<b>\$34,500</b>	Parcel Quest (no subconsultants)	\$35,000	Lightbox (no subconsultants)	\$75,000
<b>First American Data &amp; Analytics (no subconsultants)</b>	<b>\$34,500</b>						
Parcel Quest (no subconsultants)	\$35,000						
Lightbox (no subconsultants)	\$75,000						
<b>Selection Process:</b>	The Proposal Review Committee (PRC) evaluated each proposal in accordance with the criteria set forth in the RFP and conducted the selection process in a manner consistent with all applicable federal and state contracting regulations. After evaluating the proposals, the PRC did not conduct interviews because the proposals contained sufficient information on which to base a contract award.						

The PRC consisted of the following individuals:

John Cho, Senior Regional Planner, SCAG

Cheol-Ho Lee, Senior Regional Planner, SCAG

Jung H. Seo, Regional Planner Specialist, SCAG

Yu-Jen Chen, Associate Transportation Planner, Caltrans-District 12

**Basis for Selection:**

The PRC recommended First American Data & Analytics for the contract award because the consultant:

- Was responsive to the solicitation;
- Quoted the lowest most realistic price to perform all the scope of work; and
- Demonstrated the best understanding of the proposed scope of work and the key elements involved. Specifically, the proposal was well prepared with all the required elements, particularly, housing units related variables. The consultant provided the best quality of required elements and housing units related variables compared to other proposers.

**CONSULTANT CONTRACT NO. 21-062-C01**

<b>Recommended Consultant:</b>	IHS Markit
<b>Background &amp; Scope of Work:</b>	The Southern California Association of Governments (SCAG) is seeking a qualified vendor to provide SCAG with a database of freight traffic across the United States, including commodities and multiple units of measure. The database includes different modes of transportation, such as truck (with sub-modes by truck types), rail, intermodal, waterborne and air. The database shall be used for both vehicle and heavy-duty truck (HDT) travel demand model calibration and validation to support regional transportation planning. Data shall also be used to analyze recent trends of freight traffic shipments across geographic markets, commodities, and multiple units of measures. Tasks for this project shall include, quantification of existing and future commodity flows into, out of, and through the SCAG region.
<b>Project's Benefits &amp; Key Deliverables:</b>	<p>The project's benefits and key deliverables include, but are not limited to:</p> <ul style="list-style-type: none"><li>• Providing SCAG region commodity flow database by different commodity types and transport modes;</li><li>• Providing Geographic Information System (GIS) format (shapefile) of the routing systems of highway and rail with corresponding segment information; and</li><li>• Technical documents.</li></ul>
<b>Strategic Plan:</b>	This item supports SCAG's Strategic Plan Goal 3: Be the foremost data information hub for the region: Objective a. Develop and maintain planning models and tools data sets that support innovative plan development, policy analysis and policy implementation, Objective b. Model best practices by prioritizing continues improvement and technical innovation through the adoption of interactive, automated and state-of-art information tools and technologies.
<b>Contract Amount:</b>	<b>Total not to exceed</b> <span style="float: right;"><b>\$30,000</b></span> IHS Markit (prime consultant)
<b>Contract Period:</b>	June 29, 2021 through June 30, 2022
<b>Project Number(s):</b>	070-0130B.12 \$30,000  Funding sources: Federal Transit Administration (FTA) 5303
<b>Request for Proposal (RFP):</b>	SCAG staff notified 4473 firms of the release of RFP 21-062-C01 via SCAG's PlanetBids website. A total of 20 firms downloaded the RFP. SCAG received the following one (1) proposal in response to the solicitation:  <b>IHS Markit (no subconsultants)</b> <span style="float: right;"><b>\$30,000</b></span>  After receiving only one proposal, staff surveyed 20 firms that downloaded the RFP to determine why each did not submit a proposal. No firms responded to staff's inquiry. Note staff advertised the RFP the normal four (4) week period. For these reasons staff subsequently proceeded with reviewing the single offer.

Attachment: Contract Summary 21-062-C01 (Purchase Orders \$5,000 - \$199,999; Contracts \$25,000 - \$199,999 and Amendments \$5,000 -

**Selection Process:**

The Proposal Review Committee (PRC) evaluated each proposal in accordance with the criteria set forth in the RFP and conducted the selection process in a manner consistent with all applicable federal and state contracting regulations. After evaluating the proposal, the PRC did not conduct interviews because the proposal contained sufficient information on which to base a contract award.

The PRC consisted of the following individuals:

John Cho, Senior Regional Planner, SCAG  
Ellen Jisu Lee, Transportation Modeler II, SCA  
Mana Sangkapichai, Transportation Modeler IV, SCAG

**Basis for Selection:**

The PRC recommended IHS Markit for the contract award because the consultant:

- Was responsive to the solicitation;
- demonstrated capability to perform successfully under the terms and conditions; and
- Demonstrated extensive experience with projects of similar size and scope. Specifically, the proposer is one of the leading commodity flow data vendors in the field. Commodity flow data is a highly specialized data. Given the proposer’s experience in processing this type of data, the PRC members all agreed that they were the right vendor for this project. Furthermore, the proposal submitted demonstrates a thorough understanding and capability to deliver the products of this project.



AGENDA ITEM 13  
REPORT

Southern California Association of Governments  
Remote Participation Only  
September 1, 2021

**To:** Executive/Administration Committee (EAC)  
Regional Council (RC)  
**From:** Cindy Giraldo, Chief Financial Officer  
(213) 630-1413, giraldo@scag.ca.gov  
**Subject:** CFO Monthly Report

EXECUTIVE DIRECTOR'S  
APPROVAL

**RECOMMENDED ACTION:**

Information Only - No Action Required

**STRATEGIC PLAN:**

This item supports the following Strategic Plan Goal 7: Secure funding to support agency priorities to effectively and efficiently deliver work products.

**CFO REPORT UPDATES:**

**MEMBERSHIP DUES:**

As of August 19, 2021, 152 cities and 4 counties had paid their FY22 dues. This represents 80.91% of the dues assessment. 27 cities and 2 counties had yet to pay their dues.

**FY 21 Year End Close and Audit Update:**

Staff is in the final stages of closing Fiscal Year Ended June 30, 2021, which is scheduled to be completed by the end of August, closing out the 60-day accrual period. Thereafter, staff will be preparing the auditor requested items for their fieldwork scheduled to begin during the last week of September. Based on our normal yearend close cycle, financial results for June 30 are not yet available, as a result the Consolidated Balance Sheet (compares preliminary June vs. final July) has not been included in the CFO Report attachment.

**BUDGET & GRANTS (B&G):**

On June 29, 2021, the Federal Highway Administration (FHWA) and the Federal Transit Administration (FTA) approved SCAG's FY 2021-2022 (FY22) Overall Work Program (OWP) for FHWA Metropolitan Planning (PL) and FTA Section 5303 and 5304 funding.

Additionally, SCAG received its final allocation for the FY22 Senate Bill 1 (SB 1) Sustainable Communities Formula Grant in the amount of \$ 5,298,366 for transportation planning projects.





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SCAG staff will work with Caltrans staff to meet the conditions of grant acceptance as outlined in the award letter. This grant ends on February 28, 2024.

On July 29, 2021, SCAG submitted the FY 2020-21 (FY21) OWP 4th Quarter Progress Report with preliminary expenditures to Caltrans. The 4th Quarter Progress Report with final expenditures and final work products will be submitted to Caltrans by August 31, 2021.

**CONTRACTS:**

In July 2021, the Contracts Department issued three (3) Request for Proposals; awarded two (2) contracts; issued two (2) contract amendments; and processed 209 Purchase Orders to support ongoing business and enterprise operations. Staff also administered 157 consultant contracts. Contracts staff continued to negotiate better pricing as well as reduced costs for services. It should be noted, in FY21 Contracts staff negotiated approximately \$1,486,302 in budget savings.

**ATTACHMENT(S):**

1. CFO Monthly Report



# **Office of the Chief Financial Officer**

## **Monthly Status Report**

**JULY 2021**

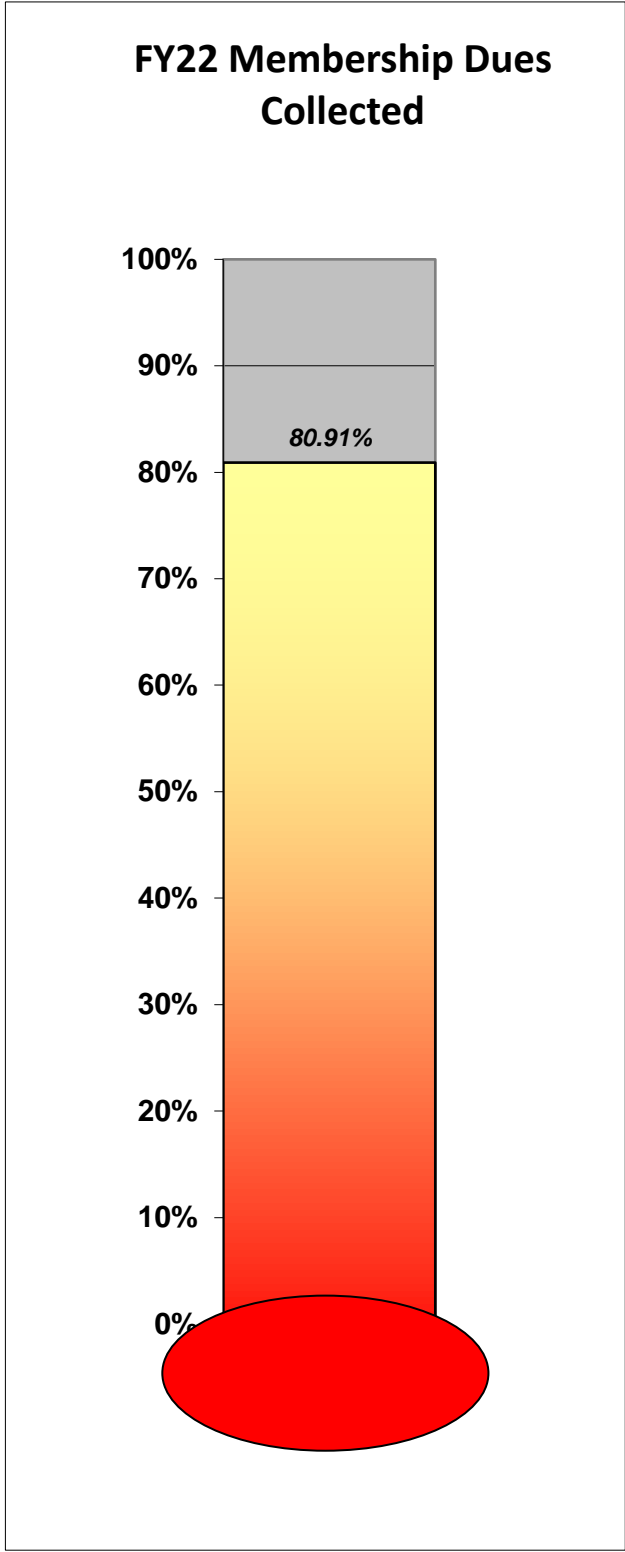


**OVERVIEW**

As of August 19 2021, 152 cities and 4 counties had paid their FY22 dues. This represents 80.91% of the dues assessment. 27 cities and 2 counties had yet to pay their dues.

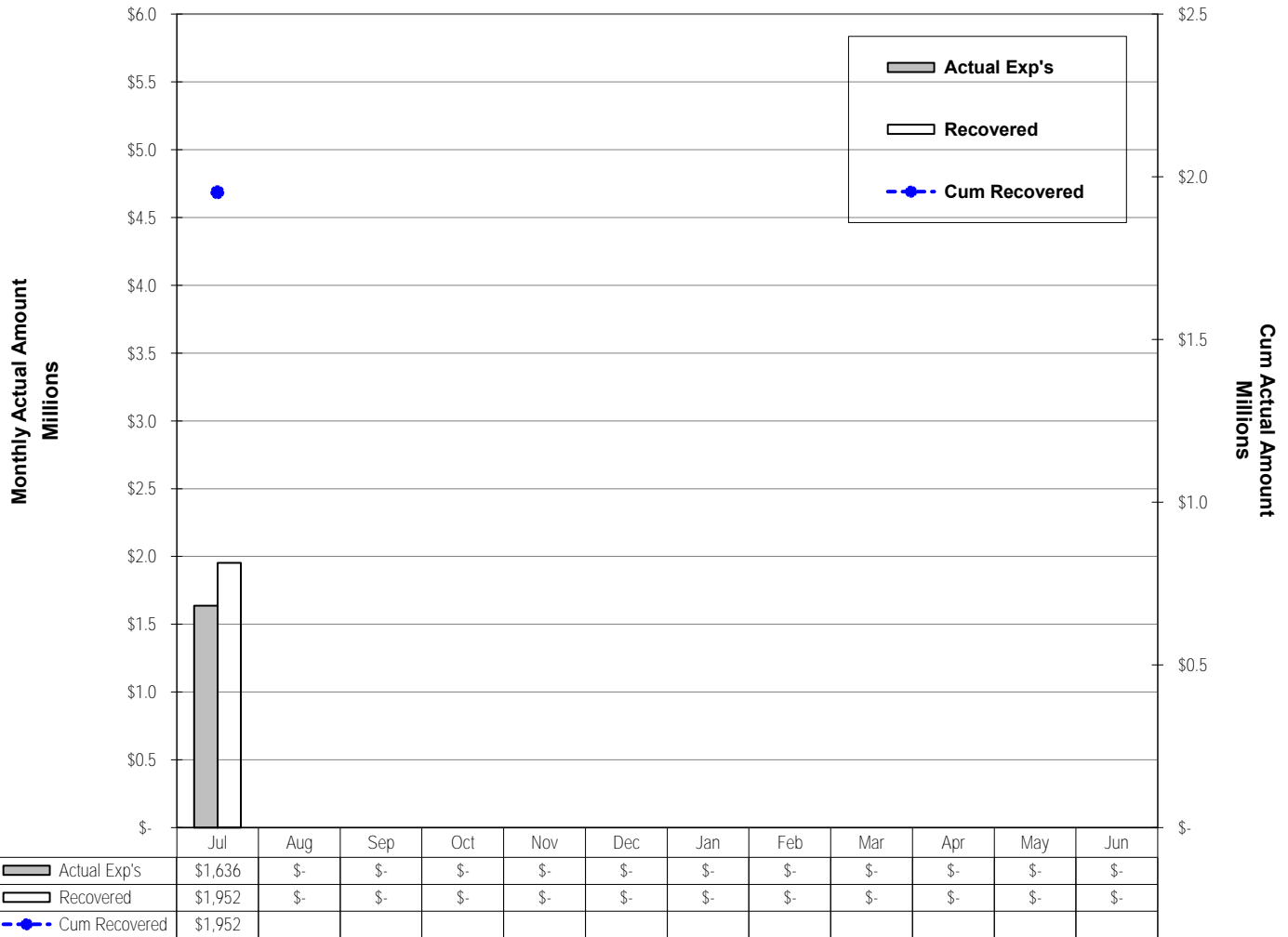
**SUMMARY**

FY21 Membership Dues	<u>\$ 2,142,920</u>
Total Collected	<u>\$ 1,733,922</u>
Percentage Collected	<u>80.91%</u>





### FY22 INDIRECT COST & RECOVERY



Attachment: CFO Monthly Report [Revision 1] (CFO Monthly Report)

## OVERVIEW

**A comparison of Indirect Cost (IC), incurred by SCAG vs. IC recovered from SCAG's grants.**

## SUMMARY

**Through July 2021, SCAG was over-recovered by \$315,964.22 due to unspent Indirect Cost budget. This is in line with the over-recovery built in to the FY22 IC rate.**



**Office of the CFO**  
Fiscal Year-To-Date Expenditure Report Through July 31, 2021

**COMPREHENSIVE BUDGET**

		<b>Adopted Budget</b>	<b>Amended Budget</b>	<b>Expenditures</b>	<b>Commitments</b>	<b>Budget Balance</b>	<b>% Budget Spent</b>
1	Staff & Allocated Fringe Benefits	257,016	257,016	8,378	-	248,638	3.3%
2	51001 Allocated Indirect Costs	363,202	363,202	11,839	-	351,363	3.3%
3	54300 SCAG Consultants	288,000	288,000	-	-	288,000	0.0%
4	54340 Legal costs	120,000	120,000	-	118,712	1,288	0.0%
5	55441 Payroll, bank fees	15,000	15,000	19	14,981	0	0.1%
6	55600 SCAG Memberships	127,600	127,600	5,772	13,750	108,078	4.5%
7	55610 Professional Membership	11,500	11,500	-	957	10,543	0.0%
8	55620 Res mat/sub	2,000	2,000	-	-	2,000	0.0%
9	55860 Scholarships	44,000	44,000	-	-	44,000	0.0%
10	55910 RC/Committee Mtgs	15,000	15,000	-	-	15,000	0.0%
11	55912 RC Retreat	13,000	13,000	-	-	13,000	0.0%
12	55914 RC General Assembly	611,500	611,500	-	-	611,500	0.0%
13	55915 Demographic Workshop	28,000	28,000	-	1	27,999	0.0%
14	55916 Economic Summit	85,000	85,000	-	-	85,000	0.0%
15	55918 Housing Summit	20,000	20,000	-	-	20,000	0.0%
16	55920 Other Meeting Expense	86,500	86,500	-	20,001	66,499	0.0%
17	55xxx Miscellaneous other	67,000	67,000	-	-	67,000	0.0%
18	55940 Stipend - RC Meetings	202,000	202,000	13,610	-	188,390	6.7%
19	56100 Printing	10,000	10,000	-	-	10,000	0.0%
20	58100 Travel - outside SCAG region	77,500	77,500	-	-	77,500	0.0%
21	58101 Travel - local	47,500	47,500	-	-	47,500	0.0%
22	58110 Mileage - local	31,500	31,500	-	-	31,500	0.0%
23	58150 Travel Lodging	13,000	13,000	-	-	13,000	0.0%
24	58800 RC Sponsorships	165,000	165,000	-	3,000	162,000	0.0%
25	<b>Total General Fund</b>	<b>4,213,001</b>	<b>4,213,001</b>	<b>39,618</b>	<b>198,766</b>	<b>3,974,617</b>	<b>0.9%</b>
26				-			
27	Staff & Allocated Fringe Benefits	17,631,038	17,631,038	1,342,014	-	16,289,024	7.6%
28	51001 Allocated Indirect Costs	24,915,148	24,915,148	1,886,830	-	23,028,318	7.6%
29	54300 SCAG Consultants	33,944,276	33,944,276	-	3,720,764	30,223,513	0.0%
30	54302 Non-Profits/IHL	933,245	933,245	-	134,641	798,604	0.0%
31	54303 Consultants TC - FTA 5303	6,352,646	6,352,646	-	656,260	5,696,386	0.0%
32	54360 Pass-through Payments	9,191,406	9,191,406	-	-	9,191,406	0.0%
33	55210 Software Support	600,000	600,000	28,964	37,400	533,636	4.8%
34	55250 Cloud Services	1,635,500	1,635,500	-	-	1,635,500	0.0%
35	5528x Third Party Contributions	5,230,855	5,230,855	342,659	-	4,888,196	6.6%
36	55310 F&F Principal	264,368	264,368	21,544	242,824	0	8.1%
37	55315 F&F Interest	10,423	10,423	1,211	9,212	0	11.6%
38	55320 AV Principal	149,034	149,034	12,113	136,921	0	8.1%
39	55325 AV Interest	2,642	2,642	295	2,347	0	11.2%
40	55415 Off Site Storage	9,124	9,124	-	-	9,124	0.0%
41	55520 Hardware Supp	5,000	5,000	-	-	5,000	0.0%
42	55580 Outreach/Advertisement	64,000	64,000	-	-	64,000	0.0%
43	55620 Resource Materials - subscrib	540,000	540,000	-	34,501	505,499	0.0%
44	55810 Public Notices	65,000	65,000	-	-	65,000	0.0%
45	55830 Conf. Registration	4,000	4,000	-	-	4,000	0.0%
46	55920 Other Meeting Expense	19,000	19,000	-	-	19,000	0.0%
47	55930 Miscellaneous	190,717	190,717	-	9,000	181,717	0.0%
48	55931 Misc Labor - TDA	1,204,452	1,204,452	-	-	1,204,452	0.0%
49	55932 Misc Labor, Future - TDA	1,185,044	1,185,044	-	-	1,185,044	0.0%
50	56100 Printing	9,000	9,000	-	-	9,000	0.0%
51	58xxx Travel	82,500	82,500	-	-	82,500	0.0%
52	59090 Exp - Local Other	40,011,607	40,011,607	-	-	40,011,607	0.0%
53	<b>Total OWP &amp; TDA Capital</b>	<b>144,250,025</b>	<b>144,250,025</b>	<b>3,635,630</b>	<b>4,983,868</b>	<b>135,630,527</b>	<b>2.5%</b>
54				-			
55	<b>Comprehensive Budget</b>	<b>148,463,026</b>	<b>148,463,026</b>	<b>3,675,248</b>	<b>5,182,634</b>	<b>139,605,144</b>	<b>2.5%</b>

**Attachment: CFO Monthly Report [Revision 1] (CFO Monthly Report)**



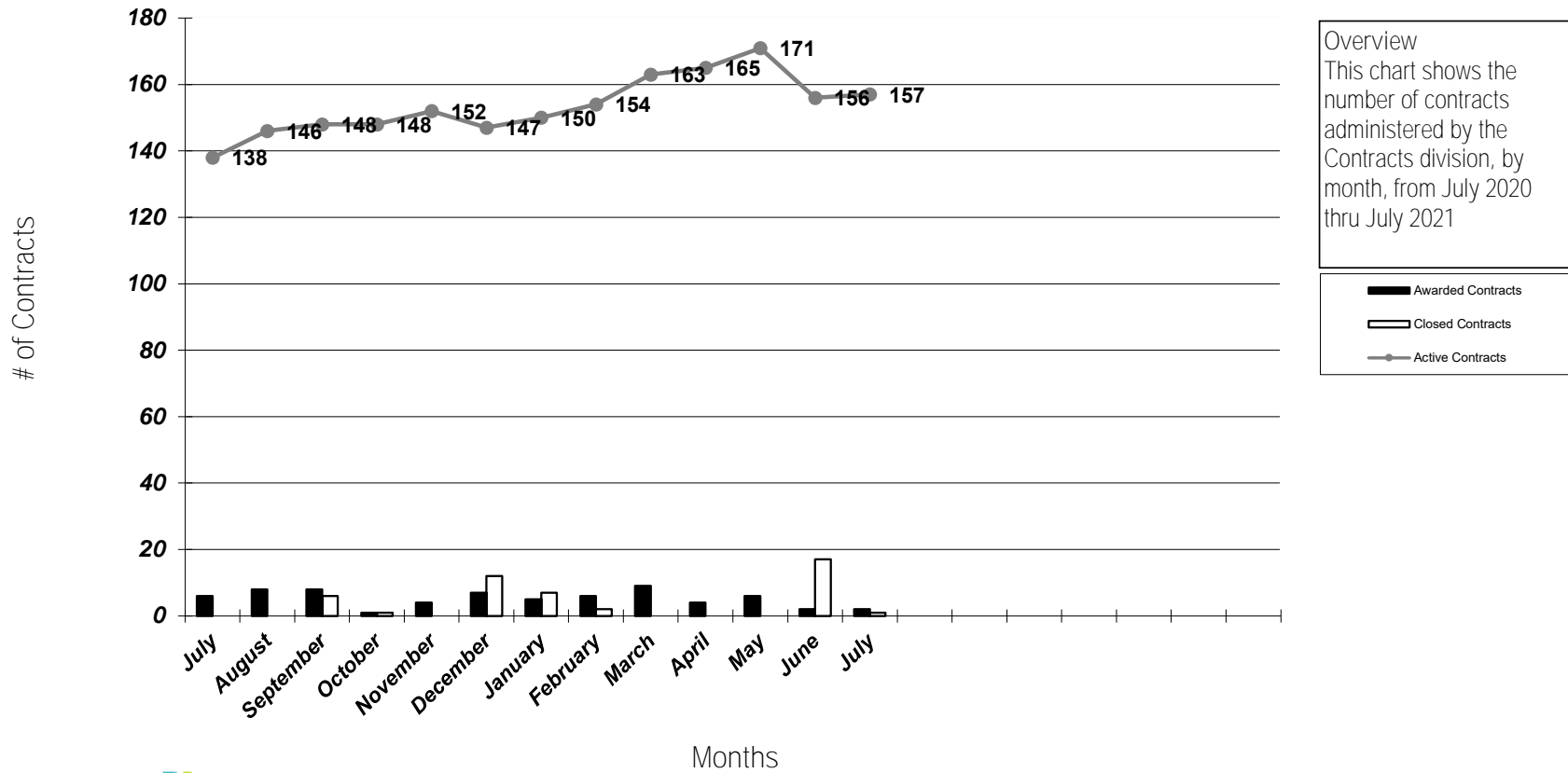
**Office of the CFO**  
Fiscal Year-To-Date Expenditure Report Through July 31, 2021

**INDIRECT COST EXPENDITURES**

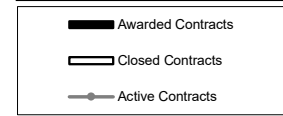
			<b>Adopted Budget</b>	<b>Amended Budget</b>	<b>Expenditures</b>	<b>Commitments</b>	<b>Budget Balance</b>	<b>% Budget Spent</b>
1	50010	Regular Staff	7,746,533	7,746,533	571,129		7,175,404	7.4%
2	50013	Regular OT	1,000	1,000	325		675	32.5%
3	50014	Interns, Temps, Annuity	78,000	78,000	6,116		71,884	7.8%
4	50030	Severance	80,000	80,000	17,288		62,712	21.6%
5	51xxx	Allocated Fringe Benefits	6,077,056	6,077,056	461,880	-	5,615,176	7.6%
6	54300	SCAG Consultants	1,961,819	1,961,819	-	75,293	1,886,526	0.0%
7	54301	Consultants - Other	731,000	731,000	-	7,060	723,940	0.0%
8	54340	Legal	40,000	40,000	-	40,000	0	0.0%
9	55201	Network and Communications	304,000	304,000	-	13,656	290,344	0.0%
10	55210	Software Support	548,900	548,900	75,272	123,720	349,908	13.7%
11	55220	Hardware Supp	940,817	940,817	-	111,802	829,015	0.0%
12	55240	Repair & Maint Non-IT	26,500	26,500	-	3,500	23,000	0.0%
13	55251	Infrastructure Cloud Services	623,465	623,465	-	3,140	620,325	0.0%
14	55271	On-Prem Software	247,690	247,690	-	-	247,690	0.0%
15	55275	Co-location Services	250,000	250,000	-	-	250,000	0.0%
16	55315	F&F Interest	4,376	4,376	509	3,868	0	11.6%
17	55325	AV Interest	8,162	8,162	912	7,250	0	11.2%
18	55400	Office Rent DTLA	2,302,445	2,302,445	383,698	1,918,747	0	16.7%
19	55410	Office Rent Satellite	278,200	278,200	20,078	118,472	139,650	7.2%
20	55415	Offsite Storage	5,000	5,000	-	2,501	2,499	0.0%
21	55420	Equip Leases	100,000	100,000	-	5,000	95,000	0.0%
22	55430	Equip Repairs & Maint	1,000	1,000	-	-	1,000	0.0%
23	55435	Security Services	100,000	100,000	-	100,000	0	0.0%
24	55440	Insurance	315,000	315,000	46,104	-	268,897	14.6%
25	55441	Payroll / Bank Fees	17,500	17,500	4	17,496	0	0.0%
26	55445	Taxes	5,000	5,000	-	-	5,000	0.0%
27	55460	Mater & Equip < \$5,000 *	54,000	54,000	-	-	54,000	0.0%
28	55510	Office Supplies	73,800	73,800	-	61,500	12,300	0.0%
29	55520	Graphic Supplies	4,000	4,000	-	-	4,000	0.0%
30	55540	Postage	10,000	10,000	-	10,000	0	0.0%
31	55550	Delivery Svc	5,000	5,000	-	5,000	0	0.0%
32	55600	SCAG Memberships	102,200	102,200	-	-	102,200	0.0%
33	55610	Prof Memberships	1,500	1,500	-	-	1,500	0.0%
34	55611	Prof Dues	1,350	1,350	-	-	1,350	0.0%
35	55620	Res Mats/Subscrip	58,100	58,100	3,357	12,310	42,434	5.8%
36	55700	Deprec - Furn & Fixt	250,330	250,330	-	-	250,330	0.0%
37	55720	Amortiz - Leasehold Improvements	75,000	75,000	-	-	75,000	0.0%
38	55800	Recruitment Notices	25,000	25,000	-	25,000	(0)	0.0%
39	55801	Recruitment - other	45,000	45,000	-	25,000	20,000	0.0%
40	55810	Public Notices	2,500	2,500	-	-	2,500	0.0%
41	55820	In House Training	30,000	30,000	-	-	30,000	0.0%
42	55830	Networking Meetings/Special Events	20,000	20,000	-	-	20,000	0.0%
43	55840	Training Registration	65,000	65,000	-	-	65,000	0.0%
44	55920	Other Mtg Exp	2,500	2,500	-	-	2,500	0.0%
45	55950	Temp Help	108,316	108,316	-	-	108,316	0.0%
46	55xxx	Miscellaneous - other	11,500	11,500	-	-	11,500	0.0%
47	56100	Printing	23,000	23,000	-	5,000	18,000	0.0%
48	58100	Travel - Outside	83,300	83,300	-	-	83,300	0.0%
49	58101	Travel - Local	20,000	20,000	-	-	20,000	0.0%
50	58110	Mileage - Local	23,500	23,500	-	-	23,500	0.0%
51	58120	Travel Agent Fees	3,000	3,000	-	-	3,000	0.0%
52		<b>Total Indirect Cost</b>	<b>23,891,359</b>	<b>23,891,359</b>	<b>1,586,671</b>	<b>2,695,314</b>	<b>19,609,374</b>	<b>6.6%</b>

**Attachment: CFO Monthly Report [Revision 1] (CFO Monthly Report)**

## SCAG Contracts (Year to Date)



**Overview**  
This chart shows the number of contracts administered by the Contracts division, by month, from July 2020 thru July 2021



**Summary**

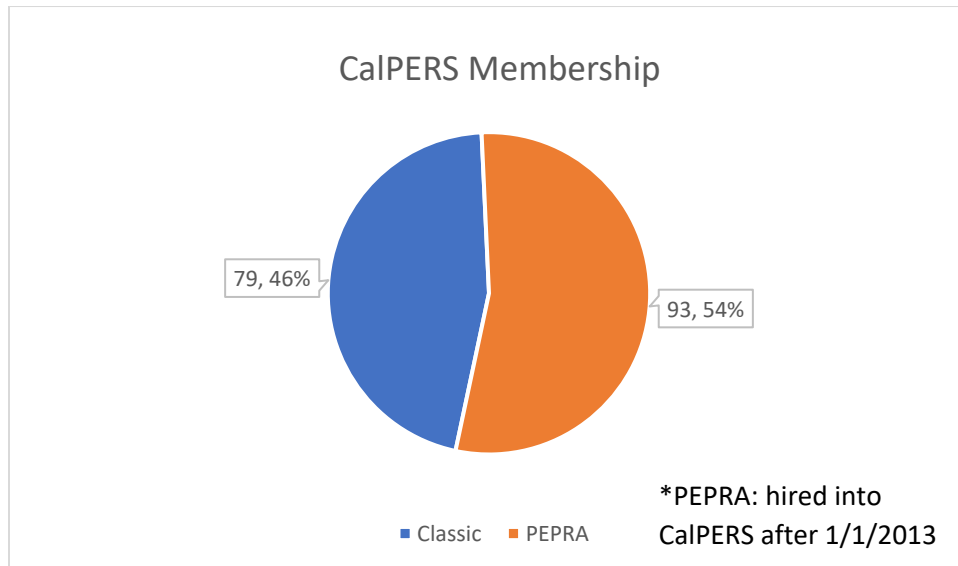
As illustrated on the chart, the Contracts Department is currently managing a total of 157 contracts. Forty-two (42) are Cost Plus Fee contracts; eighty-two (82) are Lump Sum (formerly Fixed Price) contracts, and the remaining thirty-three (33) are Time and Materials (T&M) contracts (includes Labor Hour and Retainer contracts). Note, due to the nature of SCAG's work, the majority of SCAG contracts have a one year term and end on June 30th each year.

# CFO Report

As of August 1, 2021

## Staffing Update

Division	Authorized Positions	Filled Positions	Vacant Positions	Interns/Temps	Agency Temps	Volunteers	Total
Executive Office	8	7	1	0	0	0	7
Human Resources	7	6	1	0	0	0	6
Legal Services	3	2	1	0	0	0	2
Finance	28	26	2	1	0	0	27
Information Technology	26	23	3	0	0	0	23
Policy & Public Affairs	22	19	3	0	0	0	19
Planning & Programs	94	89	5	2	0	2	93
<b>Total</b>	<b>188</b>	<b>172</b>	<b>16</b>	<b>3</b>	<b>0</b>	<b>2</b>	<b>177</b>



Attachment: CFO Monthly Report [Revision 1] (CFO Monthly Report)



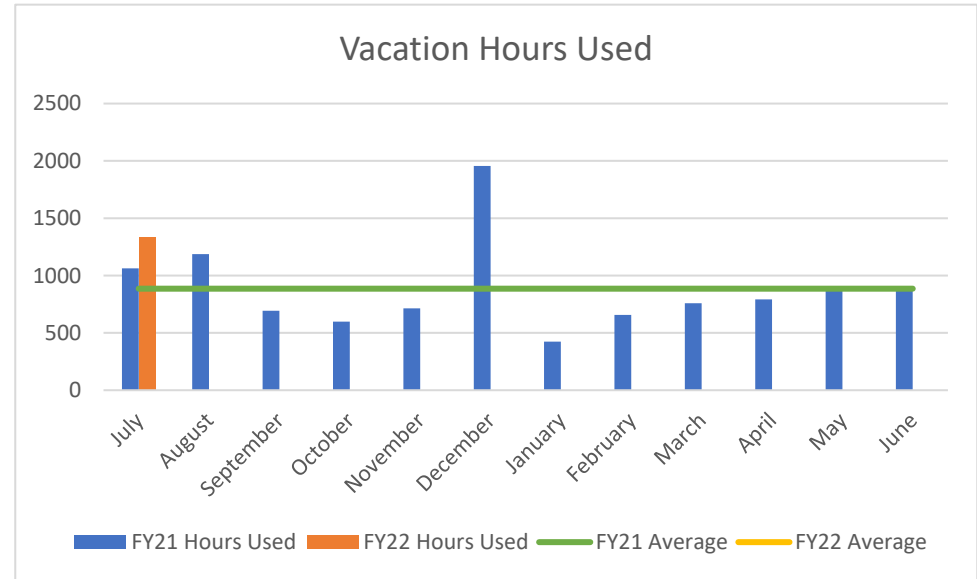
# CFO Report

As of August 1, 2021

## Vacation Update

### Vacation Usage FY22

	Hours Used	Cost
<b>Total</b>	1,335.26	\$ 96,298.46
<b>Average</b>	26.71	\$ 1,925.97
<b># of Staff</b>	50	
<b>% of Staff</b>	29.07%	



### Vacation Cash Out Pilot Program Usage in FY22 and FY21

	FY22 Hours Used	FY22 Cost	FY21 Hours Used	FY21 Cost
<b>Total</b>	60	\$ 4,093.80	1,180	\$ 81,956.80
<b>Average</b>	30	\$ 2,866.80	39.33	\$ 2,731.80
<b>Lowest</b>	20	\$ 1,227.00	20	\$ 1,352.40
<b>Highest</b>	40 (max)	\$ 4,093.80	40 (max)	\$ 5,568.40
<b># of Staff</b>	2		30	
<b>% of Staff</b>	1.16%		17.75%	

Attachment: CFO Monthly Report [Revision 1] (CFO Monthly Report)