



SOUTHERN CALIFORNIA
ASSOCIATION OF GOVERNMENTS
900 Wilshire Blvd., Ste. 1700
Los Angeles, CA 90017
T: (213) 236-1800
www.scag.ca.gov

REGIONAL COUNCIL OFFICERS

President
Clint Lorimore, Eastvale

First Vice President
**Jan C. Harnik, Riverside County
Transportation Commission**

Second Vice President
Carmen Ramirez, County of Ventura

Immediate Past President
Rex Richardson, Long Beach

COMMITTEE CHAIRS

Executive/Administration
Clint Lorimore, Eastvale

Community, Economic &
Human Development
Jorge Marquez, Covina

Energy & Environment
David Pollock, Moorpark

Transportation
Sean Ashton, Downey

REMOTE PARTICIPATION ONLY

EXECUTIVE/ ADMINISTRATION COMMITTEE

Remote Participation Only
Wednesday, June 30, 2021
3:00 p.m. – 4:00 p.m.

To Participate on Your Computer:
<https://scag.zoom.us/j/889726747>

To Participate by Phone:
Call-in Number: 1-669-900-6833
Meeting ID: 889 726 747

***Please see next page for detailed
instructions on how to participate in the meeting.***

PUBLIC ADVISORY

Given recent public health directives limiting public gatherings due to the threat of COVID-19 and in compliance with the Governor's recent Executive Order N-29-20, the meeting will be held telephonically and electronically.

If members of the public wish to review the attachments or have any questions on any of the agenda items, please contact Maggie Aguilar at (213) 630-1420 or via email at aguilarm@scag.ca.gov. Agendas & Minutes are also available at: www.scag.ca.gov/committees.

SCAG, in accordance with the Americans with Disabilities Act (ADA), will accommodate persons who require a modification of accommodation in order to participate in this meeting. SCAG is also committed to helping people with limited proficiency in the English language access the agency's essential public information and services. You can request such assistance by calling (213) 630-1420. We request at least 72 hours (three days) notice to provide reasonable accommodations and will make every effort to arrange for assistance as soon as possible.



Instructions for Public Comments

You may submit public comments in two (2) ways:

1. **Submit written comments via email to: ePublicComment@scag.ca.gov by 5pm on Tuesday, June 29, 2021.**

All written comments received after 5pm on Tuesday, June 29, 2021 will be announced and included as part of the official record of the meeting.

2. **If participating via Zoom or phone, during the Public Comment Period, use the “raise hand” function on your computer or *9 by phone and wait for SCAG staff to announce your name/phone number. SCAG staff will unmute your line when it is your turn to speak. Limit oral comments to 3 minutes, or as otherwise directed by the presiding officer.**

If unable to connect by Zoom or phone and you wish to make a comment, you may submit written comments via email to: ePublicComment@scag.ca.gov.

In accordance with SCAG’s Regional Council Policy, Article VI, Section H and California Government Code Section 54957.9, if a SCAG meeting is “willfully interrupted” and the “orderly conduct of the meeting” becomes unfeasible, the presiding officer or the Chair of the legislative body may order the removal of the individuals who are disrupting the meeting.



Instructions for Participating in the Meeting

SCAG is providing multiple options to view or participate in the meeting:

To Participate and Provide Verbal Comments on Your Computer

1. Click the following link: <https://scag.zoom.us/j/889726747>.
2. If Zoom is not already installed on your computer, click “Download & Run Zoom” on the launch page and press “Run” when prompted by your browser. If Zoom has previously been installed on your computer, please allow a few moments for the application to launch automatically.
3. Select “Join Audio via Computer.”
4. The virtual conference room will open. If you receive a message reading, “Please wait for the host to start this meeting,” simply remain in the room until the meeting begins.
5. During the Public Comment Period, use the “raise hand” function located in the participants’ window and wait for SCAG staff to announce your name. SCAG staff will unmute your line when it is your turn to speak. Limit oral comments to 3 minutes, or as otherwise directed by the presiding officer.

To Listen and Provide Verbal Comments by Phone

1. Call **(669) 900-6833** to access the conference room. Given high call volumes recently experienced by Zoom, please continue dialing until you connect successfully.
2. Enter the **Meeting ID: 889 726 747**, followed by #.
3. Indicate that you are a participant by pressing # to continue.
4. You will hear audio of the meeting in progress. Remain on the line if the meeting has not yet started.
5. During the Public Comment Period, press *9 to add yourself to the queue and wait for SCAG staff to announce your name/phone number. SCAG staff will unmute your line when it is your turn to speak. Limit oral comments to 3 minutes, or as otherwise directed by the presiding officer.



EAC - Executive/Administration Committee
Members – June 2021

- 1. Hon. Clint Lorimore**
Chair, Eastvale, RC District 4
- 2. Hon. Jan C. Harnik**
1st Vice Chair, RCTC Representative
- 3. Sup. Carmen Ramirez**
2nd Vice Chair, Ventura County
- 4. Hon. Rex Richardson**
Imm. Past President, Long Beach, RC District 29
- 5. Hon. Jorge Marquez**
CEHD Chair, Covina, RC District 33
- 6. Hon. Frank A. Yokoyama**
CEHD Vice Chair, Cerritos, RC District 23
- 7. Hon. David Pollock**
EEC Chair, Moorpark, RC District 46
- 8. Hon. Deborah Robertson**
EEC Vice Chair, Rialto, RC District 8
- 9. Hon. Sean Ashton**
TC Chair, Downey, RC District 25
- 10. Hon. Art Brown**
TC Vice Chair, Buena Park, RC District 21
- 11. Hon. Alan Wapner**
LCMC Chair, SBCTA Representative
- 12. Hon. Peggy Huang**
LCMC Vice Chair, TCA Representative
- 13. Hon. Kathryn Barger**
Pres. Appt., Los Angeles County
- 14. Hon. Larry McCallon**
Pres. Appt., Highland, RC District 7
- 15. Hon. Cheryl Viegas-Walker**
Pres. Appt., El Centro, RC District 1

OUR MISSION

To foster innovative regional solutions that improve the lives of Southern Californians through inclusive collaboration, visionary planning, regional advocacy, information sharing, and promoting best practices.

OUR VISION

Southern California's Catalyst for a Brighter Future

OUR CORE VALUES

Be Open | Lead by Example | Make an Impact | Be Courageous



- 16. Sup. Donald Wagner**
Pres. Appt., Orange County

- 17. Hon. Andrew Masiel**
Tribal Govt Regl Planning Board Representative

- 18. Randall Lewis**
Business Representative, Non-Voting Member

OUR MISSION

To foster innovative regional solutions that improve the lives of Southern Californians through inclusive collaboration, visionary planning, regional advocacy, information sharing, and promoting best practices.

OUR VISION

Southern California's Catalyst for a Brighter Future

OUR CORE VALUES

Be Open | Lead by Example | Make an Impact | Be Courageous



EXECUTIVE/ADMINISTRATION COMMITTEE AGENDA

Southern California Association of Governments
Remote Participation Only
Wednesday, June 30, 2021
3:00 PM

The Executive/Administration Committee may consider and act upon any of the items on the agenda regardless of whether they are listed as Information or Action items.

CALL TO ORDER AND PLEDGE OF ALLEGIANCE *(The Honorable Clint Lorimore, Chair)*

PUBLIC COMMENT PERIOD

Members of the public are encouraged to submit written comments by sending an email to: ePublicComment@scag.ca.gov by 5pm on Tuesday, June 29, 2021. Such comments will be transmitted to members of the legislative body and posted on SCAG's website prior to the meeting. Written comments received after 5pm on Tuesday, June 29, 2021 will be announced and included as part of the official record of the meeting. Members of the public wishing to verbally address the Executive/Administration Committee will be allowed up to 3 minutes to speak, with the presiding officer retaining discretion to adjust time limits as necessary to ensure efficient and orderly conduct of the meeting. The presiding officer has the discretion to reduce the time limit based upon the number of comments received and may limit the total time for all public comments to twenty (20) minutes.

REVIEW AND PRIORITIZE AGENDA ITEMS

ACTION ITEMS

1. Inclusive Economic Recovery Strategy - Final Report and Recommendations
(Jenna Hornstock, Deputy Director of Planning for Special Initiatives)

RECOMMENDED ACTION FOR EAC:

Recommended to the Regional Council to adopt the Final Report and Recommendations.

CONSENT CALENDAR

Approval Items

2. Minutes of the Meeting - June 2, 2021
3. Contracts \$200,000 or Greater: Contract No. 21-017-C01, Supporting Infrastructure for Zero Emission Heavy Duty Vehicles
4. Contracts \$200,000 or Greater: Contract No. 22-005-C01, Information Technology Research and Advisory Services
5. Contracts \$200,000 or Greater: Contract No. 22-007-C01, Primary Internet Connections

OUR MISSION

To foster innovative regional solutions that improve the lives of Southern Californians through inclusive collaboration, visionary planning, regional advocacy, information sharing, and promoting best practices.

OUR VISION

Southern California's Catalyst for a Brighter Future

OUR CORE VALUES

Be Open | Lead by Example | Make an Impact | Be Courageous



6. Contracts Amendment Greater Than \$75,000 and Greater Than 30% of the Contract's Original Value: Contract No. 19-006-C01, Amendment 6, OnBase Maintenance and Support Licenses
7. Amendment \$75,000 or Greater or 30% or more of the Original Contract's Value: Contract No. 17-024-C1 Amendment 10, High Quality Transit Area (HQTA) Analysis
8. Amendment \$75,000 or Greater or 30% or More of the Original Contract's Value: Contract No. 21-028-C01 Amendment 1, Safe and Resilient Streets Strategies and Mini-Grants
9. SCAG Memberships and Sponsorships

Receive and File

10. Purchase Orders \$5,000 - \$199,999; Contracts \$25,000 - \$199,999 and Amendments \$5,000 - \$74,999
11. CFO Monthly Report

CFO MONTHLY REPORT
(Tom Philip, Acting Chief Financial Officer)

PRESIDENT'S REPORT
(The Honorable Clint Lorimore, Chair)

EXECUTIVE DIRECTOR'S REPORT
(Kome Ajise, Executive Director)

FUTURE AGENDA ITEM/S

ANNOUNCEMENT/S

CLOSED SESSION

CONFERENCE WITH LEGAL COUNSEL - EXISTING LITIGATION

Pursuant to Government Code Section 54956.9(d)(1)

Orange County Council of Governments v. Gustavo Velasquez, Interim Director of Dept. of Housing and Community Development; California Dept. of Housing and Community Development; Los Angeles County Superior Court Case No. 21STCP01970 [Note: Southern California Association of Governments is named as a "real party in interest"]

REPORT OF CLOSED SESSION ACTIONS

ADJOURNMENT

OUR MISSION

To foster innovative regional solutions that improve the lives of Southern Californians through inclusive collaboration, visionary planning, regional advocacy, information sharing, and promoting best practices.

OUR VISION

Southern California's Catalyst for a Brighter Future

OUR CORE VALUES

Be Open | Lead by Example | Make an Impact | Be Courageous



**AGENDA ITEM 1
REPORT**

Southern California Association of Governments
Remote Participation Only
June 30, 2021

To: Executive/Administration Committee (EAC)
Community Economic & Human Development Committee (CEHD)
Regional Council (RC)
From: Jenna Hornstock, Deputy Director of Planning
(213) 630-1448, hornstock@scag.ca.gov
Subject: Inclusive Economic Recovery Strategy - Final Report and
Recommendations

**EXECUTIVE DIRECTOR'S
APPROVAL**

RECOMMENDED ACTION FOR EAC AND CEHD:

Recommended to the Regional Council to adopt the Final Report and Recommendations.

RECOMMENDED ACTION FOR RC:

Adopt the Final Report and Recommendations.

STRATEGIC PLAN:

This item supports the following Strategic Plan Goal 1: Produce innovative solutions that improve the quality of life for Southern Californians. 2: Advance Southern California’s policy interests and planning priorities through regional, statewide, and national engagement and advocacy.

EXECUTIVE SUMMARY:

To implement SCAG Resolution No. 20-623-2 (regarding racial and social equity) and then-FY20/21 SCAG President Rex Richardson’s Work Plan, SCAG staff has developed the Inclusive Economic Recovery Strategy (IERS) using the President’s work plan phases of Listen, Convene, Catalyze. Staff also relied on data and best practices analyzed through a partnership with the UC Riverside Center for Social Innovation. Findings and recommendations were brought to the SCAG Economist Bench, the Global Land Use and Economics (GLUE) Council, the stakeholders that participated in the convenings and through interim presentations to SCAG committees. This report provides a summary of the Final IERS report and recommendations for Regional Council adoption. The final recommendations in this report are more extensive than SCAG will be able to deliver and are offered as a starting place for prioritization of SCAG’s work program on economic recovery and a set of ideas for partners to consider in their work programs. Moreover, the final IERS recommendations align with Governor Newsom’s proposed \$100 billion “Comeback Plan,” thus positioning SCAG and its cities, counties and partners to leverage the knowledge gained in the IERS process to fund and implement the recommended strategies.

BACKGROUND:

The Inclusive Economic Recovery Strategy (IERS) is grounded in analysis of data, review of the literature, and best practices in equity and inclusion. The IERS reflects priorities and needs raised during stakeholder convenings and sets forth strategies for SCAG's role in advancing equitable regional economic recovery and growth. SCAG's strategy development was guided by five core principles, with the primary intent of fostering greater economic opportunity for women and communities of color to narrow the economic disparities in our local and regional economies.

IERS Work Plan and Methodology

SCAG developed the IERS using a methodology that embodies the *Listen, Convene, and Catalyze* phases from SCAG's FY20/21 Work Plan. The strategy's guiding principles are rooted in data and analysis from the National Equity Atlas, as summarized in SCAG's recently published *Racial Equity: Baseline Conditions Report* and the Briefing Book from SCAG's 2020 Economic Summit, among others. To support the IERS information gathering and analysis process, SCAG engaged Los Angeles-based firm Estolano Advisors and researchers from the Center for Social Innovation at the University of California, Riverside (UCR). Throughout the IERS process, staff shared updates with and collected feedback from various SCAG committees.

Key components in SCAG's research included: small group convenings, a stakeholder survey, SCAG committee engagement, and a landscape scan of existing efforts in the region.

- **Small-Group Convenings** – SCAG convened more than 230 stakeholders across 20 virtual focus groups and listening sessions between February and April 2020. Participants hailed from across the region, working across sectors and disciplines including, but not limited to, practitioners in community development and advocacy, residential and commercial development, industry and small business development, workforce development and education, philanthropy, and municipalities. Through the convenings, staff learned about equity-oriented efforts happening across the region, surfaced local practitioners' priorities and needs for a more inclusive economy, and generated ideas for SCAG's role in advancing an equitable economic recovery. Special outreach to SCAG's Global Land Use and Economic Council (GLUE) included a convening and two follow-up presentations and discussions at regular GLUE meetings.
- **Survey** – Staff conducted an online survey that sought to identify priorities for creating a more inclusive regional economy, learn about existing efforts happening to improve equity outcomes, and elicit ideas for how SCAG can support the region in this work. SCAG received 94 survey responses. The top three stakeholder sectors that responded were Nonprofit Advocacy with 32 respondents (34%), Local Government with 25 respondents (26.6%), and Other with 14 respondents spanning higher education, transportation, tribal government, and other types of nonprofit organizations (14.9%). Respondents were from all six counties.

- **SCAG Committee Engagement** – During the recommendation development stage, SCAG staff presented findings from the convenings and preliminary recommendations for discussion with various SCAG committees. SCAG staff refined the proposed recommendations to reflect feedback from the following SCAG committees and partners:
 - Special Committee on Equity & Social Justice
 - Community, Economic & Human Development (CEHD) Committee
 - SCAG Economist Bench

- **Research and Inventory of Existing Resources and Efforts** – The UCR Center for Social Innovation, in collaboration with SCAG staff, led a literature review of best practices and core elements to fostering an inclusive economy. Further, the UCR Center for Social Innovation conducted a landscape scan of economic development organizations, ongoing equitable economic development efforts across the region, and a reference list of public funding sources. The research findings informed the guiding principles and recommendations outlined in this report. Visit SCAG’s website for an online catalog of equitable economic development efforts and funding resources identified in the research.

- **Publishing Key Findings and Recommendations** – SCAG published the key findings and draft recommendations for public comment from May 6th–June 4th, 2021.

IERS Guiding Principles and Focus Areas

Based on the data, literature review, and input from SCAG’s Executive Team and consultants, the IERS identified **5 guiding principles** to drive its work and to act as a lens for identifying recommendations:

1. Center the economic recovery strategy on **racial and gender equity**; focus on **reducing the racial wealth gap**;
2. Focus on **rebuilding the middle class** with **high road employment**;
3. Ensure that all strategies contribute to a **climate ready region**;
4. Tailor strategies to the needs of both **industry sectors** and **geographic subregions**; and
5. Bring **new and diverse voices to the table**.

Recommendations

Both the findings and recommendations are organized across **4 focus areas which are interconnected and equally important**:

1. ***Housing Production and Preservation***– support housing production and preservation of existing naturally occurring affordable housing, both to provide a range of housing for households at all income levels (rental and ownership), as well as support an economic generator for high quality jobs.

2. **Transportation and Infrastructure** – identify projects of regional significance that are ready to move forward and will create equity-ready jobs, increase access for communities of color, promote sustainability, and improve the jobs/housing balance in the region.
3. **Sector Based Strategies** – identify top needs of growth sectors that provide the greatest opportunities for middle class, family supporting jobs and “future proofing” the economy.
4. **Human Capital** – identify the intersectional issues that create opportunities for economic mobility, such as job training/education, childcare, public and mental health, access to capital and affordable housing; support the “complex adaptive coalitions” necessary to achieve economic mobility.

The **findings** from the “Listen and Convene” efforts are provided as an Appendix to the final IERS Report (Attachment 1). In addition, we have created three web resources based on our research and findings. The web resources include:

- A list of economic development initiatives in the SCAG region,
- A list of funding resources for economic development, and
- An annotated bibliography on equitable and inclusive economic development best practices.

These resources are available on the SCAG website and will be updated periodically.

Within each focus area, recommendations are further categorized into the following:

- **Existing Resources** - These are recommendations that SCAG can implement using staff and funding resources that were previously secured and exist within the organization. In some cases, implementation is already underway using identified resources.
- **Additional Resources and Partners** – These recommendations require SCAG to pursue and secure new funding and/or staffing to support implementation. Without additional funding, it will be difficult for SCAG to implement recommendations in this category.
- **Additional Recommendations** – These are recommendations that other organizations and entities are encouraged to implement. Although SCAG is not well-positioned to lead their implementation, these recommendations are included in this report to document promising ideas that address important needs within the region. When appropriate, SCAG may support as a collaborative partner.

In developing the recommendations, SCAG recognizes existing collaboratives, organizations, and in particular subregional economic development corporations (EDCs) that are actively working on many of the key findings and issues raised during the development of this strategy. While some recommendations are new work programs, the majority are opportunities for SCAG to build on existing efforts and seek to lift-up best practices; coordinate and create mutually supportive

relationships with partners across the region; and realize shared goals around equity-oriented industry growth, job creation, entrepreneurship and small business success.

Based on the feasibility and urgency of the recommendations, we identified “top priority” recommendations, denoted with a ✓ (green check), below. These recommendations were directly informed by key findings from SCAG’s stakeholder engagement and best practices research as well as feasibility for SCAG to implement the recommendation with existing limited resources.

Focus Area: Housing Production and Preservation

While housing production is not often considered a key pillar of inclusive economic development, it is an essential component of a thriving region and is a core area of SCAG’s work. Further, stakeholders across the private and nonprofit sectors consistently identified housing affordability and availability as a priority during the IERS stakeholder convenings, pointing to the importance of housing in growing stronger businesses and communities. In addition, preservation of existing affordable housing – whether naturally occurring through lower rents or rent stabilization or affordable units with expiring covenants – is a key priority across the state. Finally, homeownership was consistently raised as a critical means for economic stability and opportunity. As part of the IERS, we consider housing to be equivalent to infrastructure that provides direct and indirect long-term benefits for our region’s communities and economy. Through SCAG’s \$47 million Regional Early Action Plan (REAP) program, the agency is equipped to quickly begin implementing key IERS recommendations outlined in this section to build local capacity and expertise in innovative financing strategies, growing local engagement, streamlining processes, and more.

Recommendations in this section call on SCAG and cross-sector partners to deepen critical understandings about housing in Southern California, improve and streamline housing development, and explore models for more equitable and inclusive outcomes.

Category 1: SCAG is actively pursuing with existing resources (*REAP program*) – *all priority recommendations*

- ✓ Invest in subregional efforts to expand local capacity and increase housing production through technical assistance and training on the use of CEQA streamlining tools and other ministerial approval options. Facilitate peer learning across municipalities about best practices in coordinating review and approval by various departments and creating a more transparent review and approval processes.
- ✓ Support efforts to create regional financing strategies and funding sources for affordable housing for low- and moderate-income renters, and advocate for additional funding at the State and Federal level.
- ✓ Partner with local philanthropy on the Call for Collaboration program to grow Community Based Organizations’ (CBOs) capacity to educate and engage communities

about housing and land use planning. As part of this, SCAG should regularly convene CBOs working on housing issues to stay apprised of key upcoming policy developments.

- ✓ Launch a communications initiative that conveys the value and importance of sustainable, equitable housing. This effort could be similar to SCAG's *Go Human campaign*.
- ✓ Provide training and education to local elected officials, department staff, and other community stakeholders to promote understanding of the challenges and opportunities for sustainable, equitable, and climate-adaptive housing development.
- ✓ Support regional efforts to streamline, design, and finance accessory dwelling unit (ADU) development, including facilitating model policy sharing across jurisdictions to create greater consistency.
- ✓ Establish or partner in the creation of a Housing Innovation Lab that explores new policies and models for accelerating housing production and approaches to delivering both for-sale and affordable housing more efficiently.

Category 2: SCAG can pursue through partnerships and with additional resources:

- ✓ Convene the financial sector, CDFI, and other partners to explore opportunities to expand homeownership for lower-income communities and communities of color, such as increased production, accessible financing, and identifying barriers to ownership. Within this effort, explore alternative approaches to building wealth through ownership, including community land trusts, tenants in common and other models.
- ✓ Advocate for new and increased federal and state funding for acquisition and rehabilitation of existing at-risk affordable housing.
- Work with member jurisdictions to access state and federal weatherization, home hardening, and energy efficiency retrofit funds to reduce vulnerability to climate-related hazards (e.g., extreme heat, wildfires, sea level rise). Target investments to low-income households and residents in disadvantaged communities to reduce their electricity bills, improve public health outcomes, increase resiliency, and reduce GHG emissions. Prioritize inland and Tribal communities, who are most at risk for extreme heat health impacts.
- Support efforts to include climate-ready strategies in housing construction to minimize the potential loss of housing units stemming from climate-related hazards, including sea level rise, wildfires, landslides, and extreme flooding, among others.
- Evaluate the economic costs of not addressing climate hazards in the context of housing supply for the region, including insurance, public health, and loss of life impacts, and tabulate the economic benefit of climate-adaptive housing strategies (including land use and zoning strategies).
- Understand, discuss, and study the complexities of balancing housing production, sustainability, and land conservation goals (i.e., conserving land for agriculture and

other natural resources), including the economic benefits and climate impacts of natural and working lands.

Category 3: Additional recommendations where SCAG could partner:

- Convene leaders in residential development, workforce development, and community development to coordinate and grow a pipeline of racially and ethnically diverse affordable and market-rate housing developers. Further, investigate the barriers experienced by developers of color in growing their project portfolios and revenue to determine how SCAG can support this industry via policy advocacy.
- Invest in capacity building for general contractor and subcontractor firms owned by people of color so that they are better equipped to compete for and win projects alongside larger developers.
- Work with local governments to develop a toolkit of meaningful equity- and inclusion-focused benefits that developers can provide to be guaranteed certain project benefits (e.g., expedited processes).

Focus Area: Transportation and Infrastructure

In a region experiencing worsening climate impacts, SCAG seeks to ensure that large-scale infrastructure investments move us into a clean energy economy and provide economic opportunity for the region's workforce. Building and maintaining vital transportation, electricity, and broadband infrastructure present a generational opportunity to create accessible and good-quality jobs in our region. In addition, infrastructure to support the supply chain is critical to keep goods moving throughout the region. Moreover, these investments will help the SCAG region reduce vehicle miles traveled and greenhouse gas emissions.

In this section, recommendations encourage and advance digital inclusion, active transportation investments, transportation electrification, renewable energy generation, and more.

Category 1: SCAG is actively pursuing with existing resources (all priority):

- ✓ Develop a Broadband Action Plan to lead and support regional efforts in bridging the digital divide. Approach key infrastructure projects with a "dig once" strategy, to incorporate broadband, EV charging and other multi-benefit outcomes.
- ✓ Develop a regional blueprint for zero-emissions medium- and heavy-duty truck charging infrastructure that can inform local and subregional infrastructure investment and development, provide climate mitigation opportunities, and identify areas for regional coordination and support.
- ✓ Advance the adoption of zero-emission heavy- and medium-duty vehicles through SCAG's Last Mile Freight Program.

- ✓ Identify priority transportation and infrastructure projects across the region that will invest in and directly benefit low-income and communities of color. Advocate for funding at the state and federal level to have targeted hiring and job quality standards written into funding requirements so that project funding creates middle-class jobs.
- ✓ Identify funding to support subregional and local efforts to study and implement EV infrastructure, with an emphasis on investing in infrastructure that specifically meets the needs of low-income communities. In the near term, work with state policy makers to ensure that the ~\$1.5 billion included in the State budget for EV infrastructure is distributed equitably to benefit disadvantaged communities and leverages existing advocacy efforts like the Transportation Electrification Partnership led by the Los Angeles Cleantech Incubator.
- ✓ Support active transportation and first/last mile connections as a priority for connecting communities to jobs and other economic opportunities, as well as for reducing GHG emissions. Continue and expand efforts led by the GoHuman Campaign, Sustainable Communities Program, and Active Transportation Working Group to support and provide funding to local agencies, with an emphasis on projects designed to benefit underserved communities, including those with youth, older adults, and people with disabilities.
- ✓ Leverage federal infrastructure financing and use REAP program resources to advocate for infrastructure development tools to facilitate housing production and economic growth in conjunction with transportation projects and consistent with Priority Growth Areas, as defined in the Connect SoCal plan.

Category 2: SCAG can pursue through partnerships and with additional resources:

- Pursue funding for a study on upgrading the region's electricity grid to meet increasing demand, promote climate adaptation and regional resilience, and reduce our region's greenhouse gas consumption. The study should also identify policy solutions for addressing equity impacts.
- Identify brownfield remediation projects that stand to transform communities in ways that align with the Connect SoCal plan's goals. Further, identify funding sources for brownfield remediation and provide cities and subregions with technical assistance in preparing clear and compelling funding applications.
- Support local agencies in seeking Federal infrastructure funding to deliver safe, clean drinking water to underinvested communities across the region. Prioritize support for and investments in Tribal communities, Colonias in Imperial County, and other high-need communities.

Focus Area: Sector Based Strategies

We must harness the creativity, innovation, and grit that hums through our region to shape and grow industries that provide accessible opportunities for upward economic mobility. SCAG seeks to

grow the number of middle-wage jobs (“well-paying jobs for less-educated workers”).¹ We also want to increase wealth in historically marginalized communities by supporting entrepreneurs and small business owners who are women or people of color in launching and expanding their businesses.

SCAG also recognizes existing collaboratives, organizations, and in particular subregional economic development corporations (EDCs) that are actively working on sector-based strategies. The recommendations in this section lift-up opportunities for SCAG and partners across the region to foster equity-oriented industry growth, job creation, entrepreneurship and small business success.

Category 1: SCAG is actively pursuing with existing resources:

- ✓ Boost local efforts to foster industry clusters by offering support on funding applications and convenings and advocating for supportive policies and funding.
- ✓ Augment municipalities’ capacity to apply for and secure federal funding for local projects, such as US Economic Development Administration grants. SCAG can help to organize funding pursuits in the region and be an engaged government partner.
- ✓ Lift up examples of industry leaders who have implemented effective diversity-equity-inclusion business practices. This includes best practices for incorporating curriculum about diversity-equity-inclusion focused business practices into entrepreneurship and small business training programs, and best practices for achieving diversity goals within incubator and accelerator portfolios. Encourage industry leaders to adapt and apply these best practices to their own policies and procedures.
- ✓ Identify top regulatory reform recommendations for the State of California and work with partners to seek legislative solutions.
- ✓ Work with local jurisdictions and industry leaders to develop a Priority Agricultural Lands (PAL) Program to implement Connect SoCal’s agricultural lands conservation strategies.
- Convene CDFIs and other financial investors to share ideas and best practices for increasing access to capital for entrepreneurs of color.

Category 2: SCAG can pursue through partnerships and with additional resources (funding and/or staff):

- ✓ Become a source of regional data that can support and inform policies around economic development and, in particular, align with the data needs identified in the California Future of Work Commission’s March 2021 report, A New Social Compact for Work and Workers.
- The California Future of Work Commission recommends the creation of a California Job Quality Index, which would analyze monthly wage data to understand the growth of quality jobs by industry using a range of measures.

- Develop subregional studies on growth sectors that support middle-skills jobs and “future-proofing” the economy through targeted and accessible job training. The studies should include key data, workforce development and training needs research (including reskilling and upskilling needs), best practices research, recommendations for supporting the growth sectors, and identified subregional-specific partners.
- Convene a collaborative of hospitals and universities to diversify their supply chain and grow economic opportunities for minority- and women-owned businesses. Partner with private funders, such as UniHealth Foundation, to support implementation.
- Convene local agency procurement departments to discuss best practices and implementation of policies and practices that reduce or eliminate barriers to small, women, and minority-owned businesses. Work with local partners to create a shared set of certifications and contracting templates and materials. Identify funding for jurisdictions to migrate to a common platform.
- Produce county-level economic analyses to determine the local economic impact of racial and gender inequality. Government and community leaders can use the publication findings to inform the terms of community benefits agreements with private sector employers and developers and help ensure that the projected benefits of incoming investments are measured using more holistic factors.

Focus Area: Human Capital

To truly improve the lives of Southern Californians, we must ensure that individuals and households have access to the support they need to thrive in their jobs and in pursuit of upward economic mobility. Having access to resources and supports such as job training, affordable transportation choices, affordable childcare, and mental/public health services can make a world of difference in someone’s ability to secure and retain a job.

This section provides a range of insights that stakeholders shared about investing in the region’s human capital, followed by recommendations for SCAG to lead or where SCAG can highlight and support existing efforts, as well as recommendations that other organizations in the region can lead in implementing.

Category 1: SCAG is actively pursuing with existing resources

- ✓ Join the state in advocating for a federal jobs guarantee. This will allow the government to serve as a backstop in case industry does not create high-quality jobs to meet its workforce needs. This is in alignment with the State's March 2021 Future of Work report.
- ✓ Bring greater awareness of workforce development resources (including youth training programs, ETP funds, and various community college programs) for employers.
- Support the efforts of Caltrans, CALSTA, and CCJPA to implement the California Integrated Travel Program (Cal ITP), which would, among other benefits, create a universal statewide

transit benefit platform that can also serve as a basic account for other public benefits for Californians with the greatest need.

- Work with transit agencies to facilitate the adoption of the US Employment Plan to create high road local jobs through future equipment and supply procurement.

Category 2: SCAG can pursue through partnerships and with additional resources:

- ✓ Support the state's effort to develop a California Job Quality Index (JQI) by serving as the lead data and research partner for the Southern California region.
- Facilitate regional coordination to ensure the region's childcare industry is prepared to utilize federal funding being provided through the American Rescue Plan Act. Partner with organizations like LIIF to expand the childcare industry's capacity and advocate for supportive policies.
- Work with the construction trades and the developer/building industry to identify best practices in construction apprenticeships and training programs and facilitate expansion/application of these practices in housing, transportation, and infrastructure projects.

Category 3: Additional recommendations where SCAG could partner:

- Work with key partners such as workforce development organizations, economic development corporations, and community colleges to build awareness and provide technical assistance to local and county governments to establish job training and placement programs that connect residents from low-income neighborhoods to public sector jobs.
- Identify state and federal level opportunities to advocate for updates to critical workforce development funding guidelines to address challenges experienced by workforce program administrators. Advocacy should seek to expand youth eligibility criteria to include current students, ensure that funding can be used for various outreach and advertising activities that are key to reaching target populations, and expand the type of wrap-around services and supports program participants can receive during and after training.
- Conduct targeted engagement to better understand the unique needs of Indigenous communities in Southern California and work with philanthropic and public sector partners to address the communities' identified needs.
- Work with key partners to advance workforce development that can support a greener economy, including the potential deployment of microgrids, zero net energy implementation strategies, and renewable energy generation and storage.

NEXT STEPS

The IERS is a starting point for SCAG's work in fostering an inclusive economic recovery and regional economic growth that is centered on racial and gender equity. Those recommendations that are

ties to existing work efforts will continue and will take into account the IERS guiding principles and findings.

On May 14, 2021, Governor Newsom released his May budget revision, which included a proposed \$267.8 billion state budget and a host of programs targeting an inclusive, equitable and sustainable economic recovery. The \$100 billion proposed “Comeback Plan” is a comprehensive approach to all facets of planning, including homelessness and housing, workforce development, transportation, and closing the digital divide. The plan included a recommendation of \$750 million in Community Economic Resiliency Funding, and the targets for this funding are directly aligned with many of the IERS recommendations. Staff will work with partners and the State to secure funding and support partnerships to implement the IERS recommendations that require additional resources.

FISCAL IMPACT:

Work associated with this item is included in the FY 2020-21 Overall Work Program (055-1531.01, So Cal Economic Growth Strategy).

ATTACHMENT(S):

1. PowerPoint Presentation - Inclusive Economic Recovery Strategy
2. IERS_Report_FINAL

Developing an Inclusive Economic Recovery Strategy for the SCAG Region

Final Report Recommendations

Jenna Hornstock, Deputy Director of Planning, Special Initiatives
July 1, 2021

www.scag.ca.gov



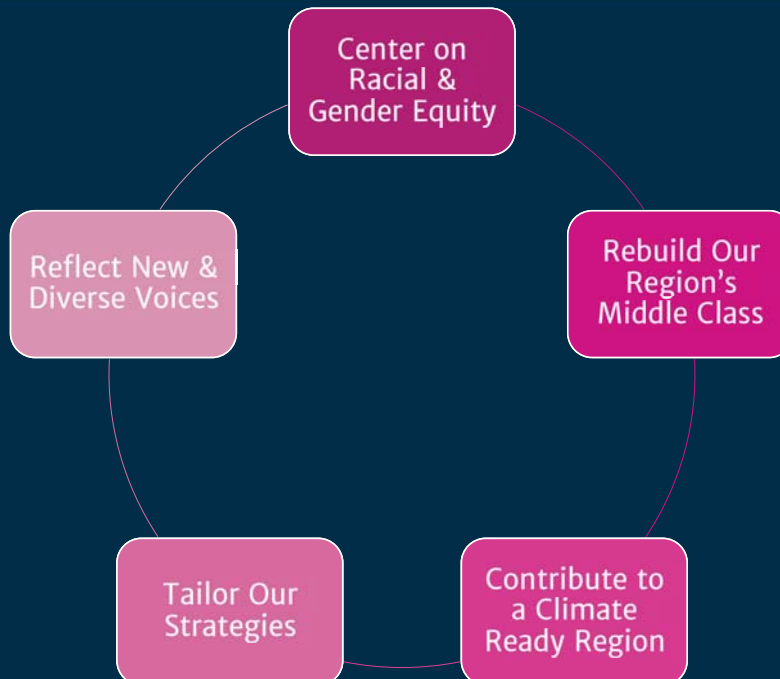
Inclusive Economic Recovery Strategy (IERS) – Work Plan



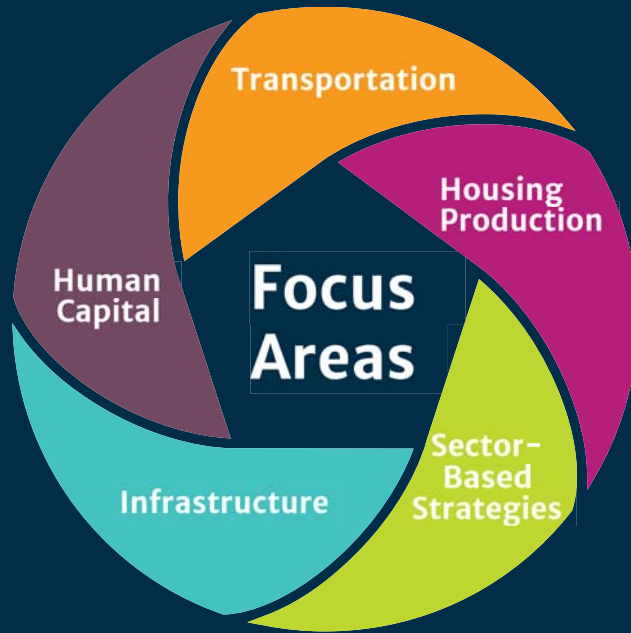
Research and Outreach to Date

- Extensive literature review
- 20 convenings/focus groups
- 94 surveys received
- 2 presentations to Special Committee on Equity and Social Justice
- Feedback from CEHD committee and from the EEC committee discussion on the 1/21 Climate Change Resolution
- Input from Chief Economic Advisor and Economist Bench
- Input and research from UC Riverside Center for Social Innovation
- Feedback from GLUE Council (2 meetings)
- Presented draft recommendations to CEHD on 5/6/21
- Findings and draft recommendations published for public comment

IERS – Guiding Principles



IERS Focus Areas: Inter-connected and Equally Important



Inclusive Economic Recovery Strategy Priority Recommendations



Organization of Recommendations

- Across 4 focus areas (two were combined)
- Across SCAG’s 5 key “levers” of influence
- For each focus area, recommendations categorized as:
 1. SCAG is actively pursuing with existing resources
 2. SCAG can pursue with partnerships and additional resources
 3. Additional recommendations where SCAG can partner
- This presentation is only focused on priority recommendations – complete recommendations are in the staff report and the final IERS report.

SCAG’s Key Levers of Influence



Housing Production Recommendations – Top Priorities

With Existing SCAG Resources (REAP Program):

- Support efforts to create regional financing strategies and funding sources for housing of all types
- Offer technical assistance and training to support municipalities seeking to use development streamlining tools
- Become a repository of information and best practices and support efforts to streamline and finance development of accessory dwelling units (ADUs)
- Facilitate education, training and leadership development in support of broad pro-housing coalitions.

Partners and Additional Resources needed:

- Explore ways to expand homeownership opportunities
- Support programs and funding to preserve naturally occurring affordable housing

Transportation and Infrastructure Recommendations – Top Priorities

With Existing SCAG Resources:

- Create a list of priority transportation and infrastructure projects across the region and advocate for funding at the state and federal level. Use these projects to pilot coordination on job training programs.
- Lead and support regional efforts to bridge the digital divide; take a “dig once” approach.
- Sustainable Infrastructure:
 - ✓ Move forward with SCAG efforts for electrification of freight and the regional blueprint for electric charging and hydrogen fueling infrastructure for medium and heavy-duty trucks.
 - ✓ Work with state policy makers to ensure that the ~\$1.5 billion included in the State budget for EV infrastructure is invested equitably to benefit low-income communities and leverage existing advocacy efforts.
 - ✓ Use REAP funding to support subregional efforts to plan for sustainable infrastructure that reduces costs and barriers to housing production.
 - ✓ Support active transportation and first/last mile connections for connecting communities to jobs and economic opportunities

Sector Based Strategies Recommendations – Top Priorities



With Existing SCAG Resources:

- Identify top regulatory reform recommendations and work with partners to seek legislative solutions.
- Convenings and Technical Assistance:
 - a. Boost subregional efforts to foster industry clusters
 - b. Augment municipalities' and partners' capacity to apply for and secure federal funding for local projects
 - c. Lift up examples of industry leaders who have implemented effective diversity/equity/inclusion business practices
- Work with local jurisdictions and industry leaders to develop a Priority Agricultural Lands (PAL) Program to implement Connect SoCal's agricultural lands conservation strategies.

Partners and Additional Resources needed: Become a source of regional data that can support and inform policies around economic development.

Human Capital Recommendations – Top Priorities



With existing SCAG resources:

- Support a region-wide initiative to bring greater awareness of workforce development resources for employers.
- Join the state in advocating for a federal jobs guarantee.

Partners and additional resources needed:

- Support the State's effort to develop a California Job Quality Index (JOI) by serving as the lead data and research partner for the Southern California region.

Next Steps



- Continue with the IERS recommendations that are part of our ongoing work program
- Integrate key concepts around economic growth into the 2024 RTP/SCS
- Track new State and Federal funding sources that are supporting inclusive economic recovery and economic growth; advocate for resources for SCAG and our subregional partners
- Directly seek funding for implementation, as appropriate
- Support subregional partners in securing funding

Thank you!

Jenna Hornstock
(213) 630-1448
hornstock@scag.ca.gov
www.scag.ca.gov





An Inclusive Economic Recovery Strategy for the SCAG Region

Final Report & Recommendations

July 2021

ACKNOWLEDGMENTS

SCAG would like to thank the nonprofit, private, and public sector leaders and practitioners who participated in this process and shared their insights and perspectives to inform the agency's Inclusive Economic Recovery Strategy. SCAG also acknowledges input and advisory services from its economist bench, including Chief Economic Adviser Wallace Walrod.

STAFF CONTRIBUTORS

Kome Ajise, Executive Director
Darin Chidsey, Chief Operating Officer
Sarah Jepson, Director of Planning
Jenna Hornstock, Deputy Director of Planning, Special Initiatives
Gigi Moreno, PhD, Senior Economist
Kevin Kane, PhD, Program Manager
Ying Zhou, Program Manager II
Alisha James, Community Engagement Specialist

SPECIAL THANKS TO

Estolano Advisors
University of California, Riverside, Center for Social Innovation
Karthick Ramakrishnan, PhD, University of California, Riverside
Wallace Walrod, PhD, Orange County Business Council



ABOUT SCAG

SCAG is the nation's largest metropolitan planning organization (MPO), representing six counties, 191 cities and more than 19 million residents. SCAG undertakes a variety of planning and policy initiatives to encourage a more sustainable Southern California now and in the future.

VISION STATEMENT

Southern California's Catalyst for a Brighter Future

MISSION STATEMENT

To foster innovative regional solutions that improve the lives of Southern Californians through inclusive collaboration, visionary planning, regional advocacy, information sharing, and promoting best practices.

visit us at scag.ca.gov

Southern California Association of Governments (SCAG) is deeply committed to building a healthy and inclusive Southern California economy. Following the rise of the novel coronavirus (COVID-19) pandemic in 2020, SCAG leadership recognized the importance in addressing the health, social and economic challenges that were both long-standing and heightened by the pandemic. In July 2020, SCAG's Regional Council made a commitment to advancing justice, equity, diversity, and inclusion throughout Southern California by adopting SCAG Resolution No. 20-623-2. Based upon this racial and social equity resolution and President Rex Richardson's FY20/21 Work Plan, SCAG staff has developed the Inclusive Economic Recovery Strategy (IERS).

With the goal of a region that is healthy, livable, sustainable, and economically resilient, SCAG acknowledges the need to dramatically improve outcomes for low-income families and people of color. To that end, SCAG's core function, its planning work, must directly address the long-standing systemic and institutional barriers that have fostered inequities in health, wealth, and opportunities.

The IERS serves as an important step towards identifying ways that metropolitan planning agencies, such as SCAG, can support economic prosperity and foster an inclusive economy. The IERS plan was developed using FY20/21 President Rex Richardson's strategy of Listen, Convene, and Catalyze. With the input of various business sectors and stakeholders, SCAG's work plan to develop the IERS carefully pairs data, with SCAG levers of work and existing efforts across the region. Developing the IERS is the first, but very important step in identifying new strategies and partnership opportunities to create a vibrant, inclusive economy.



Rex Richardson
Vice Mayor, City of Long Beach
2020-2021 SCAG President



Kome Ajise
Executive Director
SCAG

TABLE OF CONTENTS

- 5 Foreword
- 7 An Inclusive Economic Recovery Strategy for the SCAG Region
 - 8 Economic Opportunity Pre & Post the Pandemic
 - 11 Guiding Principles & Focus Areas
 - 12 Methodology
 - 13 Recommendations
 - 13 Housing Production & Preservation
 - 17 Transportation & Infrastructure
 - 21 Sector Based Strategies
 - 24 Human Capital
 - 28 Next Steps & New Opportunities
- 29 Appendix
 - Key Findings

FOREWORD

Activating Strategies for Inclusion & Equity: *Understanding Our Past and Frameworks for the Future*

While inclusion and equity have often been areas of concern to policy makers and planners alike, there is still some ambiguity about what these mean in practice and how policymakers can make more informed decisions considering a myriad of diverse stakeholders. Key developments during the COVID-19 pandemic and a reckoning over painful and ongoing struggles for racial equity in criminal justice, public safety, education, and housing, have made matters of inclusion and equity much more urgent. The past year has also brought to the fore the importance of resilience¹ and sustainability.²

Given the prospect of a post-COVID recovery and the opportunity to build and redesign systems that can better serve all communities, critical questions for SCAG include:

1. How can governments play a more active role in inclusive economic development?
2. What do equity, sustainability, and resilience mean in practice (e.g., what role can key partnerships play?)
3. How can we more broadly understand the importance of racial and gender equity to fostering sustainable and resilient growth?

A study of economic development and regulatory history illustrates the existence and persistence of structural factors that have harmed or disadvantaged communities of color. Importantly, it also shows gaps in our understanding of key nuances within the historical story that have been buried or sidelined in favor of more dominant narratives. We call for a rethinking of how all these processes interact. Utilizing the framework of DNA: Data, Narrative, Action,³ we see an important opportunity for SCAG stakeholders in Southern California to conceptualize, understand, and take action to create a more sustainable, resilient, inclusive and equitable economy that can provide greater opportunity for all.

How We Got Here: A Brief Historical Background

Urban development and regional planning in Southern California have produced mixed outcomes over time. Commercial enterprise and household wealth rose dramatically with increased lending in the postwar period, but access to these opportunities were severely constrained for communities of color. Land use laws and related regulations (e.g., zoning, urban renewal) have been highly influential in shaping urban and suburban patterns, from their origins as mechanisms of nuisance control to subsequent efforts to promote and exclude certain types of development and populations.⁴

Highway construction played an important role in the past century's housing boom, moving jobs and housing further from the coast and reducing investment in central cities.⁵ Suburbanization in the interstate era was also pivotal in changing the jobs-housing balance⁶ and residents' views of transportation options and commuting. Further, the growing politicization of water rights that came with suburban expansion created an increasingly complex landscape of power in Southern California, where water has always played an important role.⁷

Like other areas nationwide, this interplay of land use, water rights, and financing helped create and exacerbate structural inequities, particularly with respect to race. For years, redlining - a type of lending discrimination - was common practice.⁸ Although the Fair Housing Act outlawed redlining in 1968, many communities of color, especially Black people, continue to have significantly fewer housing options and opportunities.⁹ In addition to the persistence of implicit bias and overt acts of discrimination in housing and lending, factors such as exclusionary zoning policies, and spatial sorting preferences among white residents continue to perpetuate racial segregation.¹⁰ Interstate highway construction also worsened residential segregation by race and class,¹¹ often splitting or completely isolating communities of color, and deliberately excluding on-ramps and off-ramps in poor and minority neighborhoods.¹² Further, residential segregation worsened racial disparities as jurisdictions increasingly relied on harsher policing and criminalization of drug possession that disproportionately harmed communities of color.¹³

In addition to housing discrimination and residential segregation, workplace discrimination has been an additional driver of structural inequities.¹⁴ Just as in the case of housing discrimination, formally outlawing job discrimination has not eliminated its practice, even after passage of the 1964 Civil Rights Act and the creation of the U.S. Equal Employment Opportunity Commission. Workplace discrimination is still prevalent, whether by gender, race, age, disability status, sexual orientation, or other factors.¹⁵ Finally, starting in the 1970s, the decline of labor unions disproportionately harmed communities of color, as did the subsequent emergence of a two-tier labor market. The bifurcated market produced high-wage, high-benefit jobs for workers with college degrees and low-wage, low-benefit jobs for workers without college degrees.¹⁶ A 1990s resurgence of labor unions led by immigrants and community organizers temporarily bucked this trend. Nevertheless, by the 2000s, we saw a growth of subcontracted labor with low wages and no benefits that disproportionately hurt workers of color.¹⁷

The Great Recession of 2008 and the COVID-19 economic downturn have shed a harsh light on the plight of workers of color, many of whom toil at more than one job and work unpredictable hours, with low wages and no benefits. As a result, these workers have little to no safety net when an economic crisis hits.¹⁸ Moreover, COVID-19 also disproportionately harmed communities of color who were more likely to work in essential service industries and have increased exposure to the virus, more likely to have underlying health conditions that can worsen the effects of COVID-19, and more likely to live in crowded and unaffordable housing.¹⁹

While prior laws have been passed to address some inequities and some progress has been made since racial equity became a global rallying cry in the wake of the 2020 murders of Brionna Taylor and George Floyd, much work remains to be done. Understanding and addressing these structural inequities requires commitments to gather data, research, and community narratives that can shed light on disparities in processes and outcomes. It requires us to find ways to consistently push for strategic actions—through resource investments, policy changes, and new practices that advance racial equity in a significant and meaningful way.

Innovative Frameworks to Guide the Future: RISE & Ready Framework

There is mounting evidence that economic inequity harms long-term growth and that racial equity is good for the economy.²⁰ Similarly, greater sustainability and resilience helps ensure long-term economic prosperity. As we emerge from the pandemic and the racial reckoning of 2020 and 2021, the time is ripe to consider ways that various stakeholders can unite and collaborate in creating a sustainable economy that works for everyone. In particular, there is an opportunity to build upon the Biden-Harris Administration’s goal of changing the mindset from “shovel ready” to “shovel worthy” projects.²¹ To ensure adequate progress, we need greater clarity about concepts, measures, and aspirational standards of what constitutes resilience, inclusion, sustainability, and equity. The hope is that by getting clarity and precision on meanings, measures, and standards, we can advance policy and conversations about the best means to achieve those standards.

In collaboration with various community, industry, government, and research partners, the Center for Social Innovation is developing a framework of “RISE and Ready” jobs that builds on well-established global standards for sustainable development and emerging standards for the framework’s other dimensions of resilience, inclusion, and equity. Defined most broadly, resilience refers to ability of systems to absorb, recover from, and continue after some type of shock. Inclusion refers to the extent that communities are recognized and included in decisions, plans, programs, and projects. Sustainability refers to the environmental, economic, and social conditions that promote long-term individual and community health and well-being. Equity refers to whether investments, policies, and practices intentionally focus on improving outcomes among historically marginalized populations, and whether outcomes actually improve for those populations. Finally, readiness measures the preparedness of a community’s workforce and infrastructure (whether physical or social) to absorb new investments and complete projects in a timely manner.

The RISE and Ready Framework proposes going from theory to practice, with ways to monitor, rate, and evaluate programmatic progress and successes. (The evaluation component here is key because evaluation is not a common component of most service provision projects. While much may be learned through implementation, these lessons are not always translatable and often never disseminated.) This Framework could be especially useful when implemented in conjunction with SCAG’s Listen, Convene, and Catalyze approach to systematically identify what is needed for inclusive economic growth. The recommendations identified in this report are strongly aligned with the RISE and Ready Framework, and we hope that agencies and other stakeholders in the region will consider how each of the recommendations can help meaningfully advance those core values and priorities.

Authored by S. Karthick Ramakrishnan, Director of the Center for Social Innovation at the University of California, Riverside

INCLUSIVE ECONOMIC RECOVERY STRATEGY

Introduction

Immediately following the onset of the COVID-19 pandemic and the accompanying economic collapse, the vast disparities in our economy became abundantly clear. The differences in economic realities were further magnified as the pandemic progressed, revealing a “K-shaped” recovery.²² March 2021 data show that employment levels for higher- and middle-income segments of California’s economy have increased since the pandemic’s onset, while the state’s employment rates have decreased by 37% among low-wage workers (earning less than \$27,000).²³ As a region, we must take strategic action to lift up the bottom of the “K” and ensure that everyone in Southern California is looking ahead to a brighter future of economic opportunity and mobility.

Acknowledging its role as the nation’s largest metropolitan planning organization (MPO), the Southern California Association of Governments (SCAG) is committed to growing an inclusive region where all residents can thrive.²⁴ To implement SCAG Resolution No. 20-623-2 (regarding racial and social equity) and SCAG President Rex Richardson’s FY20/21 Work Plan, SCAG staff developed the Inclusive Economic Recovery Strategy (IERS) using the President’s work plan phases of Listen, Convene, Catalyze.

The IERS is grounded in data, literature review, and review of best practices from the field. The IERS reflects priorities and needs raised during stakeholder convenings and sets forth strategies for SCAG’s role in advancing equitable regional recovery and growth. SCAG’s strategy development was guided by five core principles, with the primary intent of fostering greater economic opportunity for women and communities of color to narrow the economic disparities existing in our local and regional economies today.

SCAG’s guiding principles for economic recovery:

- Center racial & gender equity in growth strategy
- Rebuild our region’s middle class
- Contribute to a climate-ready region
- Tailor our strategies
- Reflect new & diverse voices

This document organizes recommendations into four focus areas: 1) Housing Production and Preservation, 2) Transportation and Infrastructure, 3) Sector Based, and 4) Human Capital. These four focus areas possess great potential for elevating inclusive, equitable, and robust economic development as the region continues to recover from COVID-19 and align with SCAG’s core work as defined by the 2020 Regional Transportation Plan and Sustainable Communities Strategy (RTP/SCS), called Connect SoCal.

SCAG’s Role

SCAG seeks to leverage its influence and platforms in regional planning to aid ongoing efforts in regional economic development championed by existing organizations and community partners. This IERS report will serve as a starting place for SCAG’s economic recovery work. Importantly, this report sets a path for SCAG to further explore integration of policy goals related to inclusive economic growth in its core work, which is the development and adoption of the RTP/SCS every four years.

This document will help prioritize and inform the agency’s efforts, as the recommendations shared in this report require varying levels of funding and staffing resources. A portion of the recommendations outlined in this report align with existing SCAG programs and resources. Some of the recommendations presented require additional financial and/or staffing resources that SCAG has not yet identified. Lastly, recognizing the array of work already in progress across the region, this report also includes recommendations that offer ideas for partners to consider for their own work programs.

Economic Opportunity: Pre & Post the Pandemic

Despite being home to a rich diversity of cultures, scenic landscapes, and industries, the SCAG region is also afflicted by long-standing economic disparities across race and gender. Even before the onset of COVID-19 and its accompanying recession, economic disparities in our region were widening over time. Our region’s Black, Indigenous, and Latino populations have experienced this most acutely.

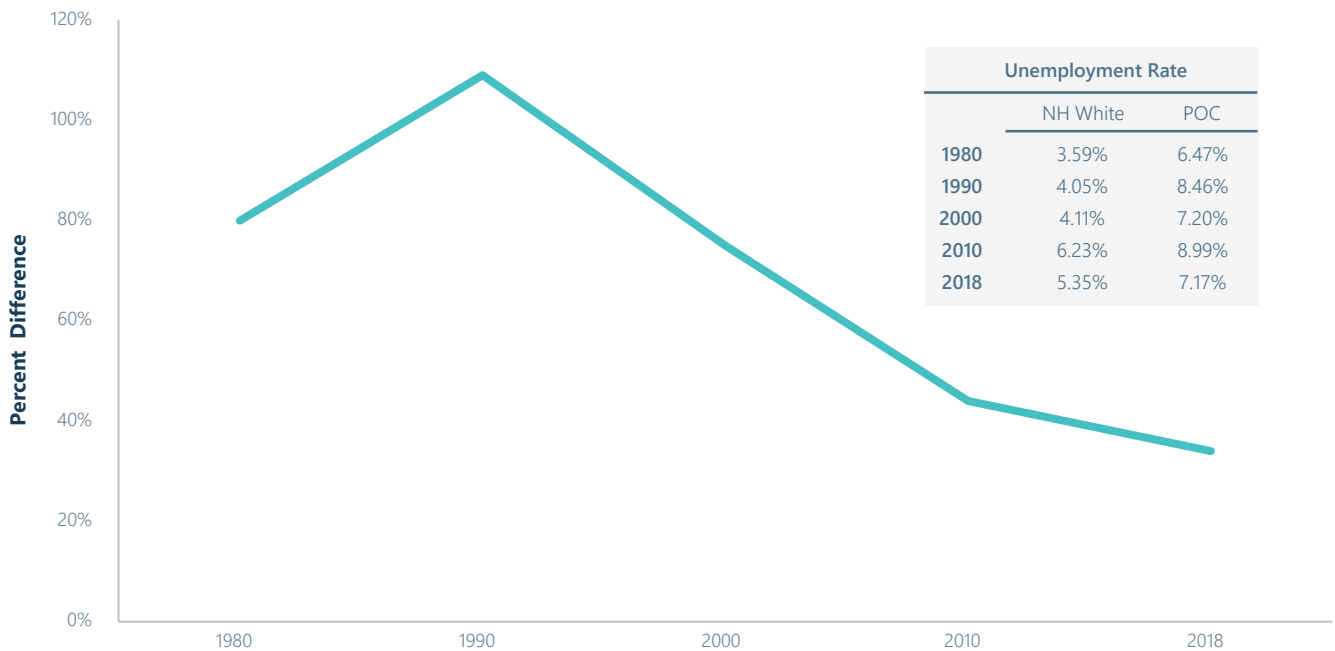
In 2020, SCAG used data from the National Equity Atlas to produce the *Racial Equity: Baseline Conditions Report*. The data looked at a number of economic indicators that help understand access to economic opportunity and mobility over the period of 1980 – 2017. Key findings from this data include:

- (1) The disparity in unemployment rates between the white population and People of Color (POC) has shrunk over time, particularly after 2010 as we started to recover from the recession of the early 2000s. However, despite a shrinking disparity, as depicted in **Figure 1**, the unemployment rate for POC is still 34% higher than the white population.

Figure 1

Comparison of Unemployment Rate & Percent Difference in Unemployment between POC & the White Population in the SCAG Region

1980 - 2018



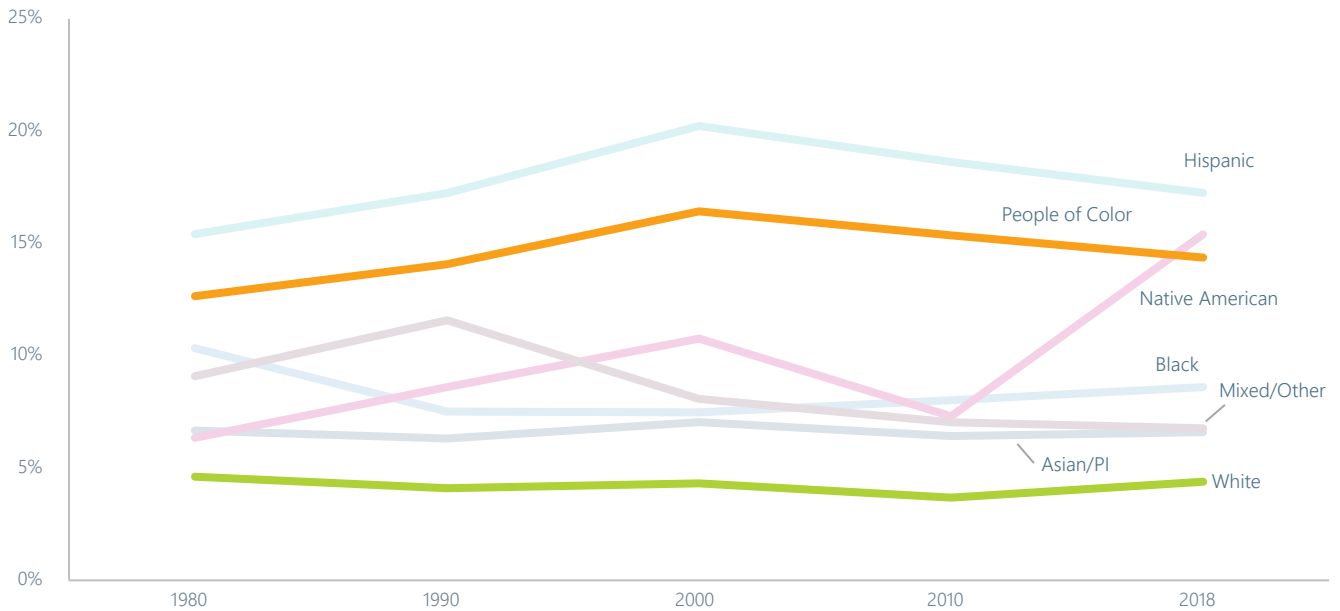
Notes and Sources: Based on data from the National Equity Atlas.

- (2) While there have been some reductions in employment disparities, wages have not increased with the cost of living and all people in the SCAG region have seen a dramatic increase in the percent that qualify as working poor. As shown in **Figure 2** the percentage of working poor people in the SCAG region, meaning employed people who are not paid enough to live above the poverty line, increased by nearly two-thirds between 1980 (6.83%) and 2018 (11%). In 2018, the region’s people of color (14.4%) were considered working poor at rates over three times as high as their white counterparts (4%).²⁵

Figure 2

Percent Working Poor in the SCAG Region by Race and Ethnicity

1980 – 2018



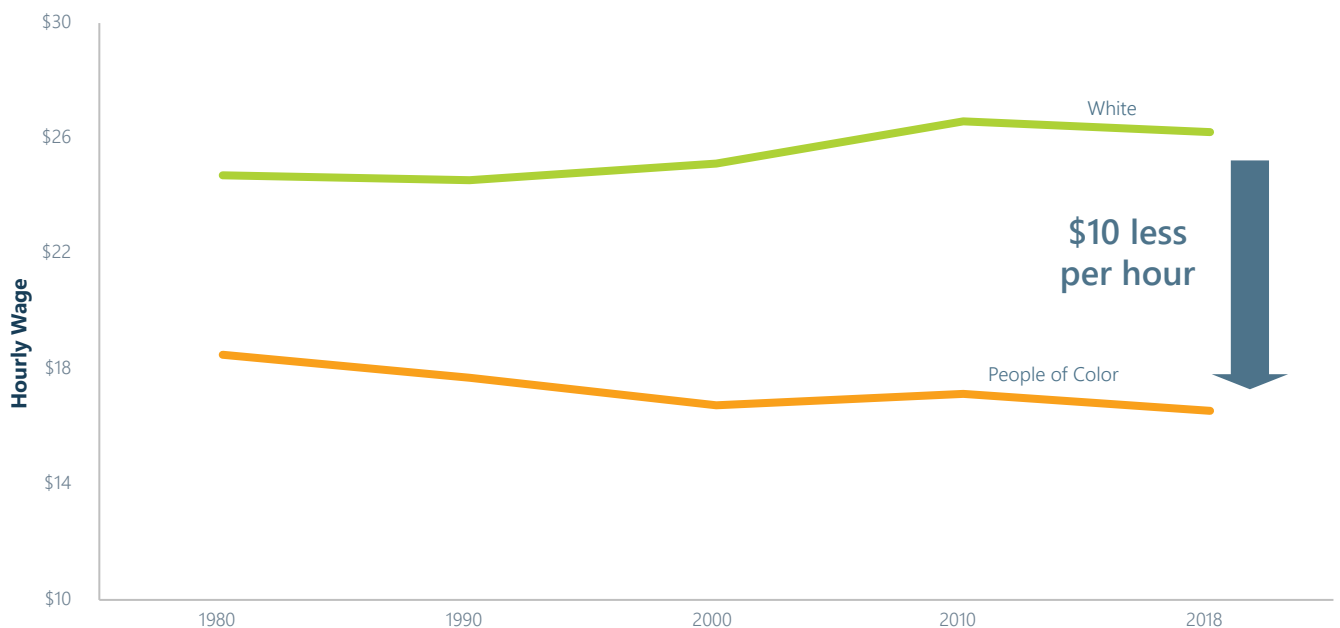
Notes and Sources: Based on data from the National Equity Atlas. White, Black, Native American, Asian/PI, and Mixed Other exclude workers who identify as Hispanic.

(3) Finally, the increase in working poor and the disproportionate number of people of color that are working poor is further understood through disparities in median wages. In 2018, workers of color make nearly \$10 less per hour (\$17) than their white counterparts (\$26), equating to a nearly \$20,000 deficit in pre-tax income.

Figure 3

Median Hourly Wages in the SCAG Region by Race Group

1980 – 2018



Notes and Sources: Based on data from the National Equity Atlas. "White" includes non-Hispanic white workers.

With the pandemic and resultant economic collapse in 2020, the data consistently show that women, people of color and low-income residents in the SCAG region were disproportionately impacted through severe job loss. In a Feb 3, 2021 report from McKinsey & Company entitled *Achieving an Inclusive US Economic Recovery*, analysis showed that:

- Black and Hispanic/Latinx workers faced 1.6 to 2.0 times the unemployment rates of their white counterparts;
- Households with less than \$30,000 in income faced double the unemployment rates; and
- Women made up 56% of the workforce exits, despite making up 48% of the workforce

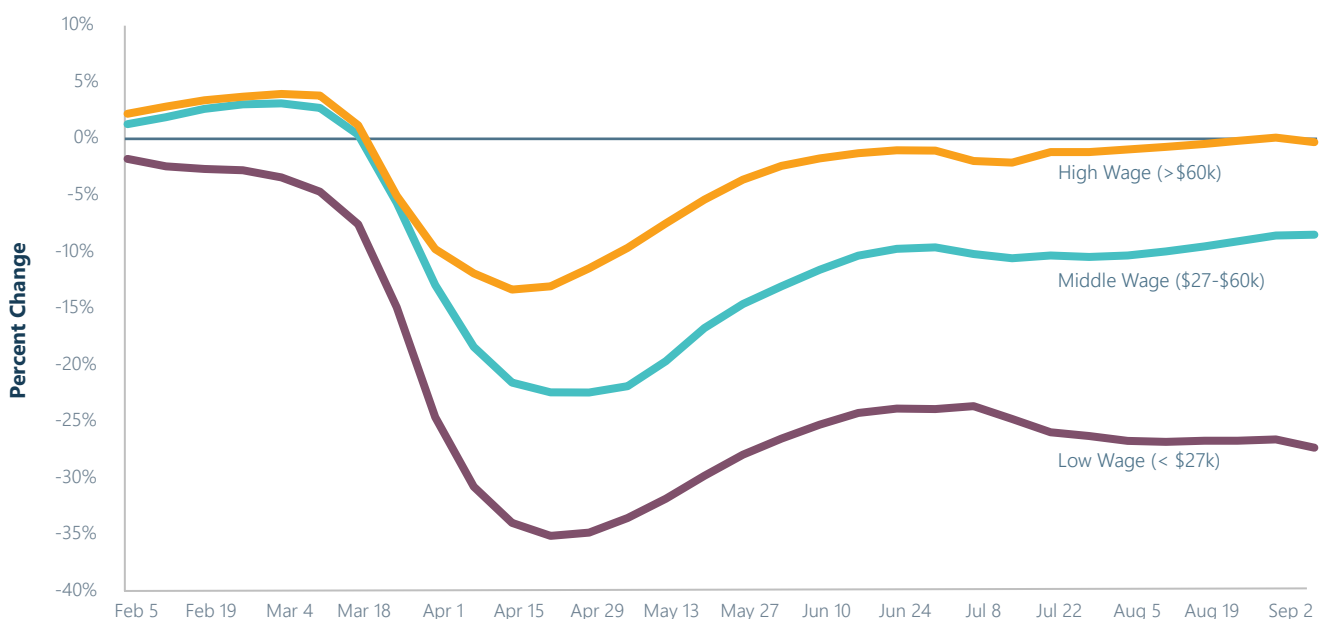
Waves of shelter-in-place mandates over the past year also took an enormous toll on businesses in the SCAG region, with minority-owned small businesses disproportionately affected by the economic shutdown.²⁶ Nationally, minority-owned businesses report being financially “distressed” or “at risk” of closure at higher rates than white-owned businesses.²⁷ As recovery efforts commenced, financial hardship continued for many business owners of color, as they faced structural barriers to accessing financial assistance.²⁸

Figure 4, below, shows that employment levels for higher- and middle-income segments of California’s economy have increased since the pandemic’s onset, while the state’s employment rates have decreased by 37% among low-wage workers, that is, workers earning less than \$27,000 annually. These differential impacts were named the “K-shaped” recovery. As a region, we must take strategic action to lift up the bottom of this “K” and ensure that everyone in Southern California is looking ahead to a brighter future of economic opportunity and mobility. The data on economic opportunity pre-pandemic, and the “K-shaped” recovery we see today²⁹ makes clear that economic recovery efforts in our region –and economic growth in general—must be targeted to ensure that low-income people in Southern California experience economic benefits from public sector investments and private sector growth. This is crucial to closing the historical disparities we see in economic outcomes across race and gender. Despite the promising national and state-level projections for the economic recovery from COVID-19, the SCAG region is still experiencing a higher unemployment rate than both the state and the national average.³⁰ In particular, lower-income SCAG residents experienced higher employment losses and a slower recovery rate than residents in the rest of the state and the nation.³¹

Historic trends and the diverging recovery trajectory clearly indicate that business-as-usual economic strategies have failed to serve all residents equitably and systematic changes in economic development strategies are critical for the region to collectively prosper.³²

Data indicate that people of color have not been able to benefit equally during previous economic recoveries and expansions compared to their white counterparts.³³

Figure 4
SCAG Region Percent Change in Employment by Income Group
 February 2020 – September 2020



Notes and Sources: Based on data from Opportunity Insights.

The justification for creating an equitable economy goes beyond the moral imperative of building a just and equitable society. The benefits of closing income and wealth gaps across race and gender redound to a more prosperous economy overall. Analysts project that by addressing the existing earnings gaps, annual spending will increase \$2.6 trillion, resulting in economic benefits spread across the economy, including local taxing entities.³⁴ A study by the Kellogg Foundation found that closing gaps in earnings for people of color would increase federal tax revenues by \$450 billion, and state and local tax revenues by \$150 billion, annually.³⁵ As our economy recovers, the SCAG region must focus on closing economic disparities across race and gender to create a brighter future for everyone who lives here. SCAG has developed the IERS strategy document as a starting place to identify existing efforts and new partners, and to chart a course to incorporating strategies that promote inclusive economic growth into its core work.

Guiding Principles

The following principles guide the IERS recommendations and will play a pivotal role in shaping their implementation.

- A. **Center Racial & Gender Equity** –The IERS focuses on strategies to close the wealth and income gaps across race and gender. The recommendations uplift strategies to improve outcomes for communities of color, who have been hit hardest by the economic crisis stemming from the COVID-19 pandemic. Historically, these same communities are often left behind and excluded from fully accessing the benefits of a growing economy during times of economic recovery and expansion. This IERS must chart a new path so that this recovery does not repeat the field’s prior shortcomings and limitations. As the data suggests, by centering racial and gender equity in our strategies and implementation, we stand to increase the economic impact of our investments and broaden and deepen its enduring benefits.
- B. **Rebuild Our Region’s Middle Class** – A thriving middle class makes for a thriving economy. We want to build an economy in which every individual and family has economic stability and security so that they can more fully participate in local and regional economies. Right now, a substantial segment of our population, predominantly people of color, works full-time yet lives below the poverty line. As the economy grows during our recovery, we must ensure that the region’s workforce benefits from the value it creates.

We can rebuild Southern California’s middle class through income growth and wealth generation. We know that we can help lift people out of poverty and into the middle class by focusing on high road employment – increasing the number of family-supporting jobs, ensuring accessible pathways to jobs, and creating opportunities for families to invest their savings in wealth-generating vehicles.

- C. **Contribute to a Climate Ready Region** – This recovery will be one that respects our workforce and respects our natural resources. As an agency committed to reducing GHG emissions, we must curb the physical, social, and economic impacts of climate change. The IERS will focus on investments that prepare our region for the worsening impacts of climate change such as drought, wildfires, flash floods, and extreme heat. We will seek opportunities such as adopting and advancing clean technologies, maximizing infill development, and investing in green, multi-benefit infrastructure.
- D. **Tailor Our Strategies** – While many of the overarching needs in our subregions are shared – more jobs, growing sectors, updated infrastructure – we know that a “one-size fits all” approach is neither effective nor realistic. The IERS includes strategies that can be further tailored to reflect the unique needs and opportunities of different subregions and industry sectors. During implementation we will work with local stakeholders to understand where we can leverage existing assets and efforts and with whom we can partner to support local sectors and sub-regions most effectively in growing a more equitable and inclusive economy.
- E. **Reflect New & Diverse Voices** – SCAG’s impact on our economy will be most beneficial when we build coalitions and work with partners. The IERS reflects voices from a broad range of stakeholders working across sectors and disciplines to grow a clean and inclusive economy. To most effectively implement the strategies identified in this report, SCAG must bring into this work more voices and partners from historically marginalized communities. Including a broader range of perspectives in the strategy and its implementation will support aligned and sustained impact.

Focus Areas

SCAG identified four focus areas to guide its process of research, collecting feedback, and developing recommendations for the IERS. All findings and recommendations are organized into the four focus areas in this report; however, recognizing that these focus areas are interconnected and equally important.

- A. **Housing Production & Preservation** – The lack of affordable housing is a common concern shared among stakeholders in the region. Recognizing housing as the cornerstone to building economic security and treating housing as vital infrastructure for economic growth, the IERS leverages resources to spur development of a range of housing types. This includes rental and ownership housing for households at all income levels, and the preservation of existing affordable units. Housing production and preservation work also generates high-quality jobs that will further elevate the region’s economic well-being.

- B. **Transportation & Infrastructure** – Transportation and other infrastructure are fundamental in preparing a climate-ready regional economy. The IERS supports projects and strategies that increase mobility options for communities of color and working families and improve the jobs-housing balance in the region.
- C. **Sector Based** – Sector-based strategies identify the top needs of growth sectors that provide the greatest opportunities for middle-class careers. These strategies aim to create more family supporting jobs and shift the demand away from low-wage jobs to middle-income employment. Better-paid, middle-skilled jobs cultivate adaptive and sustainable job skills, and the resultant networks help grow strong regional economies driven by evolving and innovating firms.
- D. **Human Capital** – Addressing the barriers that preclude low-income workers’ access to emerging economic opportunities is an intersectional issue critical to forging an inclusive regional recovery and equitable economic growth. Providing essential resources such as childcare and compensated job training and education is crucial in helping previously resource-strapped groups achieve economic mobility. By lifting up the people who would not otherwise be able to fully participate in the region’s recovery and linking them to resources and tools that provide economic mobility, the IERS aims to expand the scale and breadth of the regional economy.

Methodology

SCAG developed the IERS using a methodology that embodies the Listen, Convene, and Catalyze phases from SCAG’s FY20/21 Work Plan. The strategy’s guiding principles are rooted in data and analysis from the National Equity Atlas, as summarized in SCAG’s recently published Racial Equity: Baseline Conditions Report, and the Briefing Book from SCAG’s 2020 Economic Summit, among others. To support the IERS information gathering and analysis process, SCAG engaged Los Angeles-based firm, Estolano Advisors, and researchers from the Center of Social Innovation at the University of California, Riverside (UCR). Throughout the IERS process, staff shared updates with and collected feedback from various SCAG committees.

Key components in SCAG’s research included: small group convenings, a stakeholder survey, SCAG committee engagement, and a landscape scan of existing efforts in the region.


- A. **Small Group Convenings** – SCAG convened more than 230 stakeholders across 20 virtual focus groups and listening sessions between February and April 2020. Participants hailed from across the region, working across sectors and disciplines, including but not limited to practitioners in community development and advocacy, residential and commercial development, industry and small business development, workforce development and education, philanthropy, municipalities, and more. Through the convenings, staff learned about equity-oriented efforts happening across the region, surfaced local practitioners’ priorities and needs for a more inclusive economy, and generated ideas for SCAG’s role in advancing an equitable economic recovery. Special outreach to SCAG’s Global Land Use and Economic Council (GLUE) included a convening, and two follow up presentations and discussions at regular GLUE meetings.
- B. **Survey** – Staff conducted an online survey that sought to identify priorities for creating a more inclusive regional economy, learn about existing efforts happening to improve equity outcomes, and elicit ideas for how SCAG can support the region in this work. SCAG received 94 survey responses. The top three stakeholder sectors that responded were Nonprofit Advocacy with 32 respondents (34%), Local Government with 25 respondents (26.6%), and Other with 14 respondents spanning higher education, transportation, tribal government, and other types of non-profit organizations (14.9%). Respondents were from all six counties.
- C. **SCAG Committee Engagement** – During the recommendation development stage, SCAG staff presented findings from the convenings and preliminary recommendations for discussion with various SCAG committees. SCAG staff refined the proposed recommendations to reflect feedback from the following SCAG committees and partners:
 - Special Committee on Equity & Social Justice
 - Community, Economic & Human Development (CEHD) Committee
 - SCAG Economist Bench
- D. **Research and Inventory of Existing Resources & Efforts** – The UCR Center for Social Innovation, in collaboration with SCAG staff, led a literature review of best practices and core elements to fostering an inclusive economy. Further, the UCR Center for Social Innovation conducted a landscape scan of economic development organizations, ongoing equitable economic development efforts across the region, and a reference list of public funding sources. The research findings informed the guiding principles and recommendations outlined in this report. Visit SCAG’s website for an online catalog of equitable economic development efforts and funding resources identified in the research.
- E. **Publish Key Findings & Recommendations** – SCAG published the key findings and draft recommendation for public comment from May 6th – June 4th, 2021.

Recommendations

Based on findings from the convenings, listening sessions, and equity best practices research, SCAG has generated a set of policy recommendations, presented in the tables that follow. The detailed findings are provided in the appendix.³⁶ These recommendations serve as a starting place for prioritizing SCAG’s work program on economic recovery and equitable economic growth, and as a set of ideas for partners across the region to consider in their work programs. Importantly, SCAG has developed recommendations that can build on existing efforts underway across the region, with a focus on lifting up best practices, creating opportunities for coordination of separate but mutually supportive efforts and harmonizing across shared regional goals.

The recommendations are organized by the four focus areas: (1) Housing Production and Preservation, (2) Transportation and Infrastructure, (3) Sector Based, and (4) Human Capital. Within each focus area, recommendations are further categorized into the following:

- **Existing Resources** – These are recommendations that SCAG can implement using existing staff and funding resources. In some cases, implementation is already underway using identified resources.
- **Additional Resources & Partners** – These recommendations require SCAG to pursue and secure new funding and/or staffing and partners to support implementation.
- **Additional Recommendations** – These are recommendations which other organizations and entities are encouraged to implement. Although SCAG is not well-positioned to lead their implementation, these recommendations are included in this report to document promising ideas that address important needs within the region. When appropriate, SCAG may support as a collaborative partner.

Based on feasibility and urgency of the recommendation, we identified “top priority” recommendations, denoted with a  symbol in the recommendation tables, below. For each recommendation we indicate which of SCAG’s five “levers of influence” it activates or requires for implementation. SCAG’s five levers of influence are:

1. Data and Research Analysis
2. Policy and Advocacy
3. Convenings and Presentations
4. Technical Assistance
5. Obtaining and Distributing Funding








Focus Area: Housing Production & Preservation

Housing production is an essential component of a thriving region and is a core area of SCAG’s work. Stakeholders across the private and nonprofit sectors consistently identified housing affordability and availability as a priority during the IERS stakeholder convenings, pointing to the importance of housing in growing stronger businesses and communities. In addition, preservation of existing affordable housing – whether naturally occurring through lower rents or rent stabilization or affordable units with expiring covenants – is a key priority across the State. Finally, homeownership was consistently raised as a critical means for economic stability and opportunity.



Homeownership was consistently raised as a critical means for economic stability and opportunity.

As part of the IERS, we consider housing to be equivalent to infrastructure that provides direct and indirect long-term benefits for our region’s communities and economy. Through SCAG’s \$47 million Regional Early Action Plan (REAP) program, the agency is equipped to implement priority IERS recommendations outlined in this section to build local capacity and expertise in land use planning, innovative financing, growing local engagement, streamlining processes, and more. Recommendations in this section call on SCAG and cross-sector partners to deepen critical understandings about housing in Southern California, improve and streamline housing development, and explore models for more equitable and inclusive outcomes.

Recommendations for Housing Production & Preservation — Using Existing Resources

Recommendations	SCAG's 5 Levers for Action				
	Data	Policy Advocacy	Convenings/ Presentations	Technical Assistance	Funding
 Invest in subregional efforts to expand local capacity and increase housing production through technical assistance and training on use of CEQA streamlining tools and other administrative approval options. Facilitate peer learning across municipalities about best practices in coordinating review and approval by various departments and creating more transparent review and approval processes.			X	X	X
 Support efforts to create regional financing strategies and funding sources for affordable housing for low- and moderate-income renters and advocate for additional funding at the State and Federal level.		X	X	X	X
 Partner with local philanthropy on the Call for Collaboration program to grow Community Based Organizations' (CBOs) capacity to educate and engage communities about housing and land use planning. As part of this, SCAG should regularly convene CBOs working on housing issues to stay apprised of key upcoming policy developments.			X	X	X
 Launch a communications initiative that conveys the value and importance of sustainable, equitable housing. This effort could be similar to SCAG's Go Human campaign.	X	X	X		X
 Provide training and education to local elected officials, department staff, and other community stakeholders to promote understanding of the challenges and opportunities for sustainable, equitable and climate adaptive housing development.			X	X	X
 Support regional efforts to streamline, design, and finance accessory dwelling unit (ADU) development, including facilitating model policy sharing across jurisdictions to create greater consistency.	X		X	X	X
 Establish or partner in the creation of a Housing Innovation Lab that explores new policies and models for accelerating housing production and approaches to delivering both for-sale and affordable housing more efficiently.			X		X

Recommendations for Housing Production & Preservation — Using Additional Resources and Partners

Recommendations	SCAG's 5 Levers for Action				
	Data	Policy Advocacy	Convenings/ Presentations	Technical Assistance	Funding
 <p>Convene financial sector, CDFI, and other partners to explore opportunities to expand homeownership for lower income communities and communities of color, such as increased production, accessible financing, and identifying barriers to ownership. Within this effort, explore alternative approaches to building wealth through ownership, including community land trusts, tenants in common and other models.</p>	X		X		
 <p>Advocate for new and increased federal and state funding for acquisition and rehabilitation of existing at-risk affordable housing</p>	X	X	X		
<p>Work with member jurisdictions to access state and federal weatherization, home hardening, and energy efficiency retrofit funds to reduce vulnerability to climate-related hazards (e.g., extreme heat, wildfires, sea level rise). Target investments to low-income households and residents in disadvantaged communities to reduce their electricity bills, improve public health outcomes, increase resiliency, and reduce GHG emissions. Prioritize inland and Tribal communities, who are most at risk for extreme heat health impacts.</p>				X	X
<p>Support efforts to include climate-ready strategies in housing construction to minimize the potential loss of housing units stemming from climate-related hazards, including sea level rise, wildfires, landslides, and extreme flooding, among others.</p>		X	X		X
<p>Evaluate the economic costs of not addressing climate hazards in the context of housing supply for the region, including insurance, public health, and loss of life impacts, and tabulate the economic benefit of climate-adaptive housing strategies (including land use and zoning strategies).</p>	X			X	
<p>Understand, discuss, and study the complexities of balancing housing production, sustainability, and land conservation goals (i.e., conserving land for agriculture and other natural resources), including the economic benefits and climate impacts of natural and working lands.</p>	X			X	

Recommendations for Housing Production & Preservation — Additional Recommendations

Recommendations	SCAG's 5 Levers for Action				
	Data	Policy Advocacy	Convenings/ Presentations	Technical Assistance	Funding
<p>Convene leaders in residential development, workforce development, and community development to coordinate and grow a pipeline of racially and ethnically diverse affordable and market rate housing developers.</p> <p>Further investigate the barriers experienced by developers of color in growing their project portfolios and revenue to determine how SCAG can support this industry via policy advocacy.</p>	X	X	X		
<p>Invest in capacity building for general contractor and subcontractor firms owned by people of color so that they are better equipped to compete for and win projects alongside larger developers.</p>		X	X	X	X
<p>Work with local governments to develop a toolkit of meaningful equity- and inclusion-focused benefits that developers can provide to be guaranteed certain project benefits (e.g., expedited processes).</p>		X	X	X	

Case Study: Call for Collaboration

Lead Organizations: SCAG and California Community Foundation

Focus Area: Housing Production

Counties: Imperial, Los Angeles, Orange, Riverside, San Bernardino, and Ventura Counties

Context: In 2020, Southern California Association of Governments (SCAG) set aside \$1 million of its Regional Early Action Planning (REAP) state grants to develop the Call for Collaboration program. This program aims to support deeper community engagement in planning activities that accelerate housing production and generate community-driven, equitable growth strategies throughout the six-county SCAG region. This program is unique because it allows for a metropolitan planning agency, such as SCAG, to partner with the California Community Foundation, the James Irvine Foundation, and the Chan Zuckerberg Initiative on a shared priority. The Call for Collaboration program supports non-profits with small grants to support community-based policies and plans that help cities and counties reach aggressive housing production targets.

Initiative: The Call for Collaboration program promotes deep non-profit and community-based organization engagement in local housing decisions, and encourages these organizations to facilitate local, community-based conversations in support of housing. The program offers funding through two opportunities: (1) Partnership Programs: grants of up to \$125,000 to support the expansion and/or implementation of existing plans, initiatives, and/or partnerships that promote equitable growth strategies; and (2) Spark Grants: \$50,000 capacity-building grants to seed new community-driven models of collaboration and engagement for promoting equitable growth strategies.

Building on the ideas and successes of housing innovation challenges across the region, the Call for Collaboration focuses on turning ideas into reality through strategic partnerships. With these grants community-based organizations have new resources to bring the voices of people who are most affected by housing policies into the key decision-making processes of which they are often left out. This initiative funds a range of community-driven approaches for shaping and executing a vision for growing our housing supply sustainably and equitably.

Grantees also benefit from training and technical assistance from a team of housing, planning, and legal experts that provide guidance through their work efforts, unique data analysis, advice on legal contracts and other documents, and other organizational support as needed.

Outcome: In 2021, the Call for Collaboration program funded 15 projects, totaling almost \$1.3M awarded across five SCAG counties. Using the program funds, grantees are engaged in a range of planning efforts such as:

- Housing element development and implementation,
- Increasing housing capacity near future Metro rail lines,
- Building support for community land trust formation,
- Addressing barriers to housing development on church-owned land,
- Growing support for mobile home parks,
- Expanding accessory dwelling units (ADU) policies and programs, and
- Identifying new financing sources for affordable housing development.




SOUTHERN CALIFORNIA Call for Collaboration



Focus Area: Transportation & Infrastructure

In a region experiencing worsening climate impacts, SCAG seeks to ensure that large scale infrastructure investments move us into a clean energy economy and provide economic opportunity for the region's workforce. Building and maintaining vital transportation, electricity, and broadband infrastructure presents a generational opportunity to create accessible and good-quality jobs in our region. In addition, infrastructure to support the supply chain is critical to keep goods moving throughout the region. Moreover, these investments will help the SCAG region reduce vehicle miles traveled and greenhouse gas emissions. In this section, recommendations encourage and advance digital inclusion, active transportation investments, transportation electrification, renewable energy generation, and more.

Recommendations for Transportation & Infrastructure — Using Existing Resources

Recommendations	SCAG's 5 Levers for Action				
	Data	Policy Advocacy	Convenings/ Presentations	Technical Assistance	Funding
 Develop a Broadband Action Plan to lead and support regional efforts in bridging the digital divide. Approach key infrastructure projects with a “dig once” strategy, to incorporate broadband, EV charging and other multi-benefit outcomes.	X	X	X	X	
 Develop a regional blueprint for zero emissions medium- and heavy-duty truck charging infrastructure that can inform local and subregional infrastructure investment and development, provide climate mitigation opportunities, and identify areas for regional coordination and support.	X		X		
 Advance the adoption of zero-emission heavy- and medium-duty vehicles through SCAG's Last Mile Freight Program.		X	X		X
 Identify priority transportation and infrastructure projects across the region that will invest in and directly benefit low-income, communities of color and support job creation and key industry sectors. Advocate for funding at the state and federal level to have targeted hiring and job quality standards written into funding requirements so that project funding creates middle-class jobs.	X	X			
 Identify funding to support subregional and local efforts to study and implement EV infrastructure, with an emphasis on investing in infrastructure that specifically meets the needs of low-income communities. In the near-term, work with state policy makers to ensure that the ~\$1.5 billion included in the State budget for EV infrastructure is distributed equitably to benefit disadvantaged communities and leverages existing advocacy efforts like the Transportation Electrification Partnership led by the Los Angeles Cleantech Incubator.		X	X	X	X
 Support active transportation and first/last mile connections as a priority for connecting communities to jobs and other economic opportunities, as well as for reducing GHG emissions. Continue and expand efforts led by the GoHuman Campaign, Sustainable Communities Program, and Active Transportation Working Group to support and provide funding to local agencies, with an emphasis on projects designed to benefit underserved communities, including those with youth, older adults, and people with disabilities.			X	X	X
 Leverage federal infrastructure financing and use REAP program resources to advocate for infrastructure development tools to facilitate housing production and economic growth in conjunction with transportation projects and consistent with Priority Growth Areas, as defined in the Connect SoCal plan.	X	X	X	X	X

Recommendations for Transportation & Infrastructure — Using Additional Resources and Partners

Recommendations	SCAG's 5 Levers for Action				
	Data	Policy Advocacy	Convenings/ Presentations	Technical Assistance	Funding
Pursue funding for a study on upgrading the region's electricity grid to meet increasing demand, promote climate adaption and regional resilience, and reduce our region's greenhouse gas consumption. The study should also identify policy solutions for addressing equity impacts.	X	X	X		
Identify brownfield remediation projects that stand to transform communities in ways that align with the Connect SoCal plan's goals. Further, identify funding sources for brownfield remediation and provide cities and subregions with technical assistance in preparing clear and compelling funding applications.	X	X	X	X	
Support local agencies in seeking Federal infrastructure funding to deliver safe, clean drinking water to underinvested communities across the region. Prioritize support for and investments in tribal communities, Colonias in Imperial County, Tribal communities and other high-need communities.		X	X	X	

Case Study: Transportation Electrification Partnership

Lead Organization: Los Angeles Cleantech Incubator

Focus Area: Transportation and Infrastructure

Region: Greater Los Angeles Area

Context: The Los Angeles Cleantech Incubator (LACI) is a non-profit organization with a mission to create an inclusive green economy in the Los Angeles region. In 2018, LACI formed the Transportation Electrification Partnership (TEP) to accelerate transportation electrification in the Greater Los Angeles Area. TEP is a multi-year partnership among local, regional, and state stakeholders aiming to reduce greenhouse gas emissions and air pollution an additional 25% beyond current L.A. Region commitments by 2028, when the Olympics and Paralympics arrive in Los Angeles.

Initiative: In its Roadmap 1.0, TEP developed the Guiding Principles for achieving its pollution reduction goals. Roadmap 2.0 laid out several steps for achieving these goals, including three strategies for achieving its goals by 2028: (1) accelerating the adoption of light-duty passenger electric vehicles; (2) shifting more than 20% of all trips in single-occupancy vehicles to zero emissions public and active transit; and (3) ensuring that all public investments into goods movement, including freight vehicles (i.e., trucks and cargo handling equipment) and related infrastructure, will advance zero-emissions solutions and make the I-710 the first zero-emissions goods movement corridor in the nation.






Outcome: In response to the COVID-19 pandemic and the ongoing global climate crisis, TEP and 47 other organizations submitted a \$150 billion dollar stimulus proposal to Congressional leaders in April 2020. The proposal highlighted electrification and start-up innovation to promote job growth and address the nation's health via clean air. In March 2021, the Partnership reiterated the stimulus investment request in a letter to Congressional leaders, highlighting that the proposed electrification plan aligns with the Biden Administration's Build Back Better Plan and could result in 2.3 million jobs across several sectors.




Focus Area: Sector Based

We must harness the creativity, innovation, and grit that hums through our region to shape and grow industries that both provide accessible opportunities for upward economic mobility and "future proof" the economy. SCAG seeks to grow the number of middle-wage jobs ("well-paying jobs for less-educated workers").³⁷ We also want to increase wealth in historically marginalized communities by supporting entrepreneurs and small business owners who are women or people of color in launching and expanding their businesses. SCAG also recognizes existing collaboratives, organizations, and in particular subregional economic development corporations (EDCs) that are actively working on sector-based strategies. The recommendations in this section lift-up opportunities for SCAG and partners across the region to foster equity-oriented industry growth, job creation, entrepreneurship and small business success.

Recommendations for Sector Based — Using Existing Resources

Recommendations	SCAG's 5 Levers for Action				
	Data	Policy Advocacy	Convenings/ Presentations	Technical Assistance	Funding
 Boost local efforts to foster industry clusters by offering support on funding applications and convenings and advocating for supportive policies and funding.		X		X	
 Augment municipalities' capacity to apply for and secure federal funding for local projects, such as US Economic Development Administration grants. SCAG can help to organize funding pursuits in the region and be an engaged government partner.		X		X	
 Lift up examples of industry leaders who have implemented effective diversity-equity-inclusion business practices, including best practices for incorporating curriculum about diversity-equity-inclusion focused business practices into entrepreneurship and small business training programs and best practices for achieving diversity goals within incubator and accelerator portfolios. Encourage industry leaders to adapt and apply these best practices to their own policies and procedures.			X		
 Identify top regulatory reform recommendations for the State of California and work with partners to seek legislative solutions.	X	X	X		
 Work with local jurisdictions and industry leaders to develop a Priority Agricultural Lands (PAL) Program to implement Connect SoCal's agricultural lands conservation strategies.	X		X	X	
Convene CDFIs and other financial investors to share ideas and best practices for increasing access to capital for entrepreneurs of color.			X		

Recommendations for Sector Based — Using Additional Resources and Partners

Recommendations	SCAG's 5 Levers for Action				
	Data	Policy Advocacy	Convenings/ Presentations	Technical Assistance	Funding
 <p>Become a source of regional data that can support and inform policies around economic development and, in particular, align with the data needs identified in the California Future of Work Commission's March 2021 report, A New Social Compact for Work and Workers. The California Future of Work Commission recommends the creation of a California Job Quality Index, which would analyze monthly wage data to understand growth of quality jobs by industry using a range of measures.</p>	X				
<p>Develop subregional studies on growth sectors that support middle skills jobs and "future proofing" the economy through targeted and accessible job training. The studies should include key data, workforce development and training needs research (including reskilling and upskilling needs), best practices research, recommendations for supporting the growth sectors, and identified subregional-specific partners.</p>	X				
<p>Convene a collaborative of hospitals and universities to diversify their supply chain and grow economic opportunities for minority- and women-owned businesses. Partner with private funders, such as UniHealth Foundation, to support implementation.</p>	X	X	X		
<p>Convene local agency procurement departments to discuss best practices and implementation of policies and practices that reduce or eliminate barriers to small, women, and minority owned businesses. Work with local partners to create a shared set of certifications and contracting templates and materials. Identify funding for jurisdictions to migrate to a common platform.</p>	X	X	X	X	X
<p>Produce county-level economic analyses to determine the local economic impact of racial and gender inequality. Government and community leaders can use the publication findings to inform the terms of community benefits agreements with private sector employers and developers and help ensure that the projected benefits of incoming investments are measured using more holistic factors.</p>	X	X	X		

Case Study: Transforming the Logistics & Supply Chain Industry

Lead Organization: Inland Economic Growth & Opportunity

Focus Area: Sector Based

Counties: Riverside and San Bernardino

Context: The economic impacts of the Great Recession were particularly severe for Riverside and San Bernardino Counties (“Inland Empire”). The rise in regional home prices in the early 2000s spurred housing construction and related economic activity. By 2010, all of these gains had been lost. The Great

Recession depressed vital sectors in the Inland Empire, including the construction, real estate, retail, hospitality, logistics, and manufacturing sectors. Moreover, the Inland Empire saw increased use of temporary employment. Following the Great Recession, new jobs were primarily concentrated in a few sectors, reducing economic diversity and resilience in the Inland Empire, and making the region more vulnerable to recession. Many of the jobs created after the Great Recession were low-paying, and income growth in the Inland Empire lagged behind the national average. Between 2006 and 2016, average annual earnings in the US increased 7.3%, but grew by only 2.8% in the Inland Empire.

Initiative: In response to the loss of higher-paying jobs and increased reliance on temporary employment after the Great Recession, a diverse group of local leaders and regional stakeholders from the public, private, and academic sectors formed the Inland Economic Growth & Opportunity (IEGO) partnership. IEGO focuses on advancing an inclusive economy by identifying “pathways to good jobs, improving educational outcomes, inspiring the region’s brightest young people to stay, and strengthening civic infrastructure” (IEGO website). A core team of IEGO leaders identified growth industries in the Inland Empire, specifically, the logistics and supply chain clusters. They also identified the Inland Empire’s strengths: existing infrastructure and an educated workforce. By supporting these growth industries and leveraging the Counties’ strengths, IEGO strives to build a resilient regional economy, and improve equity and environmental outcomes. Targeted investments in these growth sectors will improve job quality and provide higher-quality career opportunities.



Outcome: In November 2020, IEGO revealed their supply chain consortium investment plan in the report, “Transforming the Logistics and Supply Chain Industry in the Inland Empire: An Investment Plan for the Excellence in Logistics and Supply Chain Management Consortium.” This report rethinks an existing industry and promotes inclusive and equitable growth. IEGO establishes a starting point for transforming a low-wage growth sector into a vehicle for expanding the local economy and creating good-quality job. Moreover, this report includes strategies for reducing air pollution and congestion. As part of its future work in this area, IEGO will identify opportunities to accelerate innovations in clean technology associated with the Indirect Source Rule, which passed in 2021, by the South Coast Air Quality Management District, which aims to regulate emissions from sources associated with warehouses.




Focus Area: Human Capital

To truly improve the lives of Southern Californians, we must ensure that individuals and households have access to the support they need to thrive in their jobs and in pursuit of upward economic mobility. Having access to resources and supports such as job training, affordable transportation choices, affordable childcare and mental/public health services can make a world of difference in someone’s ability to secure and retain a job. This section provides a range of insights that stakeholders shared about investing in the region’s human capital, followed by recommendations for SCAG to lead or where SCAG can highlight and support existing efforts, as well as recommendations that other organizations in the region can lead in implementing.

Recommendations for Human Capital — Using Existing Resources

Recommendations	SCAG's 5 Levers for Action				
	Data	Policy Advocacy	Convenings/ Presentations	Technical Assistance	Funding
 <p>Join the state in advocating for a federal jobs guarantee. This will allow government to serve as a backstop in case industry does not create high quality jobs to meet its workforce needs. This is in alignment with the State's March 2021 Future of Work report.</p>		X	X		
 <p>Bring greater awareness of workforce development resources (including youth training programs, ETP funds and various community college programs) for employers.</p>			X		
<p>Support the efforts of Caltrans, CALSTA, and CCJPA to implement the California Integrated Travel Program (Cal ITP) which would, among other benefits, create a universal statewide transit benefit platform that can also serve as a basic account for other public benefits for Californians with the greatest need.</p>	X	X			
<p>Work with transit agencies to facilitate the adoption of the US Employment Plan to create high road local jobs through future equipment and supply procurement.</p>		X	X		

Recommendations for Human Capital — Using Additional Resources and Partners

Recommendations	SCAG's 5 Levers for Action				
	Data	Policy Advocacy	Convenings/ Presentations	Technical Assistance	Funding
 <p>Support the State's effort to develop a California Job Quality Index (JQI) by serving as the lead data and research partner for the Southern California region.</p>	X		X		
<p>Facilitate regional coordination to ensure the region's childcare industry is prepared to utilize federal funding being provided through the American Rescue Plan Act. Partner with organizations like LIIF to expand the childcare industry's capacity and advocate for supportive policies.</p>	X	X	X		
<p>Work with the construction trades and the developer/building industry to identify best practices in construction apprenticeships and training programs and facilitate expansion/application of these practices in housing, transportation, and infrastructure projects.</p>	X		X		

Recommendations for Human Capital — Additional Recommendations

Recommendations	SCAG's 5 Levers for Action				
	Data	Policy Advocacy	Convenings/ Presentations	Technical Assistance	Funding
Work with key partners such as workforce development organizations, economic development corporations, and community colleges, to build awareness and provide technical assistance to local and county governments to establish job training and placement programs that connect residents from low-income neighborhoods to public sector jobs.			X	X	X
Identify state and federal level opportunities to advocate for updates to critical workforce development funding guidelines to address challenges experienced by workforce program administrators. Advocacy should seek to expand youth eligibility for criteria to include current students, ensure that funding can be used for various outreach and advertising activities that are key to reaching target populations, and expand the type of wrap-around services and supports program participants can receiving during and after training.	X	X			X
Conduct targeted engagement to better understand the unique needs of Indigenous communities in Southern California and work with philanthropic and public sector partners to address the communities' identified needs	X		X		X
Work with key partners to advance workforce development that can support a greener economy, including the potential deployment of microgrids, zero net energy implementation strategies, and renewable energy generation and storage.					

Case Study: U.S. Employment Plan Adoption by LA Metro

Lead Organization: Jobs to Move America

Focus Area: Human Capital

County: Los Angeles

Context: Pressure from globalization has reduced the number of US-based manufacturing jobs, and deteriorated wages and working conditions for US workers, particularly immigrant and Black workers. Historically, the manufacturing sector had provided stable, family-supporting jobs and had low educational barriers to entry. More recently, however, the US manufacturing sector has become the largest employer of temporary labor, employing 36% of all temporary workers. Governments and public agencies purchase approximately 20% of all manufactured goods, valued at nearly \$2 trillion. The strategic policy center, Jobs to Move for America, recognized public procurement as an underutilized opportunity to leverage public dollars to build a fairer economy, lift labor standards, and create high-road jobs.

Initiative: Jobs to Move America created the US Employment Plan (USEP) to support effective, democratic workplaces and empower workers. USEP is a federally approved policy tool for building equity into public procurement. USEP strives to create career pathways for historically marginalized people who are often left out of the manufacturing sector, including women, people of color, veterans, and formerly incarcerated people. When public agencies incorporate USEP into their bidding process, companies bidding for contracts must disclose the number, type, and location of jobs the contract will create and retain, as well as salaries, benefits, training programs, and the company's plan for recruiting and training historically marginalized workers.

Outcome: In 2012, the Los Angeles County Metropolitan Transportation Authority (LA Metro) became the first transit agency to adopt USEP for the purchase of rail cars and has since used USEP eight times. Jobs to Move America attributes the creation of over 550 direct jobs and thousands of additional jobs to LA Metro's adoption of USEP. In 2018, LA Metro committed to implementing USEP through the agency's Manufacturing Careers Policy, which applies to equipment purchases of over \$100M. Jobs to Move America estimates that this latest effort through the Manufacturing Careers Policy will support up to 22,000 jobs in the LA region.



Metro[®]



**JOBS TO
MOVE AMERICA**

PUBLIC GOODS FOR THE MOST PUBLIC GOOD

NEXT STEPS & NEW OPPORTUNITIES

The IERS is a starting point for SCAG’s work in fostering an inclusive economic recovery. As a next step, the agency will draw on existing resources to begin implementing recommendations with the IERS guiding principles in mind.

In April and May 2021, as SCAG was completing its process of “Listen and Convene” and beginning to draft this final report, the State of California was leading the way with vaccinations and reducing the spread of COVID-19, which will culminate on June 15th with the full re-opening of the economy. On May 14th, 2021, Governor Newsom released his May budget revise, which included a proposed \$267.8 billion state budget and a host of programs targeting an inclusive, equitable and sustainable economic recovery. The \$100 billion proposed “Comeback Plan” is a comprehensive approach to all facets of economic recovery, including homelessness and housing, workforce development, climate resilience, transportation, and closing the digital divide.

This funding proposes to not only address pandemic-related needs, such as stimulus checks and emergency rental assistance, but also to address long-standing issues California faced pre-pandemic. Specific proposals mirror some of the priorities and recommendations in this IERS, including: the California Jobs Initiative; funding for affordable housing to combat homelessness and support home-ownership; a labor and workforce development initiative; funding for coordination of workforce development efforts with Community Colleges; investments in transportation and infrastructure, and specifically to address the digital divide. The proposal also includes a \$750 million Community Economic Resilience Fund to provide financial support to regional and local stakeholder collaboratives to plan and implement region- and industry-specific economic transition strategies, with a specific focus on supporting high-road industries, quality job creation and workforce strategies in those sectors or regions most affected by the state’s transition to carbon neutrality.

Within these new funding opportunities, and with this IERS, SCAG will invest in staff resources to pursue new partnerships and funding opportunities that can support implementation of IERS recommendations and the sweeping investment proposals from the State. Through ongoing conversations and partnerships with practitioners across sectors, disciplines, and subregions, SCAG will continue to look for new ways the agency can help to close economic disparities and foster a more inclusive economy in the region.

APPENDIX

Key Findings

In this appendix, we summarize key findings heard in one or more of SCAG’s 20 convenings, in survey responses, and in feedback from SCAG’s Special Committee on Equity and Social Justice, SCAG’s Community, Economic and Human Development (CEHD) committee, SCAG’s Energy and Environment Committee (EEC), SCAG’s GLUE (Global Land Use and Economics) Council, and SCAG staff. We organize these findings by our four focus areas: 1) Housing Production and Preservation, 2) Transportation and Infrastructure, 3) Sector Based Strategies, and 4) Human Capital.

These findings are not exhaustive of all factors that relate to economic recovery. Rather, the findings presented here reflect what SCAG heard through the IERS “listen and convene” process. SCAG staff also shared findings with our bench of economists, who specialize in the economies and core industries across the six counties that SCAG serves.

Focus Area: Housing Production and Preservation

Housing Production and Preservation

- Lack of affordable housing, particularly in the mid-range of cost, is a shared concern across stakeholder groups. Some emphasized the importance of producing new housing and preserving existing affordable housing near jobs centers. Others noted the importance of developing affordable housing across the region to avoid further concentrating poverty.
- We need wealth building tools for communities that have historically been excluded from the benefits and opportunities of home ownership. Connecting people to home ownership is one way to build wealth, but it is not enough to close the ever-growing racial wealth gap. In the meantime, several stakeholders called for a public subsidy to support low-income families’ access to home ownership.
- More attention and investment should be given to community land trusts as a tool to build community wealth and create alternative approaches to ownership housing.
- Acquisition and rehabilitation of existing affordable housing stock is needed to prevent tenant displacement and loss of scarce units.
- Housing production is particularly challenged because when viewed through a narrow fiscal analysis, housing represents a net fiscal loss for municipalities; it does not generate sufficient taxes or resources needed to service it (infrastructure, fire/life safety, etc.). However, housing shortages are a drag on the economy, driving up labor, health, and commuting costs. SCAG could help cities quantify the fiscal cost of not producing housing.
- New housing developments need to incorporate climate-ready strategies to minimize the potential loss of housing units from climate-related hazards, such as sea level rise, wildfires, and landslides, among others.
- The economic costs of not addressing climate hazards in the context of housing supply will heavily affect local governments. Instead, climate-adaptive housing strategies can generate economic benefits for communities.

Land Use and Zoning

- There is an opportunity for economic justice organizations and housing justice organizations to work more closely together to advocate for mixed-use zoning and other land use patterns that bring housing and jobs centers together. Similarly, residents must have access to high quality transit.
- Housing development approval processes should be more transparent and streamlined, particularly through CEQA streamlining and reform.
- The proliferation of single-family homes and some rental properties becoming short term rentals negatively affects housing availability and affordability.
- The complexities of balancing goals related to housing production, sustainability, and conservation need to be better understood by policymakers and the public.
- Land use policy and zoning are important tools for local jurisdictions to lessen the risks and costs from climate-related hazards on residents and housing, especially related to building in areas at risk for wildfires.

Diversity and Inclusion in Delivery of Housing

- The real estate development and financing industries sorely lack diversity. The property management divisions of many development companies are diverse, but few people of color are gaining entry to higher-earning careers in development.
- Housing construction and property operations are opportunities to support local contractors and workers. Housing developers must be intentional about hiring local, community-based business and service providers for their contracting needs (e.g., security, landscaping, preventative maintenance).
- While there is developer interest in having better equity outcomes through targeting contracting and hiring, the transaction costs of identifying qualified contractors and workers who meet targeted categories can become a barrier to a project’s implementation.

Financing

- Developers face serious barriers and challenges in securing the capital needed for affordable housing projects. In particular, low-income housing tax credit (LIHTC) allocations are becoming increasingly competitive. Moreover, restrictive net worth requirements for developers can exclude historically marginalized developers from fully participating in the field.
- More financing is needed for delivery of rental and ownership housing at all levels of affordability (moderate to extremely low income).

Focus Area: Transportation and Infrastructure

Investments in Transportation and Infrastructure

- SCAG's Connect SoCal identifies \$638 billion in transportation investments that rely heavily upon fuel and sales tax revenues that are greatly impacted by COVID-19.
- Stakeholders encouraged SCAG to aggressively organize the region and pursue funding through Federal stimulus and various State programs targeting infrastructure and transportation.

Infrastructure to Support Economic Growth

- Goods movement represents a disproportionate share of transportation emissions, requiring an aggressive strategy to deploy zero-emissions technologies that will reduce harmful emissions, particularly in low-income communities.
- Climate adaptation and mitigation infrastructure has a net economic benefit, both in terms of job creation and for workforce development in transitioning to a greener economy.
- Electricity generation in the power sector is an important aspect of climate mitigation and adaptation; local agencies have an important role to play to promote resilience and reduce costs from future stressors and shocks to the grid.
- Investing in updating water infrastructure will better prepare the region to be climate-ready and meet its future water needs.
- In more rural and industrial areas flexible transit options like on-demand service need to be part of the solution.
- Advocates, labor leaders, and real estate developers noted that complete streets investments provide workers more safe and affordable options for accessing job opportunities. In addition to benefiting the region's workforce, complete streets and transit investments benefit the region's youth and aging populations and contribute to reducing GHG emissions.

Digital Divide

- Workforce, philanthropic, and small business stakeholders highlighted that the digital divide is a critical and top priority to address.
- Many stakeholders noted that the digital divide extends beyond broadband infrastructure to also include lack of access to devices and low levels of digital literacy. During the COVID-19 pandemic these presented barriers to workers looking for job opportunities and resources and posed challenges for small businesses seeking out financial assistance and vital online marketplaces.

Other

- To enhance climate infrastructure conversations and for investments to be successful, advocates are eager to see greater multi-agency collaboration (e.g., public works, legal, transportation, sustainability) within and across jurisdictions.
- Considering society is transitioning to increasingly rely on electricity, it is important for policymakers to ensure that low-income communities are not overburdened by high-cost energy.

Focus Area: Sector Based

Growing Middle Wage Jobs

- Subregional partnerships are working to transform low-wage growth sectors into providing higher wage job opportunities. These industries can be shaped and repositioned towards better paying models. This work would benefit from SCAG's role in supporting local efforts and facilitating partnerships.
- The in-home and direct care industry is an example of a high-demand industry that currently pays low wages but has the potential to offer an accessible career pathway with targeted investment and higher job quality standards.
- Employers are frustrated that even when paying a living wage (\$17/hr. for a single individual in Ventura County), their

lowest-earning employees still cannot afford to rent a nearby unit, let alone become homeowners.

- Several stakeholders raised that Southern California needs to reinvest in growing its manufacturing sector.
- Non-governmental partners rely on local governments to be lead partners in pursuing local economic development grants. However, due to limited capacity and divergent priorities within lead local agencies, community-oriented projects to grow industry and economic opportunity are often left with funding gaps.

Regulatory Reforms

- Stakeholders expressed concern that businesses are choosing to relocate or expand their operations outside of California, due to the state's higher taxes, regulatory requirements, and limited incentives.

Entrepreneurship and Small Business Support

- Small, women and minority owned businesses struggle to compete for government contracts, which help facilitate business growth. Along these same lines, examples of anchor institutions that are committing to diversifying and expanding their supply chains can support small, women and minority business success.
- Local chambers and small business assistance providers surfaced the need for diversity-equity-inclusion trainings and resources designed for small businesses to learn about the issues and how they can advance these principles as employers.
- The unique needs and barriers of workers and entrepreneurs in the informal economy are often overlooked when policies and programs are being crafted. In the instances where these individuals are eligible for support and resources, the process to obtain the resources is overly complicated and discouraging.

Agricultural Production

- Some stakeholders stressed the importance of preserving land for agricultural and other conservation purposes, which also affects increased food security, job creation, and general sustainability outcomes. Others expressed concern about the conflict between land preservation and demands for increased housing production and other economic growth needs. SCAG's Connect SoCal plan identified that implementation of identified agricultural conservation strategies could preserve 8,700 more acres of productive agricultural land and generate \$23 million more in agricultural production when compared to "business as usual" strategies.

Focus Area: Human Capital

Childcare

- The pronounced gender disparities in economic participation since the onset of the pandemic have elevated the long-time need for affordable childcare that is financially accessible and available for any family needing it. Workforce practitioners noted the importance of co-locating childcare with adult training and education centers. Employers in the agriculture industry raised that the childcare needs for their workforce are not typically met by providers, in part because the facilities are not open early enough to align with farmworkers' work schedule.

Workforce Development

- More needs to be done to train and connect youth to workforce opportunities, particularly in high-demand industries with workforce gaps.
- Workforce training providers raised that some of the restrictions placed on workforce development funding programs limit how effective a program can be in supporting target populations' access to and success in training programs.
 - Within the context of youth, there is a misalignment in when the funds are needed and when the funds can be used. Currently, youth programs with certain types of grant funding cannot enroll high school students as participants, and instead must wait until the individuals have graduated. Practitioners note that waiting until a student completes high school risks the student becoming disconnected from resources and makes it difficult for trainers to re-engage young people.
 - Workforce funding cannot typically be used for communications, marketing (e.g., radio ads), and awareness-building to recruit participants. These activities are critical for targeting benefits and opportunities to historically marginalized communities.
 - Some funding sources cannot be used for providing support services such as childcare that trainees need for their success during trainings and after their job placement.
- Community advocates and workforce practitioners noted that industry should offer paid internships and other learning experiences for low-income students or those from underrepresented groups (or incentives should be developed in support of this concept). Students from underrepresented backgrounds in the region's growth industries continue to

face barriers to accessing paid internship experiences, which are critical to applying their skills and unlocking future job opportunities. Employers often overlook California State Universities, community colleges, and universities in the Inland Empire as places from which to recruit bright, skilled talent.

- As industries adopt new technologies and practices that support a greener economy, the region should direct attention to developing workforce development programs through relevant educational and training opportunities. Our workforce should be ready for industries of the future.
- Pairing on-going wrap-around services with workforce training and placement programs help participants' longer-term success. Earn and Learn programs offer a promising model to connect people to opportunities in the workforce. Similarly, providing services to address the mental health and mentorship needs of youth is critical to their successful employment and advancement. Programs that incentivize targeted hiring via subsidies, when done well, can create last benefits for businesses and workers.
- New and innovative apprenticeship programs need to be offered for industries outside of the traditional trades.
- Stakeholders called for a greater awareness and understanding of career technical education as a viable alternative to a four-year degree. Community colleges are key in connecting community members to workforce accreditations and can offer important resources for improving the region's workforce readiness to support a greener economy.

Education

- Few programs and partnerships connect students of color to career pathways in real estate development, and generally urban planning and policy. Curriculum can be developed for any level of education to expose children to these careers and create familiarity with the pathways for realizing those careers. This also goes for STEM – exposing students to STEM coursework and career pathways and building students' critical thinking and problem-solving skills are key to readying future generations for jobs and opportunities with economic mobility.
- In general, the importance of a college education must be stressed early on, and access to financial aid, grants and support is required for lower income communities.

Broader Access to Resources

- Awareness and understanding of entrepreneurship pathways and opportunities in growth industries need to be cultivated in underrepresented communities. Incubator and accelerator leaders raised that systemic changes, such as universal healthcare, can reduce the barriers to entry for low-wealth individuals, who are otherwise likelier to seek traditional employment opportunities that offer steady income and healthcare benefits. The lack of key social safety nets stifles our region's innovation and prevents low-income individuals from pursuing wealth-building entrepreneurship opportunities.
- Employers are generally unaware of the various job creation incentives and training programs available to them locally and at the state level. For employers who do know about available programs, the programs are often difficult to access and navigate.
- Tribal communities continue to be overlooked for resources to meet their needs. In addition, greater investment needs to be made in relationship-building with these communities.

Other

- The growing gig economy is transferring the operating expenses, such as basic benefits, from the employer to the employee without ensuring commensurate compensation.
- People who can work remotely can be recruited to work for firms outside the region. This is an opportunity and threat to local employers who must compete for a local workforce, while also having access to a wider talent pool from outside the region.
- Worker voice and union membership contribute to a growing middle class.
- Guaranteed income programs (a targeted version of Universal Basic Income that provides direct and recurring cash payments to eligible families) are gaining traction and seen as a meaningful social safety net for low-income families. In the SCAG region, the mayors of Compton, Long Beach, Los Angeles, and West Hollywood have all joined the Mayors for Guaranteed Income efforts, which advocates for and advances the policy model.
- Little can replace the impact potential of trusted nonprofit and community organizations. Funders saw this most recently take shape in the need to distribute federal relief funding to local stakeholders.

ENDNOTES

- 1** Resilience is defined here as enabling communities to bounce back from acute crises such as forest fires, economic downturns, and pandemics and ongoing struggles such as harmful air quality.
- 2** Sustainability is defined as ensuring the renewal and distribution of resources that promote and increase individual and community health and well-being. There have been varying perspectives on what sustainability is and encompasses. While the term is most commonly associated with environmental aspects, many within policy circles note its origins with the Brundtland Commission in the 1980s (culminating in the 1987 “Our Common Future”, otherwise known as the Brundtland Report), which focused on sustainability broadly (i.e., not just environmentalism). For instance, Campbell’s 1996 article on the contradictions of sustainable development argued that while the three E’s of sustainability - environmental protection, economic development, and social equity - often seem to be at odds with each other, a reconceptualization of social theory and the role of conflict can help address environmental but also importantly social injustice. Similarly, Bullard (2008) traced the relationship between environmentalism, economics, and equity within the context of social and environmental justice. This idea of economic and social sustainability - including the relationship of both to environmental issues and in particular environmental justice - has been particularly underscored by the COVID-19 pandemic and the 2020 racial justice movement, both of which shed harsh light on what many have acknowledged to be long standing structural inequality. References: World Commission on Environment and Development. (1987). *Our Common Future*. Oxford: Oxford University Press; Campbell, Scott. (1996). *Green Cities, Growing Cities, Just Cities?: Urban Planning and the Contradictions of Sustainable Development*, *Journal of the American Planning Association*, 62:3, 296-312, DOI: 10.1080/01944369608975696; Bullard, Robert D. (2008). *Dumping in Dixie: Race, Class, and Environmental Quality*. 3rd Edition. Boulder, CO: Westview Press.
- 3** This is a framework developed by the Center for Social Innovation-UC Riverside in summer 2018, to enable community concerns to be articulated more systematically (through data), more meaningfully (via community narrative), and in service of strategic action.
- 4** See e.g., Fischel, WA. (2004). *An Economic History of Zoning and a Cure for its Exclusionary Effects*. *Urban Studies*. 41(2), 317-340. doi:10.1080/0042098032000165271; Ellickson, Robert C. (1973). *Alternatives to Zoning: Covenants, Nuisance Rules, and Fines as Land Use Controls*. *The University of Chicago Law Review*. 40(4), 681-781. <https://www.jstor.org/stable/1599220>. Payne, J. M. (2006). *The Paradox of Progress: Three Decades of the Mount Laurel Doctrine*. *Journal of Planning History*, 5(2), 126-147. <https://doi.org/10.1177/1538513206286281>
- 5** See e.g., Baum-Snow, N. (2007). *Did Highways Cause Suburbanization?* *The Quarterly Journal of Economics*, 122(2), 775-805. <http://www.jstor.org/stable/25098858>
- 6** See e.g., Cervero, R. (1989). *Jobs-Housing Balancing and Regional Mobility*, *Journal of the American Planning Association*, 55(2), 136-150, DOI: 10.1080/01944368908976014; Levine, J. (1998). *Rethinking Accessibility and Jobs-Housing Balance*, *Journal of the American Planning Association*, 64(2), 133-149, DOI: 10.1080/01944369808975972
- 7** See e.g., Reisner, M. (1993). *Cadillac Desert: The American West and its Disappearing Water*. Penguin Random House.
- 8** See e.g., Rugh, J. S., & Massey, D. S. (2010). *Racial Segregation and the American Foreclosure Crisis*. *American Sociological Review*, 75(5), 629-651. <https://doi.org/10.1177/0003122410380868>
- 9** See e.g., Massey, D.S. (2015). *The Legacy of the 1968 Fair Housing Act*. *Sociological Forum*, 30, 571-588. <https://doi.org/10.1111/socf.12178>
- 10** See e.g., Spader, J., Rieger, S., Herbert, C., and J. Molinsky. (2017). *Fostering Inclusion in American Neighborhoods*. Joint Center for Housing Studies of Harvard University. https://www.jchs.harvard.edu/sites/default/files/a_shared_future_fostering_inclusion.pdf
- 11** See e.g., Frug, J. (1995-1996). *The Geography of Community*. *Stanford Law Review*. 48, 1047-1108. <https://doi.org/10.2307/1229380>; a rebuttal: Been, V. (1995-1996). *Comment on Professor Jerry Frug’s The Geography of Community Symposium: Surveying Law and Borders*. *Stanford Law Review*. 48, 1109-1114. <https://doi.org/10.2307/1229381>; Rose, M. H. (2003). *Reframing American Highway Politics, 1956-1995*. *Journal of Planning History*, 2(3), 212-236. <https://doi.org/10.1177/1538513203255260>
- 12** See e.g., Mohl, R. A. (2004). *Stop the Road: Freeway Revolts in American Cities*. *Journal of Urban History*, 30(5), 674-706. <https://doi.org/10.1177/0096144204265180>; Mohl, R. A. (2012). *The Expressway Teardown Movement in American Cities: Rethinking Postwar Highway Policy in the Post-Interstate Era*. *Journal of Planning History*, 11(1), 89-103. <https://doi.org/10.1177/1538513211426028>
- 13** Provine, D. M. (2008). *Unequal Under Law: Race in the War on Drugs*. University of Chicago Press.
- 14** For example, child labor in the United States was formally outlawed only in the 1930s.
- 15** For a larger discussion on discrimination in a variety of markets and settings see e.g., Pager, D. and H. Shepherd. (2008). *The Sociology of Discrimination: Racial Discrimination in Employment, Housing, Credit, and Consumer Markets*. *The Annual Review of Sociology*. 34, 181-209. DOI: 10.1146/annurev.soc.33.040406.131740
- 16** See e.g., Chetty, R., Grusky, D., Hell, M., Hendren, N., Manduca, R., and J. Narang. (2016). *The Fading American Dream: Trends in Absolute Income Mobility Since 1940*. Working Paper 22910. National Bureau of Economic Research. <http://www.nber.org/papers/w22910>
- 17** Milkman, R. (2006). *LA story: Immigrant Workers and the Future of the US Labor Movement*. Russell Sage Foundation; De Lara, J. (2018). *Inland shift: Race, Space, and Capital in Southern California*. Univ of California Press.
- 18** See e.g., Bitler, M., and H. Hoynes. (2016). *The More Things Change, the More They Stay the Same? The Safety Net and Poverty in the Great Recession*. *Journal of Labor Economics*. 34(S1), S403-S444.; Chetty, R., Friedman, J., Hendren, N., M. Stepner, and OI Team. (2020). *The Economic Impacts of COVID-19: Evidence from a New Public Database Built Using Private Sector Data*. Opportunity Insights. <https://>

opportunityinsights.org/wp-content/uploads/2020/05/tracker_paper.pdf; Karpman, M. and S. Zuckerman. (2021). Average Decline in Material Hardship during the Pandemic Conceals Unequal Circumstances: Findings from the December 2020 Well-Being and Basic Needs Survey. Urban Institute. https://www.urban.org/sites/default/files/publication/104060/average-decline-in-material-hardship-during-the-pandemic-conceals-unequal-circumstances_0.pdf; Board of Governors of the Federal Reserve System. (2021). Economic Well-Being of U.S. Households in 2020. Federal Reserve System. <https://www.federalreserve.gov/publications/files/2020-report-economic-well-being-us-households-202105.pdf>

19 Millett, G. A., Jones, A. T., Benkeser, D., Baral, S., Mercer, L., Beyrer, C., ... & Sullivan, P. S. (2020). Assessing Differential Impacts of COVID-19 on Black Communities. *Annals of Epidemiology*, 47, 37-44; Hooper, M. W., Nápoles, A. M., & Pérez-Stable, E. J. (2020). COVID-19 and Racial/ethnic Disparities. *JAMA*, 323(24), 2466-2467.

20 See e.g., Noel, N., Pinder, D., Stewart III, S., and J. Wright. (2019). Public Sector Practice: The economic impact of closing the racial wealth gap. McKinsey & Company. <https://www.mckinsey.com/~media/mckinsey/industries/public%20and%20social%20sector/our%20insights/the%20economic%20impact%20of%20closing%20the%20racial%20wealth%20gap/the-economic-impact-of-closing-the-racial-wealth-gap-final.pdf>

21 The White House. (2021, March 31). Briefing Room: Fact Sheet: The American Jobs Plan. The White House Statements and Releases. <https://www.whitehouse.gov/briefing-room/statements-releases/2021/03/31/fact-sheet-the-american-jobs-plan/>

22 Jones, C. (2020). Three Charts Show a K-Shaped Recovery. *Forbes*. <https://www.forbes.com/sites/chuckjones/2020/10/24/three-charts-show-a-k-shaped-recovery/?sh=6ddd1917305f>.

23 Chetty, R., Friedman, J., Hendren, N., M. Stepner, and OI Team. (November 2020). The Economic Impacts of COVID-19: Evidence from a New Public Database Built Using Private Sector Data. Opportunity Insights. https://opportunityinsights.org/wp-content/uploads/2020/05/tracker_paper.pdf

24 Southern California Association of Governments. (2020). Resolution No.20-623-2. https://scag.ca.gov/sites/main/files/file-attachments/rcresolution206232_0.pdf?1605039926.

25 Southern California Association of Governments. (March 2021). Racial Equity: Baseline Conditions Report.

26 Southern California Association of Governments. (December 2020). Regional Briefing Book. <https://scag.ca.gov/sites/main/files/file-attachments/economic-briefing-book-2020.pdf?1606842052>.

27 Misera, L. (October 2020). An Uphill Battle: COVID-19's Outsized Toll on Minority-Owned Firms. Federal Reserve Bank of Cleveland. <https://www.clevelandfed.org/newsroom-and-events/publications/community-development-briefs/db-20201008-misera-report.aspx>

28 Misera, L. (October 2020). An Uphill Battle: COVID-19's Outsized Toll on Minority-Owned Firms. Federal Reserve Bank of Cleveland. <https://www.clevelandfed.org/newsroom-and-events/publications/community-development-briefs/db-20201008-misera-report.aspx>

29 Dalton, M., et al. (2021). The K-Shaped Recovery: Examining the Diverging Fortunes of Workers in the Recovery from the COVID-19 Pandemic using Business and Household Survey Microdata. US bureau of Labor Statistics, Office of Survey Methods Research. <https://www.bls.gov/osmr/research-papers/2021/ec210020.htm>

30 Southern California Association of Governments. (2020). 2020 Economic Summit Briefing Book.

31 Southern California Association of Governments. (2020). 2020 Economic Summit Briefing Book.

32 Lui, A. (2016). Remaking Economic Development: The Markets and Civics of Continuous Growth and Prosperity. The Brookings Institution, Metropolitan Policy Program. <https://www.brookings.edu/research/remaking-economic-development-the-markets-and-civics-of-continuous-growth-and-prosperity/>

33 Landy, B. (August 28, 2013). A Tale of Two Recoveries: Wealth Inequality After the Great Recession. The Century Foundation. <https://tcf.org/content/commentary/a-tale-of-two-recoveries-wealth-inequality-after-the-great-recession/>

34 Turner, A. (2018). The Business Case for Racial Equity, a strategy for growth. W.K. Kellogg Foundation. <https://www.wkcf.org/resource-directory/resources/2018/07/business-case-for-racial-equity#:~:text=The%20United%20States%20economy%20could.growth%20of%200.5%20percent%20per>

35 Turner, A. (2018). The Business Case for Racial Equity, a strategy for growth. W.K. Kellogg Foundation. <https://www.wkcf.org/resource-directory/resources/2018/07/business-case-for-racial-equity#:~:text=The%20United%20States%20economy%20could.growth%20of%200.5%20percent%20per>

36 Detailed findings are reported in the Appendix to this report.

37 Paul Sommers and Drew Osborne, "Middle-Wage Jobs in Metropolitan America," Brookings, June 2009.

38 Lui, A. (2016). Remaking Economic Development: The Markets and Civics of Continuous Growth and Prosperity. The Brookings Institution, Metropolitan Policy Program. <https://www.brookings.edu/research/remaking-economic-development-the-markets-and-civics-of-continuous-growth-and-prosperity/>



MAIN OFFICE

900 Wilshire Blvd., Ste. 1700,
Los Angeles, CA 90017
Tel: (213) 236-1800

REGIONAL OFFICES

IMPERIAL COUNTY

1503 North Imperial Ave., Ste. 104
El Centro, CA 92243
Tel: (213) 236-1967

ORANGE COUNTY

OCTA Building
600 South Main St., Ste. 741
Orange, CA 92868
Tel: (213) 236-1997

RIVERSIDE COUNTY

3403 10th St., Ste. 805
Riverside, CA 92501
Tel: (951) 784-1513

SAN BERNARDINO COUNTY

1170 West 3rd St., Ste. 140
San Bernardino, CA 92410
Tel: (213) 236-1925

VENTURA COUNTY

4001 Mission Oaks Blvd., Ste. L
Camarillo, CA 93012
Tel: (213) 236-1960

scag.ca.gov



Southern California Association of Governments
Remote Participation Only
June 30, 2021

**MINUTES OF THE MEETING
EXECUTIVE/ADMINISTRATION COMMITTEE (EAC)
WEDNESDAY, JUNE 2, 2021**

THE FOLLOWING MINUTES IS A SUMMARY OF ACTIONS TAKEN BY THE EXECUTIVE/ADMINISTRATION COMMITTEE (EAC). A VIDEO AND AUDIO RECORDING OF THE ACTUAL MEETING IS AVAILABLE AT: <http://scag.iqm2.com/Citizens/>

The Executive/Administration Committee (EAC) of the Southern California Association of Governments (SCAG) held its special meeting telephonically and electronically, given public health directives limiting public gatherings due to the threat of COVID-19 and in compliance with the Governor’s Executive Order N-29-20. A quorum was present.

Members Present

Hon. Clint Lorimore, President	<i>Eastvale</i>	District 4
Hon. Jan Harnik, 1st Vice President		RCTC
Hon. Carmen Ramirez, 2nd Vice President		Ventura County
Hon. Rex Richardson, Imm. Past President	<i>Long Beach</i>	District 29
Hon. Jorge Marquez, Chair, CEHD	<i>Covina</i>	District 33
Hon. Frank Yokoyama, Vice Chair, CEHD	<i>Cerritos</i>	District 23
Hon. David Pollock, Chair, EEC	<i>Moorpark</i>	District 46
Hon. Deborah Robertson, Vice Chair, EEC	<i>Rialto</i>	District 8
Hon. Sean Ashton, Chair, TC	<i>Downey</i>	District 25
Hon. Art Brown, Vice Chair, TC	<i>Buena Park</i>	District 21
Hon. Alan D. Wapner, Chair, LCMC		SBCTA
Hon. Peggy Huang, Vice Chair, LCMC		TCA
Hon. Kathryn Barger, President’s Appt.		Los Angeles County
Hon. Larry McCallon, President’s Appt.	<i>Highland</i>	District 7
Hon. Cheryl Viegas-Walker, President’s Appt.	<i>El Centro</i>	District 1
Hon. Donald P. Wagner, President’s Appt.		Orange County
Hon. Andrew Masiel, Sr.	<i>Pechanga Dev. Corp.</i>	TGRPB Representative
Mr. Randall Lewis, Ex-officio	<i>Lewis Group of Companies</i>	Business Representative

Staff Present

Kome Ajise, Executive Director
Darin Chidsey, Chief Operating Officer



Debbie Dillon, Chief Strategy Officer
Cindy Giraldo, Chief Financial Officer
Sarah Jepson, Director of Planning
Carmen Flores, Human Resources Director
Javiera Cartagena, Acting Director of Policy and Public Affairs
Julie Shroyer, Chief Information Officer
Michael Houston, Chief Counsel, Director of Legal Services
Ruben Duran, Board Counsel
Maggie Aguilar, Clerk of the Board

CALL TO ORDER AND PLEDGE OF ALLEGIANCE

The Honorable Clint Lorimore called the meeting to order at 3:01 p.m. and asked Larry McCallon, Highland, District 7, to lead the Pledge of Allegiance.

Given the public health directives limiting gatherings due to COVID-19, President Lorimore announced the meeting was being held telephonically and electronically in compliance with the Governor’s Executive Orders.

PUBLIC COMMENT PERIOD

President Lorimore opened the Public Comment Period and outlined instructions for public comments.

He reminded the public to submit comments via email to ePublicComment@scag.ca.gov. Staff acknowledged there were no public comments received by email.

Seeing no public comment speakers, President Lorimore closed the Public Comment Period.

REVIEW AND PRIORITIZE AGENDA ITEMS

There was no prioritization of agenda items.

CONSENT CALENDAR

Approval Items

1. Minutes of the Meeting – May 5, 2021
-

2. Proposed Amendments to the Regional Council Policy Manual Relating to Teleconferenced Meetings
3. Contracts Amendment \$75,000 or Greater: Contract No. 18-028-C01, Regional Transportation Plan (RTP) Implementation and Technical Assistance Services, Amendment No. 5
4. Contracts \$200,000 or Greater: Contract No. 21-042-C01, Los Angeles Department of Transportation (LADOT) Senate Bill 743 (SB 743) Vehicle Miles Traveled (VMT) Mitigation Assistance Program
5. Contracts \$200,000 or Greater: Contract No. 21-044-C01, Curb Space Management Study

Receive and File

6. Purchase Orders \$5,000 - \$199,999; Contracts \$25,000 - \$199,999 and Amendments \$5,000 - \$74,999
7. CFO Monthly Report

Immediate Past President Alan Wapner, SBCTA, requested to pull Items 3 and 4 of the Consent Calendar and Regional Councilmember Peggy Huan, TCA requested to pull Item 4 of the Consent Calendar.

A MOTION was made (Brown) to approve Consent Calendar, Items 1, 2 and 5; Receive and File Item 6 and 7. Motion was SECONDED (Barger) and passed by the following votes:

AYES: Ashton, Barger, Brown, Harnik, Huang, Lorimore, Marquez, McCallon, Pollock, Ramirez, Richardson, Viegas-Walker, Wagner, Wapner and Yokoyama (15)

NOES: None (0)

ABSTAIN: Ashton, Barger, and Wagner (Agenda Item No. 1 only) (3)

Regional Councilmember Alan Wapner, SBCTA, expressed concern about Agenda Item 3, specifically about the additional increase in contract value. He stated he always had concerns with change orders or additional increases, not to say they are not warranted, but still concerning.

Regional Councilmember Cheryl Viegas-Walker asked is staff could provide an explanation on this and how they can better track this to ensure that these increases do not occur again.

Executive Director Kome Ajise stated the points were well taken. He indicated this was just the

expansion of the work to get us to conclude the implementation activities on the 2020 plan. He expressed that part of what was happening here was the additional scope was related to the shifting landscape with COVID-19.

Chief Financial Officer Cindy Giraldo stated that this project was impacted by COVID-19 on the financial model that was being done so it made a lot of sense for the same consultant to keep doing the work. She indicated that bringing in somebody new would have required a complete rework and in the long run would have been more expensive for the agency.

Regional Councilmember Wapner stated that maybe staff needed to do a better job of projecting what the true cost might be and what the scope will be.

With no additional comments on Agenda Item 3, President Lorimore called on Regional Councilmember Peggy Huang, TCA, to provide comments on Agenda Item 4.

Regional Councilmember Huang expressed concern that under the subconsultant section of the contract the legal firm was the same as SCAG's Board Counsel, in this case Best, Best & Krieger (BB&K). She indicated that there was the appearance of a conflict of interest. She asked what would happen if there was a legal dispute.

Chief Counsel Michael Houston stated that in review of the agenda packet he had noticed this, and his perspective was that BB&K, as a subconsultant, was still going to be functioning as SCAG's lawyer, as a subconsultant, so any of the fiduciary duties that they have or any of the potential conflicts of interest they may have with respect to various clients, would be no different than the current status. He stated that from an ethical standpoint, from a conflicts of interest standpoint with ethics, he personally did not see there being a conflict in that regard because they would still be functioning as our lawyer.

Executive Director Kome Ajise expressed that he thought the part of where they might perceive a conflict is in the process of procurement, but Board counsel was not involved on that side and that was exclusively under the purview of Chief Counsel. He stated there was a separation and they also had to remember that BB&K also represented several of their jurisdictions and SCAG had managed those potential conflicts.

Regional Councilmember Huang stated she understood the part where they represent various jurisdictions, but this was a work order. She stated that if they have a conflict with this vendor, BB&K would be representing two of the two entities on both sides of the aisle, and she saw it as problematic.

Board Counsel Ruben Duran thanked Regional Councilmember Huang for raising the issue and just wanted to let the EAC members know that if they were more comfortable with him stepping away from the meeting for this part of the conversation, he was happy to do so or he could also stay and answer their questions to the extent that he could and had knowledge. He indicated they were the client and if they raised a question about a potential conflict, he certainly wanted to assuage their concerns.

Regional Councilmember Wapner stated he shared the same concern as Regional Councilmember Peggy Huang. He stated that he had worked with BB&K for over 25 years, and I knew that they were of the utmost ethics. He stated he did not understand what Chief Counsel Houston was saying about representing our interests on both sides, but they were being paid by the prime. He asked if a waiver of conflict was required in which they had to disclose a potential conflict because they represented both clients.

Chief Counsel Houston stated his understanding was that the work that was being performed pursuant to the master contract was all for the benefit of SCAG and that the work BB&K was performing was a component of the work being performed for SCAG.

President Lorimore asked Chief Counsel Houston whether Board Counsel should step out of the room.

Second Vice President Carmen Ramirez, Ventura County, stated that Mr. Duran would feel more comfortable if he stepped out. Board Counsel Ruben Duran step out of the meeting.

Regional Councilmember Cheryl Viegas-Walker stated she agreed with both Regional Councilmembers Huang and Wapner and as an alternative asked if they were comfortable with this proposal, that they tell the vendor that they were going to award the contract, but they would have to swap out BB&K for the same price with the same quality firm. Executive Director Kome Ajise stated it was the prerogative of the EAC to decide how they move forward with this.

Immediate Past President Rex Richardson, Long Beach District 29, asked Executive Director Ajise if there was a conflict of interest.

Executive Director Ajise stated that they did not perceive a conflict of interest. He stated he thought that where they would find a potential conflict is if BB&K had been part of our procurement process. He indicated BB&K was not part of our procurement process which went through our Chief Counsel.

Immediate Past President Rex Richardson stated he has had to deal with this in Long Beach and they have their own city attorney, but also have some of the larger firms on a panel that they use

on different issues. He stated he did not see a conflict and was comfortable with it, but it was ultimately up to the board.

Second Vice President Ramirez asked what the consequences of them not voting for this contract at this time would be and if it was time sensitive to get this done.

Chief Financial Officer Giraldo stated that the proposal that was recommended regarding allowing them to substitute that subconsultant was an option and that was probably the way they could move forward most quickly.

Regional Councilmember Sean Ashton, Downey, District 25, stated he agreed with the majority of the comments that had been made and thought this was an easy fix to just sub somebody out. He proceeded to make the motion that they approve the contract with the requirement that they sub out the attorney for another firm.

President Lorimore stated that as part of that motion they also had Agenda Item 3.

Regional Councilmember Ashton amended his motion to approve Agenda Items 3 and 4, with the requirement that the switch the attorney firm.

A MOTION was made (Ashton) to approve Consent Calendar Items 3 and 4, with a modification on Agenda Item No. 4 to substitute the identified legal firm listed under the subconsultants with another firm to be selected and not to exceed the identified cost. Motion was SECONDED (Huang) and passed by the following votes:

AYES: Ashton, Barger, Brown, Harnik, Huang, Lorimore, Marquez, McCallon, Pollock, Ramirez, Richardson, Robertson, Viegas-Walker, Wagner, Wapner and Yokoyama (16)

NOES: None (0)

ABSTAIN: None (0)

CFO REPORT

Chief Financial Officer Cindy Giraldo highlighted that SCAG received from HCD the draft amendment to the Regional Early Action Plan (REAP) standard agreement for \$35.6 million, which brought the total approved REAP funding to the full value of \$47.4 million. She indicated that SCAG has 30 days to review the agreement and provide feedback. As per the provisions outlined in the amendment, she reported the expenditure period for these newly approved funds begins August 19. She stated that to wrap up the fiscal year 2021-2022 budgets, staff had submitted the council approved overall work program to Caltrans and expected to receive final state and federal approval by June 30. As for

membership dues, she announced that SCAG had collected all the expected dues for the current fiscal year and had begun the process of preparing invoices for fiscal year 2021-2022 and agencies could expect to receive these invoices within the next two weeks.

PRESIDENT'S REPORT

President Lorimore announced the EAC Presidential appointments and welcomed Supervisor Katherine Barger from Los Angeles County; Supervisor Donald Wagner from Orange County; Larry McCallon from Highland, District 7; and Cheryl Viegas-Walker, El Centro, District 1. He reported that an EAC retreat was taking place on Thursday, June 24 at the Mission Inn in Riverside, where they were going to spend some time together working collaboratively through a strategic discussion planning for the year ahead. He noted there was a facilitator that was trying to set up time with them to talk through some of what they were going to discuss at the retreat. Lastly, he reminded the EAC members that the next meeting of the EAC was scheduled for Wednesday, June 30, 2021, at 3:00 p.m.

EXECUTIVE DIRECTOR'S REPORT

Executive Director Kome Ajise reported they were beginning to see some reporting out of the 2020 Census and had updated estimates from the Department of Finance. One of the indications that they saw in the census was that California grew by about 6.1% in the 2010's, but slightly below the U.S. growth rate of 7.4%, which was one of the reasons they lost a seat at the state. He stated that during the year 2020, the state and SCAG lost about half of a percent of its population, attributable to the pandemic in some way. He reported the region managed to grow its housing stock by 0.7%, which was a lot better than what we had in 2019. He stated we had great opportunities to look more closely at long term sustainability and equity in our communities here in Southern California.

Lastly, he reported SCAG would be hosting the 32nd annual SCAG-USC Demographic workshop, which would again take place over two afternoons over ZOOM, on Tuesday June 8th and Tuesday June 15th. He indicated the theme for this year was the post pandemic city and that day one would feature a demographic checkup panel, which would dive into results from the 2020 Census and information on migration and population change, in addition to a collaborative panel with the USC's Schaeffer Center for Health Policy and Economics discussing the unequal impacts of COVID-19. Day two would include a keynote address by Tiffany Chu, founder of REMIX, and a panel on the future of the workforce, transportation, and housing. He further stated that each day would end with three roundtables for participants to learn more and sharpen their skills, and that this year's workshops would provide some insight as we launch into our 2024 Regional Plan.

FUTURE AGENDA ITEMS

Deborah Robertson stated she emailed staff a draft resolution that she's hoping the Regional Council will consider acting on in July. She stated that Congresswoman Norma Torres, introduced a

bill in support of the Myles Edward Scott Act, legislation to address the illicit recreational use of nitrous oxide. She reported they have been working to get some action in Congress that begins to better address and regulate this product that is not for public consumption. She indicated law enforcement was supportive and she was hoping to get support from the Regional Council on this health and human services issue.

ANNOUNCEMENTS

There were no announcements.

CLOSED SESSION

Ruben Duran, Board Counsel, announced Closed Session discussion on Public Employment, pursuant to California Government Code Section 54957(b)(1), Public Employee Performance Evaluation of the Executive Director.

President Lorimore recessed the EAC into Closed Session.

Public Employment

Pursuant to California Government Code Section 54957(b)(1)
Public Employee Performance Evaluation
Title: Executive Director

The Open Session reconvened.

President Lorimore asked if there were any public comments on the closed session. Seeing none, he closed the public comment period.

Ruben Duran, Board Counsel, reported that EAC members conducted a Performance Evaluation of the Executive Director and there was no reportable action.

ADJOURNMENT

There being no further business, President Lorimore adjourned the Regular Meeting of the EAC at 5:01 p.m.

[MINUTES ARE UNOFFICIAL UNTIL APPROVED BY THE EAC]

//

Executive / Administration Committee Attendance Report

2021-22															
MEMBERS	CITY	Representing	JUN	JULY	AUG	SEPT	OCT	NOV	DEC	JAN	FEB	MAR	APR	MAY	Total Mtgs Attended To Date
Hon. Clint Lorimore, President	Eastvale	District 4	1												1
Hon. Jan Harnik, Chair, 1st Vice Chair		RCTC	1												1
Sup. Carmen Ramirez, 2nd Vice Chair		Ventura County	1												1
Hon. Rex Richardson, Imm. Past President	Long Beach	District 29	1												1
Hon. Jorge Marquez, Chair, CEHD	Covina	District 33	1												1
Hon. Frank Yokoyama, Vice Chair, CEHD	Cerritos	District 23	1												1
Hon. David Pollock, Chair, EEC	Moorpark	District 46	1												1
Hon. Deborah Roberston, Vice Chair, EEC	Rialto	District 8	1												1
Hon. Sean Ashton, Chair, TC	Downey	District 25	1												1
Hon. Art Brown, Vice Chair, TC	Buena Park	District 21	1												1
Hon. Alan Wapner, Chair, LCMC		SBCTA	1												1
Hon. Peggy Huang, Vice Chair, LCMC		TCA	1												1
Sup. Kathryn Barger, President's Appt.		Los Angeles County	1												1
Hon. Larry McCallon, President's Appt.	Highland	District 7	1												1
Hon. Cheryl Viegas-Walker, President's Appt.	El Centro	District 1	1												1
Sup. Donald P. Wagner, President's Appt.		Orange County	1												1
Hon. Andrew Masiel, Sr.	Pechanga Dev. Corporation	Tribal Government Regional Planning Board	1												1
Mr. Randall Lewis, Ex-Officio Member	Lewis Group of Companies	Business Representative	1												1
			18	0	0	0	0	0	0	0	0	0	0	0	18

Attachment: EAC Attendance Sheet 2021-22 (Minutes of the Meeting - June 2, 2021)



**AGENDA ITEM 3
REPORT**

Southern California Association of Governments
Remote Participation Only
June 30, 2021

To: Executive/Administration Committee (EAC)
Regional Council (RC)

From: Tom Philip, Manager, Acting Chief Financial Officer
(213) 236-1951, philip@scag.ca.gov

Subject: Contracts \$200,000 or Greater: Contract No. 21-017-C01, Supporting
Infrastructure for Zero Emission Heavy Duty Vehicles

**EXECUTIVE DIRECTOR'S
APPROVAL**

RECOMMENDED ACTION:

Approve Contract No. 21-017-C01 in an amount not to exceed \$1,134,726 with Gladstein, Neandross & Associates, LLC to initiate a planning study to help envision a regional network of zero emission charging and fueling infrastructure. Authorize the Executive Director, or his designee, pursuant to legal counsel review, to execute the contract on behalf of SCAG.

STRATEGIC PLAN:

This item supports the following Strategic Plan Goal 1: Produce innovative solutions that improve the quality of life for Southern Californians.

EXECUTIVE SUMMARY:

The consultant will support the development of zero emission charging and fueling infrastructure by helping to better understand what is needed, where, how to go about it, the roles of critical stakeholders, and how to create a regional action plan to develop it. Up to 10 sites will be selected to provide a closer look at the needs of deploying an individual station.

BACKGROUND:

Staff recommends executing the following contract \$200,000 or greater:

<u>Consultant/Contract #</u>	<u>Contract Purpose</u>	<u>Contract Amount</u>
Gladstein, Neandross, & Associates, LLC. (21-017-C01)	This study will support the development of this infrastructure by helping to better understand what is needed, where, how to go about it, the roles of critical stakeholders, and how to create a regional action plan to develop it. Up to 10 sites will be selected to provide a closer look at the needs of deploying an individual station.	\$1,134,726



FISCAL IMPACT:

Funding of \$593,000 is available in the Fiscal Year (FY) 2021-2022 Overall Work Program (OWP) in Project Number 130-0162B.18.

ATTACHMENT(S):

1. Contract Summary 21-017-C01
2. Contract Summary 21-017-C01 COI

CONSULTANT CONTRACT NO. 21-017-C01

Recommended Consultant:

Gladstein, Neandross & Associates, LLC

Background & Scope of Work:

SCAG is about to initiate a planning study to help envision a regional network of zero emission charging and fueling infrastructure. This study will create a phased blueprint and action plan towards realizing this goal, and answer key questions about how stations in the region may operate to serve different truck markets and business functions. Though convened by SCAG, this study will be guided by a Technical Advisory Committee of key stakeholders, who will ultimately be instrumental in implementing this plan. Details related to the quantity, distribution and characteristics of charging and fueling stations will be quantified to the extent possible to help visualize and plan for infrastructure needs and investments.

In light of regional and state goals and mandates to improve regional air quality and reduce GHG emissions, Connect So Cal, the region’s 2020 Regional Transportation Plan and Sustainable Communities Strategy, includes a technology advancement plan for the regional goods movement system, as well as action steps to be taken by SCAG and our regional partners. This includes a focus on the long-term goal of a zero emission goods movement system where technically feasible and economically viable, while also integrating near-zero emissions technologies that serve as bridging options to continue to reduce emissions below current levels.

This study will support the development of this infrastructure by helping to better understand what is needed, where, how to go about it, the roles of critical stakeholders, and to create a regional action plan to develop it. Up to 10 sites will be selected to provide a closer look at the needs of deploying an individual station.

Project’s Benefits & Key Deliverables:

The project’s benefits and key deliverables include, but are not limited to:

1. Determining the demand for stations based on truck market operational characteristics and travel behavior and assessing the potential supply of land for stations;
2. Planning the distribution of future stations throughout the region based on a variety of factors, (travel demand, need for public, private, and privately accessible stations, power and fueling supply, potential impacts to surrounding communities, and other factors to yet to be determined);
3. A micro-level site assessment for roughly 10 stations to understand the factors to get one up and running; and
4. A regional action plan supported by participating stakeholders showing the extent of the needed infrastructure and a sequence for phased development and recommendations that partners can take to facilitate the goal of a regional charging and fueling network.

Strategic Plan:

This item supports SCAG’s Strategic Plan Goal #1: Produce innovative solutions that improve the quality of life for Southern Californians.

Contract Amount:

Total not to exceed

\$1,134,726

Gladstein, Neandross & Associates, LLC (prime consultant)	\$699,550
Iteris (subconsultant)	\$137,474
Willdan Engineering (subconsultant)	\$32,678
Willdan Energy Solutions(subconsultant)	\$54,792
Willdan 360 (subconsultant)	\$25,314
Willdan E3 (subconsultant)	\$37,212
Casamar Group, LLC	\$147,706

Contract Period: Notice to Proceed through August 31, 2023

Project Number(s): 130-0162B.18 \$1,134,726

Funding source: Federal Transit Administration, Section 5303 (FTA 5303)

Funding of \$400,000 is available in the FY 2021-22 budget, and the remaining \$734,726 is expected to be available in the FY 2022-23 budget in Project Number 130—0162.18, subject to budget availability.

Request for Proposal (RFP): SCAG staff notified 3,020 firms of the release of RFP 21-017-C01 via SCAG’s Solicitation Management System website. A total of 59 firms downloaded the RFP. SCAG received the following six (6) proposals in response to the solicitation:

Gladstein, Neandross & Associates, LLC (63 subconsultants)	\$1,134,726
CPCS Transcom, Inc. – (3 subconsultants)	\$498,705
Arcadis U.S. Inc. – (3 subconsultants)	\$763,215
OJI Califco Consortium Inc.- (1subconsultant)	\$843,492
Guidehouse Inc. (1 subconsultant)	\$876,437
Cambridge Systematic, Inc. (4 subconsultants)	\$1,155,632

Selection Process: The Proposal Review Committee (PRC) evaluated each proposal in accordance with the criteria set forth in the RFP, and conducted the selection process in a manner consistent with all applicable federal and state contracting regulations. After evaluating the proposals, the PRC interviewed the four (4) highest ranked offerors.

The PRC consisted of the following individuals:

Alison Linder, (Project Manager), Senior Regional Planner, SCAG
Morgan Caswell, Manager of Air Quality Practices, Port of Long Beach
Annie Nam, Manager of Goods Movement and Transportation Finance, SCAG
James Shankel, Senior Freight Planning Specialist, Caltrans

Basis for Selection: The PRC recommended Gladstein, Neandross & Associates, LLC for the contract award because the consultant:

- Demonstrated the best understanding of the project, specifically, the importance of pulling critical stakeholders together to support and contribute to the work of the study, and a focus on ultimate implementation. The goal for this study is that it creates a robust roadmap to implementation/installation of the zero emission infrastructure needed to support regional medium and heavy duty trucks. This will require the buy in of many public and private

stakeholders throughout the region. Knowledge of this need was demonstrated through proposing an iterative outreach process with specific reference to critical stakeholders;

- Provided a sound technical approach that integrated in-depth engagement with freight industry stakeholders to obtain input needed for technical analyses. Their outreach approach focused on both communicating our work and pulling input from appropriate stakeholders; and
- Demonstrated the most experience with evaluation and implementation of zero emission technologies for medium and heavy duty trucks.

Out of six (6) firms that proposed, four were interviewed. Of those interviewed, two firms had a lower price than the selected firm. The PRC did not recommend these firm(s) for contract award because these firm(s):

- Did not clearly demonstrate a sufficient level of effort, primarily in the form of staff hours, to satisfactorily complete the tasks in the Scope of Work;
- Did not demonstrate a level of experience and familiarity with the material equivalent with the selected firm. Compared to lower priced firms, the selected firm had the most experience and knowledge of electrification and application of zero emission technologies in the freight sector. They also had knowledge of goods movement operations, particularly for medium and heavy-duty trucks. The unselected lower priced firms had experience with electrification of other modes such as passenger and transit, but lacked expertise with electrification of medium and heavy duty trucks. Additionally, they demonstrated less knowledge about goods movement operations in southern California than the selected firm. In addition to a review of their credentials, resumes, and provided list of relevant experiences, this was demonstrated by lacking references to other ongoing regional zero emission efforts for medium and heavy duty trucks, and lacking references to key stakeholders that would need to be included in the outreach process; and
- Did not propose a technical approach that fully met the RFP scope. The data sources proposed by these firms were less robust and comprehensive than that of the selected firm. A model will be created in this study and will require data from several sources in order to deliver the best results. The selected firm demonstrated more knowledge of the options available and an ability to integrate data from multiple sources.

**Conflict of Interest (COI) Form - Attachment
For July 1, 2021 Regional Council Approval**

Approve Contract No. 21-017-C01 in an amount not to exceed \$1,134,726 with Gladstein, Neandross & Associates, LLC to initiate a planning study to help envision a regional network of zero emission charging and fueling infrastructure. Authorize the Executive Director, or his designee, pursuant to legal counsel review, to execute the contract on behalf of SCAG.

The consultant team for this contract includes:

Consultant Name	Did the consultant disclose a conflict in the Conflict of Interest Form they submitted with its original proposal (Yes or No)?
Gladstein, Neandross & Associates, LLC (prime consultant)	No - form attached
Iteris, Inc. (subconsultant)	No - form attached
Willdan Engineering Willdan Energy Solutions Willdan 360 Willdan E3	No - form attached
Casamar Group, LLC (subconsultant)	No - form attached

SCAG CONFLICT OF INTEREST FORM

RFP No. 21-017

SECTION I: INSTRUCTIONS

All persons or firms seeking contracts must complete and submit a SCAG Conflict of Interest Form along with the proposal. This requirement also applies to any proposed subconsultant(s). Failure to comply with this requirement may cause your proposal to be declared non-responsive.

In order to answer the questions contained in this form, please review SCAG's Conflict of Interest Policy, the list of SCAG employees, and the list of SCAG's Regional Council members. All three documents can be viewed online at www.scag.ca.gov. The SCAG Conflict of Interest Policy is located under "OPPORTUNITIES", then "Doing Business with SCAG" and scroll down under the "CONTRACTS" tab; whereas the SCAG staff may be found under "ABOUT" then "Employee Directory"; and Regional Council members can be found under "ABOUT", then scroll down to "ELECTED OFFICIALS" on the left side of the page and click on "See the list of SCAG representative and their Districts."

Any questions regarding the information required to be disclosed in this form should be directed to SCAG's Deputy Legal Counsel, especially if you answer "yes" to any question in this form, as doing so MAY also disqualify your firm from submitting an offer on this proposal

Name of Firm: Gladstein, Neandross & Associates, LLC
Name of Preparer: Erik Neandross
Project Title: Supporting Infrastructure for Zero-Emission Medium and Heavy-Duty Truck Study
RFP Number: (RFP) No. 21-017 Date Submitted: 11/16/2020

SECTION II: QUESTIONS

1. During the last twelve (12) months, has your firm provided a source of income to employees of SCAG or members of the SCAG Regional Council, or have any employees or Regional Council members held any investment (including real property) in your firm?

YES NO

If "yes," please list the names of those SCAG employees and/or SCAG Regional Council members and the nature of the financial interest:

Name	Nature of Financial Interest
_____	_____
_____	_____
_____	_____
_____	_____

2. Have you or any members of your firm been an employee of SCAG or served as a member of the SCAG Regional Council within the last twelve (12) months?

YES NO

If "yes," please list name, position, and dates of service:

Name	Position	Dates of Service
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

3. Are you or any managers, partners, or officers of your firm related by blood or marriage/domestic partnership to an employee of SCAG or member of the SCAG Regional Council that is considering your proposal?

YES NO

If "yes," please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____
_____	_____

4. Does an employee of SCAG or a member of the SCAG Regional Council hold a position at your firm as a director, officer, partner, trustee, employee, or any position of management?

YES NO

If "yes," please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____
_____	_____

5. Have you or any managers, partners, or officers of your firm ever given (directly or indirectly), or offered to give on behalf of another or through another person, campaign contributions or gifts to any current employee of SCAG or member of the SCAG Regional Council (including contributions to a political committee created by or on behalf of a member/candidate)?

YES NO

If "yes," please list name, date gift or contribution was given/offered, and dollar value:

Name	Date	Dollar Value
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

SECTION III: VALIDATION STATEMENT

This Validation Statement must be completed and signed by at least one General Partner, Owner, Principal, or Officer authorized to legally commit the proposer.

DECLARATION

I, (printed full name) Erik Neandross, hereby declare that I am the (position or title) Chief Executive Officer of (firm name) Gladstein, Neandross & Associates, LLC, and that I am duly authorized to execute this Validation Statement on behalf of this entity. I hereby state that this SCAG Conflict of Interest Form dated 11/15/2020 is correct and current as submitted. I acknowledge that any false, deceptive, or fraudulent statements on this Validation Statement will result in rejection of my contract proposal.



Signature of Person Certifying for Proposer
(original signature required)

11/14/2020

Date

NOTICE

A material false statement, omission, or fraudulent inducement made in connection with this SCAG Conflict of Interest Form is sufficient cause for rejection of the contract proposal or revocation of a prior contract award.

SCAG CONFLICT OF INTEREST FORM

RFP No. 21-017

SECTION I: INSTRUCTIONS

All persons or firms seeking contracts must complete and submit a SCAG Conflict of Interest Form along with the proposal. This requirement also applies to any proposed subconsultant(s). Failure to comply with this requirement may cause your proposal to be declared non-responsive.

In order to answer the questions contained in this form, please review SCAG’s Conflict of Interest Policy, the list of SCAG employees, and the list of SCAG’s Regional Council members. All three documents can be viewed online at www.scag.ca.gov. The SCAG Conflict of Interest Policy is located under “OPPORTUNITIES”, then “Doing Business with SCAG” and scroll down under the “CONTRACTS” tab; whereas the SCAG staff may be found under “ABOUT” then “Employee Directory”; and Regional Council members can be found under “ABOUT”, then scroll down to “ELECTED OFFICIALS” on the left side of the page and click on “See the list of SCAG representative and their Districts.”

Any questions regarding the information required to be disclosed in this form should be directed to SCAG’s Deputy Legal Counsel, especially if you answer “yes” to any question in this form, as doing so MAY also disqualify your firm from submitting an offer on this proposal

Name of Firm: Iteris, Inc.

Name of Preparer: Ramin Massoumi, PE

Project Title: Supporting Infrastructure for Zero-Emission Medium and Heavy-Duty Truck Study

RFP Number: (RFP) No. 21-017 **Date Submitted:** November 10, 2020

SECTION II: QUESTIONS

1. During the last twelve (12) months, has your firm provided a source of income to employees of SCAG or members of the SCAG Regional Council, or have any employees or Regional Council members held any investment (including real property) in your firm?

YES NO

If “yes,” please list the names of those SCAG employees and/or SCAG Regional Council members and the nature of the financial interest:

Name	Nature of Financial Interest
_____	_____
_____	_____
_____	_____
_____	_____

2. Have you or any members of your firm been an employee of SCAG or served as a member of the SCAG Regional Council within the last twelve (12) months?

YES NO

If "yes," please list name, position, and dates of service:

Name	Position	Dates of Service
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

3. Are you or any managers, partners, or officers of your firm related by blood or marriage/domestic partnership to an employee of SCAG or member of the SCAG Regional Council that is considering your proposal?

YES NO

If "yes," please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____
_____	_____

4. Does an employee of SCAG or a member of the SCAG Regional Council hold a position at your firm as a director, officer, partner, trustee, employee, or any position of management?

YES NO

If "yes," please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____
_____	_____

5. Have you or any managers, partners, or officers of your firm ever given (directly or indirectly), or offered to give on behalf of another or through another person, campaign contributions or gifts to any current employee of SCAG or member of the SCAG Regional Council (including contributions to a political committee created by or on behalf of a member/candidate)?

YES NO

If "yes," please list name, date gift or contribution was given/offered, and dollar value:

Name	Date	Dollar Value
_____	_____	_____
_____	_____	_____
_____	_____	_____

SECTION III: VALIDATION STATEMENT

This Validation Statement must be completed and signed by at least one General Partner, Owner, Principal, or Officer authorized to legally commit the proposer.

DECLARATION

I, (printed full name) Ramin Massoumi, **hereby declare that I am the (position or title)** Senior Vice President & General Manager **of (firm name)** Iteris, Inc., **and that I am duly authorized to execute this Validation Statement on behalf of this entity. I hereby state that this SCAG Conflict of Interest Form dated November 10, 2020 **is correct and current as submitted. I acknowledge that any false, deceptive, or fraudulent statements on this Validation Statement will result in rejection of my contract proposal.****



Signature of Person Certifying for Proposer
(original signature required)

November 10, 2020

Date

NOTICE

A material false statement, omission, or fraudulent inducement made in connection with this SCAG Conflict of Interest Form is sufficient cause for rejection of the contract proposal or revocation of a prior contract award.

SCAG CONFLICT OF INTEREST FORM

RFP No. 21-017

SECTION I: INSTRUCTIONS

All persons or firms seeking contracts must complete and submit a SCAG Conflict of Interest Form along with the proposal. This requirement also applies to any proposed subconsultant(s). Failure to comply with this requirement may cause your proposal to be declared non-responsive.

In order to answer the questions contained in this form, please review SCAG’s Conflict of Interest Policy, the list of SCAG employees, and the list of SCAG’s Regional Council members. All three documents can be viewed online at www.scag.ca.gov. The SCAG Conflict of Interest Policy is located under “OPPORTUNITIES”, then “Doing Business with SCAG” and scroll down under the “CONTRACTS” tab; whereas the SCAG staff may be found under “ABOUT” then “Employee Directory”; and Regional Council members can be found under “ABOUT”, then scroll down to “ELECTED OFFICIALS” on the left side of the page and click on “See the list of SCAG representative and their Districts.”

Any questions regarding the information required to be disclosed in this form should be directed to SCAG’s Deputy Legal Counsel, especially if you answer “yes” to any question in this form, as doing so MAY also disqualify your firm from submitting an offer on this proposal

Name of Firm: Willdan Energy Solutions

Name of Preparer: Jonathon W. Stage

Project Title: Supporting Infrastructure for Zero-Emission Medium and Heavy-Duty Truck Study

RFP Number: No. 21-017 **Date Submitted:** November 16, 2020

SECTION II: QUESTIONS

1. During the last twelve (12) months, has your firm provided a source of income to employees of SCAG or members of the SCAG Regional Council, or have any employees or Regional Council members held any investment (including real property) in your firm?

YES NO

If “yes,” please list the names of those SCAG employees and/or SCAG Regional Council members and the nature of the financial interest:

Name	Nature of Financial Interest
_____	_____
_____	_____
_____	_____
_____	_____

2. Have you or any members of your firm been an employee of SCAG or served as a member of the SCAG Regional Council within the last twelve (12) months?

YES NO

If "yes," please list name, position, and dates of service:

Name	Position	Dates of Service
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

3. Are you or any managers, partners, or officers of your firm related by blood or marriage/domestic partnership to an employee of SCAG or member of the SCAG Regional Council that is considering your proposal?

YES NO

If "yes," please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____
_____	_____

4. Does an employee of SCAG or a member of the SCAG Regional Council hold a position at your firm as a director, officer, partner, trustee, employee, or any position of management?

YES NO

If "yes," please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____
_____	_____

5. Have you or any managers, partners, or officers of your firm ever given (directly or indirectly), or offered to give on behalf of another or through another person, campaign contributions or gifts to any current employee of SCAG or member of the SCAG Regional Council (including contributions to a political committee created by or on behalf of a member/candidate)?

YES NO

If "yes," please list name, date gift or contribution was given/offered, and dollar value:

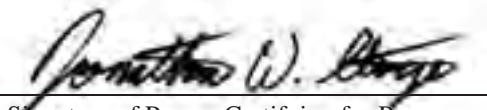
Name	Date	Dollar Value
_____	_____	_____
_____	_____	_____
_____	_____	_____

SECTION III: VALIDATION STATEMENT

This Validation Statement must be completed and signed by at least one General Partner, Owner, Principal, or Officer authorized to legally commit the proposer.

DECLARATION

I, (printed full name) Jonathon W. Stage, hereby declare that I am the (position or title) Vice President of (firm name) Willdan Energy Solutions, and that I am duly authorized to execute this Validation Statement on behalf of this entity. I hereby state that this SCAG Conflict of Interest Form dated November 9, 2020 is correct and current as submitted. I acknowledge that any false, deceptive, or fraudulent statements on this Validation Statement will result in rejection of my contract proposal.



 Signature of Person Certifying for Proposer
 (original signature required)

November 9, 2020

 Date

NOTICE

A material false statement, omission, or fraudulent inducement made in connection with this SCAG Conflict of Interest Form is sufficient cause for rejection of the contract proposal or revocation of a prior contract award.

SCAG CONFLICT OF INTEREST FORM

RFP No. 21-017

SECTION I: INSTRUCTIONS

All persons or firms seeking contracts must complete and submit a SCAG Conflict of Interest Form along with the proposal. This requirement also applies to any proposed subconsultant(s). Failure to comply with this requirement may cause your proposal to be declared non-responsive.

In order to answer the questions contained in this form, please review SCAG’s Conflict of Interest Policy, the list of SCAG employees, and the list of SCAG’s Regional Council members. All three documents can be viewed online at www.scag.ca.gov. The SCAG Conflict of Interest Policy is located under “OPPORTUNITIES”, then “Doing Business with SCAG” and scroll down under the “CONTRACTS” tab; whereas the SCAG staff may be found under “ABOUT” then “Employee Directory”; and Regional Council members can be found under “ABOUT”, then scroll down to “ELECTED OFFICIALS” on the left side of the page and click on “See the list of SCAG representative and their Districts.”

Any questions regarding the information required to be disclosed in this form should be directed to SCAG’s Deputy Legal Counsel, especially if you answer “yes” to any question in this form, as doing so MAY also disqualify your firm from submitting an offer on this proposal

Name of Firm: Casamar Group, LLC

Name of Preparer: Joe Garcia, P.E.

Project Title: SCAG - Zero Emissions Truck Study

RFP Number: 21-017 **Date Submitted:** 11/11/2020

SECTION II: QUESTIONS

1. During the last twelve (12) months, has your firm provided a source of income to employees of SCAG or members of the SCAG Regional Council, or have any employees or Regional Council members held any investment (including real property) in your firm?

YES NO

If “yes,” please list the names of those SCAG employees and/or SCAG Regional Council members and the nature of the financial interest:

Name	Nature of Financial Interest
_____	_____
_____	_____
_____	_____
_____	_____

2. Have you or any members of your firm been an employee of SCAG or served as a member of the SCAG Regional Council within the last twelve (12) months?

YES NO

If "yes," please list name, position, and dates of service:

Name	Position	Dates of Service
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

3. Are you or any managers, partners, or officers of your firm related by blood or marriage/domestic partnership to an employee of SCAG or member of the SCAG Regional Council that is considering your proposal?

YES NO

If "yes," please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____
_____	_____

4. Does an employee of SCAG or a member of the SCAG Regional Council hold a position at your firm as a director, officer, partner, trustee, employee, or any position of management?

YES NO

If "yes," please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____
_____	_____

5. Have you or any managers, partners, or officers of your firm ever given (directly or indirectly), or offered to give on behalf of another or through another person, campaign contributions or gifts to any current employee of SCAG or member of the SCAG Regional Council (including contributions to a political committee created by or on behalf of a member/candidate)?

YES NO

If “yes,” please list name, date gift or contribution was given/offered, and dollar value:


Name	Date	Dollar Value
_____	_____	_____
_____	_____	_____
_____	_____	_____

SECTION III: VALIDATION STATEMENT

This Validation Statement must be completed and signed by at least one General Partner, Owner, Principal, or Officer authorized to legally commit the proposer.

DECLARATION

I, (printed full name) Joseph John Garcia, **hereby declare that I am the (position or title)** Principal **of (firm name)** Casamar Group, LLC, **and that I am duly authorized to execute this Validation Statement on behalf of this entity. I hereby state that this SCAG Conflict of Interest Form dated 11/11/2020 **is correct and current as submitted. I acknowledge that any false, deceptive, or fraudulent statements on this Validation Statement will result in rejection of my contract proposal.****

Digitally signed by Joe Garcia

 DN: l=Newhall, st=CA, c=US,
 o=Casamar Group, LLC,
 cn=Joe Garcia
 Date: 2020.11.11 13:50:20
 08'00'

 Signature of Person Certifying for Proposer (original signature required) 11/11/2020

 Date

NOTICE

A material false statement, omission, or fraudulent inducement made in connection with this SCAG Conflict of Interest Form is sufficient cause for rejection of the contract proposal or revocation of a prior contract award.



**AGENDA ITEM 4
REPORT**

Southern California Association of Governments
Remote Participation Only
June 30, 2021

To: Executive/Administration Committee (EAC)
Regional Council (RC)

From: Tom Philip, Manager, Acting Chief Financial Officer
(213) 236-1951, philip@scag.ca.gov

Subject: Contracts \$200,000 or Greater: Contract No. 22-005-C01, Information
Technology Research and Advisory Services

**EXECUTIVE DIRECTOR'S
APPROVAL**

RECOMMENDED ACTION:

Approve Contract No. 22-005-C01 in an amount not to exceed \$257,738, with Gartner, Inc., to provide industry leading Information Technology (IT) research and advisory services for three-years (September 1, 2021 – August 31, 2024). Authorize the Executive Director, or his designee, pursuant to legal counsel review, to execute the contract on behalf of SCAG.

STRATEGIC PLAN:

This item supports the following Strategic Plan Goal 3: Be the foremost data information hub for the region.

EXECUTIVE SUMMARY:

As technology and IT security is an ever-changing landscape, SCAG IT strives to modernize and provide world class services to the agency and region in IT infrastructure, Cybersecurity, Application Development, and IT Operations. To that end, the Enterprise IT leaders Research and IT Leaders Advisory Services support from Gartner will provide additional capacity, best practice knowledge, and research depth to guide our processes and support our evolving IT environment.

BACKGROUND:

Staff recommends executing the following contract \$200,000 or greater:

<u>Consultant/Contract #</u>	<u>Contract Purpose</u>	<u>Contract Amount</u>
Gartner, Inc. (22-005-C01)	This contract includes research and advisory services through Gartner consultants.	\$257,738



FISCAL IMPACT:

Funding of \$83,800 is available in the FY 2021-22 Indirect Cost Program budget, and the remaining \$173,938 is expected to be available in the FY 2022-23 and FY 2023-2024 budgets in Project Number 811-1163.08, subject to budget availability.

ATTACHMENT(S):

1. Contract Summary 22-005-C01
2. Contract Summary 22-005-C01 COI

CONSULTANT CONTRACT NO. 22-005-C01

Recommended Consultant:

Gartner, Inc.

Background & Scope of Work:

As technology and IT security is an ever-changing landscape, SCAG IT strives to modernize and provide world class services to the agency and region in IT infrastructure, Cybersecurity, Application Development, and IT Operations. To that end, the Enterprise IT leaders Research and IT Leaders Advisory Services support from Gartner will provide additional capacity, best practice knowledge, and research depth to guide our processes and support our evolving IT environment. The contract will be for three-years (September 1, 2021 – August 31, 2024).

Project’s Benefits & Key Deliverables:

The project’s benefits and key deliverables include but are not limited to the consultant providing industry leading research and advisory services.

Strategic Plan:

This item supports SCAG’s Strategic Plan Goal 3: Be the foremost data information hub for the region; Objective F. Model best practices by prioritizing continuous improvement and technical innovations through the adoption of interactive, automated, and state-of-the-art information tools and technologies.

Contract Amount:

Total not to exceed:

\$257,738

Contract Period:

September 1, 2021 through August 31, 2024

Project Number(s):

811-1163.08 \$257,738

Funding source(s): Indirect Cost Program

Funding of \$83,800 is available in the FY 2021-22 Indirect Cost Program budget, and the remaining \$173,938 is expected to be available in the FY 2022-23 and FY 2023-2024 budgets in Project Number 811-1163.08, subject to budget availability.

Basis for Selection:

In accordance with SCAG’s Procurement Manual (January 2021) Section 9.3, to foster greater economy and efficiency, SCAG’s federal procurement guidance (2 CFR 200.318 [e]) authorizes SCAG to procure goods and services by using an Intergovernmental Agreement (Master Service Agreement – MSA, also known as a Leveraged Purchase Agreement – LPA). The goods and services procured under an MSA were previously competitively procured by another governmental entity (SCAG is essentially “piggy-backing” on the agreement.) SCAG utilized an MSA with the County of Ventura and Gartner, Inc. (Contract #7914) that was competitively procured.

SCAG has vetted County of Ventura Contract Number 7914 (MSA) with Gartner, Inc. for Gartner Enterprise for IT Leaders Research and IT Leaders Advisory Services.

SCAG CONFLICT OF INTEREST FORM

RFP No./Contract No. 22-005-C01

SECTION I: INSTRUCTIONS

All persons or firms seeking contracts must complete and submit a SCAG Conflict of Interest Form along with the proposal. This requirement also applies to any proposed subconsultant(s). Failure to comply with this requirement may cause your proposal to be declared non-responsive.

In order to answer the questions contained in this form, please review SCAG's Conflict of Interest Policy, the list of SCAG employees, and the list of SCAG's Regional Council members. All three documents can be viewed online at <https://scag.ca.gov>. The SCAG Conflict of Interest Policy is located under "GET INVOLVED", then "Contract & Vendor Opportunities" and scroll down under the "Vendor Contracts Documents" tab; whereas the SCAG staff may be found under "ABOUT US" then "OUR TEAM" then "Employee Directory"; and Regional Council members can be found under "MEETINGS", then scroll down to "LEADERSHIP" then select "REGIONAL COUNCIL" on the left side of the page and click on "Regional Council Officers and Member List."

Any questions regarding the information required to be disclosed in this form should be directed to SCAG's Legal Division, especially if you answer "yes" to any question in this form, as doing so MAY also disqualify your firm from submitting an offer on this proposal

Name of Firm: Gartner, Inc.
Name of Preparer: _____
Project Title: _____
Date Submitted: June 22, 2021

SECTION II: QUESTIONS

1. During the last twelve (12) months, has your firm provided a source of income to employees of SCAG or members of the SCAG Regional Council, or have any employees or Regional Council members held any investment (including real property) in your firm?

YES NO

If "yes," please list the names of those SCAG employees and/or SCAG Regional Council members and the nature of the financial interest:

Name	Nature of Financial Interest
_____	_____
_____	_____
_____	_____

2. Have you or any members of your firm been an employee of SCAG or served as a member of the SCAG Regional Council within the last twelve (12) months?

YES NO

If "yes," please list name, position, and dates of service:

Name	Position	Dates of Service
_____	_____	_____
_____	_____	_____
_____	_____	_____

3. Are you or any managers, partners, or officers of your firm related by blood or marriage/domestic partnership to an employee of SCAG or member of the SCAG Regional Council that is considering your proposal?

YES NO

If "yes," please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____

4. Does an employee of SCAG or a member of the SCAG Regional Council hold a position at your firm as a director, officer, partner, trustee, employee, or any position of management?

YES NO

If "yes," please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____

5. Have you or any managers, partners, or officers of your firm ever given (directly or indirectly), or offered to give on behalf of another or through another person, campaign contributions or gifts to any current employee of SCAG or member of the SCAG Regional Council (including contributions to a political committee created by or on behalf of a member/candidate)?

YES NO

If "yes," please list name, date gift or contribution was given/offered, and dollar value:


Name	Date	Dollar Value
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

SECTION III: VALIDATION STATEMENT

This Validation Statement must be completed and signed by at least one General Partner, Owner, Principal, or Officer authorized to legally commit the proposer.

DECLARATION

I, (printed full name) **Karoline Bonacci**, hereby declare that I am the (position or title) **VP, Contracts** of (firm name) **Gartner, Inc.**, and that I am duly authorized to execute this Validation Statement on behalf of this entity. I hereby state that this SCAG Conflict of Interest Form dated **June 22, 2021** is correct and current as submitted. I acknowledge that any false, deceptive, or fraudulent statements on this Validation Statement will result in rejection of my contract proposal.



Signature of Person Certifying for Proposer
(original signature required)

June 22, 2021

Date

NOTICE

A material false statement, omission, or fraudulent inducement made in connection with this SCAG Conflict of Interest Form is sufficient cause for rejection of the contract proposal or revocation of a prior contract award.



**AGENDA ITEM 5
REPORT**

Southern California Association of Governments
Remote Participation Only
June 30, 2021

To: Executive/Administration Committee (EAC)
Regional Council (RC)

From: Tom Philip, Manager, Acting Chief Financial Officer
(213) 236-1951, philip@scag.ca.gov

Subject: Contracts \$200,000 or Greater: Contract No. 22-007-C01, Primary
Internet Connections

**EXECUTIVE DIRECTOR'S
APPROVAL**

RECOMMENDED ACTION:

Approve Contract No. 22-007-C01, in an amount not to exceed \$315,270, with Switch Communications, LLC, to provide network connections from multiple network data service providers, including redundant connections, for the Los Angeles office, regional offices and data centers for three (3) years. These newly acquired providers will ensure SCAG's servers, enterprise services, and core data will be available to serve SCAG staff, constituents, and partners.

STRATEGIC PLAN:

This item supports the following Strategic Plan Goal 3: Be the foremost data information hub for the region.

EXECUTIVE SUMMARY:

After evaluation of SCAG's current network infrastructure, staff recommends to update the existing network data service providers to ensure more reliable system uptime and performance. SCAG is undergoing an infrastructure refresh that includes newly acquired servers, network equipment, software, and primary and disaster recovery data center services. The reliable network interconnectivity of these systems and services is critical to ensuring that SCAG's core data, enterprise systems and infrastructure are available to reliably serve SCAG staff, constituents and partners.

BACKGROUND:

Staff recommends executing the following contract greater than \$200,000:

<u>Consultant/Contract #</u>	<u>Contract Purpose</u>	<u>Contract Amount</u>
Switch Communications, LLC	The consultant will provide network connections from multiple network data service providers, including redundant	\$315,270



(22-007-C01)

connections.

FISCAL IMPACT:

Funding of \$111,306 is available in the FY 2021-22 Indirect Cost Program in Project Number 811.1163.08. Funding for subsequent fiscal years through FY 2023-24 will be included in Project 811.1163.08, subject to budget availability.

ATTACHMENT(S):

1. Contract Summary 22-007-C01
2. Contract Summay 22-007-C01 COI

CONSULTANT CONTRACT NO. 22-007-C01

Recommended Consultant: Switch Communications, LLC

Background & Scope of Work: After evaluation of SCAG’s current network communications infrastructure, staff recommends to update the existing network data service providers to ensure more reliable system uptime and performance. SCAG is undergoing an infrastructure refresh that includes newly acquired servers, network equipment, software, primary and disaster recovery data center services. The reliable network interconnectivity of these systems and services is critical to ensuring that SCAG’s core data, enterprise systems and infrastructure are available to reliably serve SCAG staff, constituents and partners.

The new network services providers will provide internet connectivity, including redundant connections, for the Los Angeles office, Regional offices and data centers.

Project’s Benefits & Key Deliverables: The project’s benefits and key deliverables include, but are not limited to:

- Network design that will provide reliable system uptime, and performance;
- Business continuity in the event of a disaster; and
- Ensuring that SCAG’s core data, enterprise systems and infrastructure are available to reliably serve SCAG staff, constituents and partners.

Strategic Plan: This item supports SCAG’s Strategic Plan Goal 3: Be the foremost data information hub for the region; Objective: Model best practices by prioritizing continuous improvement and technical innovations through the adoption of interactive, automated, and state-of-the-art information tools and technologies.

Contract Amount: **Total not-to-exceed** **\$315,270**
Switch Communications, LLC

Contract Period: Notice to Proceed through June 30, 2024

Project Number: 811.1163.08 \$111,306
Funding source: Indirect Cost Program

Funding of \$111,306 is available in the FY 2021-22 Overall Work Program (OWP) in Project Number 811.1163.08. Funding for subsequent fiscal years through FY 2023-24 will be included in Project 811.1163.08, subject to budget availability.

Basis for Selection: In accordance with SCAG’s Procurement Manual (January 2021) Section 9.3, to foster greater economy and efficiency, SCAG’s federal procurement guidance (2 CFR 200.318 [e]) authorizes SCAG to procure goods and services by using an Intergovernmental Agreement (Master Service Agreement – MSA, also known as a Leveraged Purchase Agreement – LPA). The goods and services procured under an MSA were previously competitively procured by another governmental entity (SCAG is essentially “piggy-backing” on the agreement). SCAG utilized an MSA with the Los Angeles Department of Water and Power (LADWP) & Switch Communications, Contract No. GS-35Fk-0510V, that was competitively procured. This MSA is specifically designed for use by local agencies to leverage combined purchasing power for discounted volume pricing.

Attachment: Contract Summary 22-007-C01 (Contracts \$200,000 or Greater: Contract No. 22-007-C01, Primary Internet Connections)

The network services providers will provide internet connectivity, including redundant connections, for the Los Angeles office, regional offices, and data centers. These newly acquired providers will ensure SCAG's servers, enterprise services, and core data will be available to serve SCAG staff, constituents, and partners.

**Conflict of Interest (COI) Form - Attachment
For July 1, 2021 Regional Council Approval**

Approve Contract No. 22-007-C01, in the amount not to exceed \$315,270, with Switch Communications, LLC, to provide network connections from multiple network data service providers, including redundant connections, for the Los Angeles office, regional offices and data centers for three (3) years. These newly acquired providers will ensure SCAG's servers, enterprise services, and core data will be available to serve SCAG staff, constituents, and partners.

The consultant team for this contract includes:

Consultant Name	Did the consultant disclose a conflict in the Conflict of Interest Form they submitted with its original proposal (Yes or No)?
Switch Communications, LLC (prime consultant)	No - form attached

SCAG CONFLICT OF INTEREST FORM

RFP No./Contract No.

22-007-C01

SECTION I: INSTRUCTIONS

All persons or firms seeking contracts must complete and submit a SCAG Conflict of Interest Form along with the proposal. This requirement also applies to any proposed subconsultant(s). Failure to comply with this requirement may cause your proposal to be declared non-responsive.

In order to answer the questions contained in this form, please review SCAG’s Conflict of Interest Policy, the list of SCAG employees, and the list of SCAG’s Regional Council members. All three documents can be viewed online at <https://scag.ca.gov>. The SCAG Conflict of Interest Policy is located under “GET INVOLVED”, then “Contract & Vendor Opportunities” and scroll down under the “Vendor Contracts Documents” tab; whereas the SCAG staff may be found under “ABOUT US” then “OUR TEAM” then “Employee Directory”; and Regional Council members can be found under “MEETINGS”, then scroll down to “LEADERSHIP” then select “REGIONAL COUNCIL” on the left side of the page and click on “Regional Council Officers and Member List.”

Any questions regarding the information required to be disclosed in this form should be directed to SCAG’s Legal Division, especially if you answer “yes” to any question in this form, as doing so **MAY** also disqualify your firm from submitting an offer on this proposal

Name of Firm: Switch

Name of Preparer: Shannon Bischel

Project Title: 2021 Renewal

Date Submitted: February 2, 2021

SECTION II: QUESTIONS

- During the last twelve (12) months, has your firm provided a source of income to employees of SCAG or members of the SCAG Regional Council, or have any employees or Regional Council members held any investment (including real property) in your firm?

YES X NO

If “yes,” please list the names of those SCAG employees and/or SCAG Regional Council members and the nature of the financial interest:

Name	Nature of Financial Interest
------	------------------------------

Attachment: Contract Summary 22-007-C01 COI (Contracts \$200,000 or Greater: Contract No. 22-007-C01, Primary Internet Connections)

2. Have you or any members of your firm been an employee of SCAG or served as a member of the SCAG Regional Council within the last twelve (12) months?

YES NO

If "yes," please list name, position, and dates of service:

Name	Position	Dates of Service
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

3. Are you or any managers, partners, or officers of your firm related by blood or marriage/domestic partnership to an employee of SCAG or member of the SCAG Regional Council that is considering your proposal?

YES NO

If "yes," please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____
_____	_____

4. Does an employee of SCAG or a member of the SCAG Regional Council hold a position at your firm as a director, officer, partner, trustee, employee, or any position of management?

YES NO

If "yes," please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____
_____	_____

5. Have you or any managers, partners, or officers of your firm ever given (directly or indirectly), or offered to give on behalf of another or through another person, campaign contributions or gifts to any current employee of SCAG or member of the SCAG Regional Council (including contributions to a political committee created by or on behalf of a member/candidate)?

YES NO

If "yes," please list name, date gift or contribution was given/offered, and dollar value:

Name	Date	Dollar Value
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

SECTION III: VALIDATION STATEMENT

This Validation Statement must be completed and signed by at least one General Partner, Owner, Principal, or Officer authorized to legally commit the proposer.

DECLARATION

Subject to the Important Note below, I, (printed full name) Missy Young, hereby declare that I am the (position or title) Chief Information Officer of (firm name) Switch, and that I am duly authorized to execute this Validation Statement on behalf of this entity. I hereby state that this SCAG Conflict of Interest Form dated February 2, 2021 is correct and current as submitted. I acknowledge that any false, deceptive, or fraudulent statements on this Validation Statement will result in rejection of my contract proposal. This declaration is subject to the Important Note below.

Missy Young _____ 2/2/2021 | 15:32 PST
 Signature of Person Certifying for Proposer Date
 (original signature required)



Legal: kt

NOTICE

A material false statement, omission, or fraudulent inducement made in connection with this SCAG Conflict of Interest Form is sufficient cause for rejection of the contract proposal or revocation of a prior contract award.

IMPORTANT NOTE: Switch is a publicly traded company on the NYSE (SWCH) with over 750 employees. As such, this form has been completed based on current actual knowledge, not any implied, imputed or constructive knowledge, without any independent investigation or inquiry having been made.



**AGENDA ITEM 6
REPORT**

Southern California Association of Governments
Remote Participation Only
June 30, 2021

To: Executive/Administration Committee (EAC)
Regional Council (RC)

**EXECUTIVE DIRECTOR'S
APPROVAL**

From: Tom Philip, Manager, Acting Chief Financial Officer
(213) 236-1951, philip@scag.ca.gov

Subject: Contracts Amendment Greater Than \$75,000 and Greater Than 30% of
the Contract's Original Value: Contract No. 19-006-C01, Amendment 6,
OnBase Maintenance and Support Licenses

RECOMMENDED ACTION:

Approve Amendment No. 6 to Contract No. 19-006-C01 in an amount not to exceed \$46,222, with Konica Minolta Business Solutions, U.S.A., Inc., to extend SCAG's existing OnBase software licenses an additional year. Authorize the Executive Director, or his designee, pursuant to legal counsel review, to execute the contract amendment on behalf of SCAG.

STRATEGIC PLAN:

This item supports the following Strategic Plan Goal 3: Be the foremost data information hub for the region.

EXECUTIVE SUMMARY:

On July 19, 2018, SCAG awarded Contract No. 19-006-C01 to Konica Minolta Business Solutions, U.S.A., Inc. to provide OnBase Software licenses, a platform staff uses for its records management needs, for three (3) years. Staff requests Amendment 6 due to COVID-19 related impacts on the project.

This amendment when combined with a previous amendment exceeds \$75,000 and is greater than 30% of the original contract value. Therefore, in accordance with the SCAG Procurement Manual (January 2021) Section 9.3, it requires the Regional Council's approval.

BACKGROUND:

Staff recommends executing the following amendment that exceeds \$75,000 and is greater than 30% of the original contract value:

<u>Consultant/Contract #</u>	<u>Amendment's Purpose</u>	<u>Contract Amount</u>
Konica Minolta	The consultant shall provide OnBase Software	\$46,222



Business licenses for and additional year to give staff
Solutions, enough time to assess future records
U.S.A., Inc., Inc. management needs.
(19-006-C01)

FISCAL IMPACT:

Funding of \$46,222 is available in the FY 2021-22 Indirect Cost Program in Project Number 811.1163.03.

ATTACHMENT(S):

1. Contract Summary 19-006-C01 Amendment 6
2. Contract Summary 19-006-C01 Amendment 6 COI

CONSULTANT CONTRACT NO. 19-006-C01 AMENDMENT 6

Consultant: Konica Minolta Business Solutions, U.S.A., Inc.

Background & Scope of Work: On July 19, 2018 SCAG awarded Contract No. 19-006-C01 to Konica Minolta Business Solutions, U.S.A., Inc. to provide OnBase Software licenses, a platform staff uses for its records management needs, for three (3) years. Staff requests Amendment 6 due to COVID-19 related impacts on the project. The extension will also help to ensure SCAG will continue to benefit from the improved content management efficiency that the OnBase single enterprise information platform provides.

Amendment 6 increases the contract value from 169,004 to \$215226 (\$46,222).

Project’s Benefits & Key Deliverables: The project’s benefits and key deliverables include, but are not limited to:

- Improving efficiency by enhancing management of board reports; and
- Enhancing electronic content management systems in support of remote telework for disaster recovery and business resumption.

Strategic Plan: This item supports SCAG’s Strategic Plan Goal 3: Be the foremost data information hub for the region.

Amendment Amount:	Amendment 6	\$46,222
	Amendment 5 (administrative- no change to contract’s value)	\$0
	Amendment 4	\$39,000
	Amendment 3 (administrative- no change to contract’s value)	\$0
	Amendment 2 (administrative - no change to contract’s value)	\$0
	Amendment 1 (administrative - no change to contract’s value)	\$0
	Original contract value	<u>\$130,004</u>
	Total contract value is not to exceed	\$215,226

This amendment when combined with a previous amendment exceeds \$75,000 as well as 30% of the original contract value. Therefore, in accordance with the SCAG Procurement Manual (January 2021) Section 9.3, it requires the Regional Council’s approval

Contract Period: July 18, 2018 through June 30, 2022

Project Number: 811-1163.03 \$46,222
 Funding source: Indirect Cost
 Funding of \$46,222 is available in the FY 2021-2021 budget.

Basis for the Amendment: In accordance with SCAG’s Procurement Manual (January 2021) Section 9.3, to foster greater economy and efficiency, SCAG’s federal procurement guidance (2 CFR 200.318 [e]) authorizes SCAG to procure goods and services by using an Intergovernmental Agreement (Master Service Agreement – MSA, also known as a Leveraged Purchase Agreement – LPA). The goods and services procured under an MSA were previously competitively procured by another governmental entity (SCAG is essentially “piggy backing” on the agreement.) SCAG utilized an MSA with Konica Minolta Business Solutions, U.S.A., Inc. (NJPA Contract No. # 083116-KON) that was competitively procured. This MSA was amended on November 12, 2019 to extend

Attachment: Contract Summary 19-006-C01 Amendment 6 (Contracts Amendment Greater Than 30% of the Contract’s Original Value)

the term through October 19, 2021. This MSA is specifically designed for use by local agencies to leverage combined purchasing power for discounted volume pricing. The consultant shall provide OnBase Software maintenance and support for and additional year to give staff enough time to assess future records management needs.

**Conflict Of Interest (COI) Form - Attachment
For July 1, 2021 Regional Council Approval**

Approve Amendment No. 6 to Contract No. 19-006-C01 in an amount not to exceed \$46,222, with Konica Minolta Business Solutions, U.S.A., Inc., to extend SCAG's existing OnBase software licenses an additional year. Authorize the Executive Director, or his designee, pursuant to legal counsel review, to execute the contract amendment on behalf of SCAG.

The consultant team for this contract includes:

Consultant Name	Did the consultant disclose a conflict in the Conflict of Interest Form they submitted with its original proposal (Yes or No)?
Konica Minolta (prime consultant)	No - form attached

SCAG CONFLICT OF INTEREST FORM

RFP No./Contract No. 19-006-C01

SECTION I: INSTRUCTIONS

All persons or firms seeking contracts must complete and submit a SCAG Conflict of Interest Form along with the proposal. This requirement also applies to any proposed subconsultant(s). Failure to comply with this requirement may cause your proposal to be declared non-responsive.

In order to answer the questions contained in this form, please review SCAG’s Conflict of Interest Policy, the list of SCAG employees, and the list of SCAG’s Regional Council members. All three documents can be viewed online at <https://scag.ca.gov>. The SCAG Conflict of Interest Policy is located under “GET INVOLVED”, then “Contract & Vendor Opportunities” and scroll down under the “Vendor Contracts Documents” tab; whereas the SCAG staff may be found under “ABOUT US” then “OUR TEAM” then “Employee Directory”; and Regional Council members can be found under “MEETINGS”, then scroll down to “LEADERSHIP” then select “REGIONAL COUNCIL” on the left side of the page and click on “Regional Council Officers and Member List.”

Any questions regarding the information required to be disclosed in this form should be directed to SCAG’s Legal Division, especially if you answer “yes” to any question in this form, as doing so **MAY** also disqualify your firm from submitting an offer on this proposal

Name of Firm: Konica Minolta Business Solutions U.S.A., Inc.

Name of Preparer: Robert Willis

Project Title: Hyland OnBase yearly maintenance renewal

Date Submitted: 6/23/2021

SECTION II: QUESTIONS

- During the last twelve (12) months, has your firm provided a source of income to employees of SCAG or members of the SCAG Regional Council, or have any employees or Regional Council members held any investment (including real property) in your firm?

YES NO

If “yes,” please list the names of those SCAG employees and/or SCAG Regional Council members and the nature of the financial interest:

Name	Nature of Financial Interest
_____	_____
_____	_____
_____	_____

Attachment: Contract Summary 19-006-C01 Amendment 6 COI (Contracts Amendment Greater Than 30% of the Contract's Original Value)

2. Have you or any members of your firm been an employee of SCAG or served as a member of the SCAG Regional Council within the last twelve (12) months?

YES NO

If "yes," please list name, position, and dates of service:

Name	Position	Dates of Service
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

3. Are you or any managers, partners, or officers of your firm related by blood or marriage/domestic partnership to an employee of SCAG or member of the SCAG Regional Council that is considering your proposal?

YES NO

If "yes," please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____
_____	_____

4. Does an employee of SCAG or a member of the SCAG Regional Council hold a position at your firm as a director, officer, partner, trustee, employee, or any position of management?

YES NO

If "yes," please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____
_____	_____

5. Have you or any managers, partners, or officers of your firm ever given (directly or indirectly), or offered to give on behalf of another or through another person, campaign contributions or gifts to any current employee of SCAG or member of the SCAG Regional Council (including contributions to a political committee created by or on behalf of a member/candidate)?

YES NO

If "yes," please list name, date gift or contribution was given/offered, and dollar value:

Name	Date	Dollar Value
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

SECTION III: VALIDATION STATEMENT

This Validation Statement must be completed and signed by at least one General Partner, Owner, Principal, or Officer authorized to legally commit the proposer.

DECLARATION

I, (printed full name) Stephen F. Herbes, hereby declare that I am the (position or title) VP and General Counsel of (firm name) Konica Minolta Business Solutions U.S.A., Inc., and that I am duly authorized to execute this Validation Statement on behalf of this entity. I hereby state that this SCAG Conflict of Interest Form dated 6/23/2021 is correct and current as submitted. I acknowledge that any false, deceptive, or fraudulent statements on this Validation Statement will result in rejection of my contract proposal.

DocuSigned by:


Signature of Person Certifying for Proposer
(original signature required)

6/23/2021

Date

NOTICE

A material false statement, omission, or fraudulent inducement made in connection with this SCAG Conflict of Interest Form is sufficient cause for rejection of the contract proposal or revocation of a prior contract award.

Attachment: Contract Summary 19-006-C01 Amendment 6 COI (Contracts Amendment Greater Than 30% of the Contract's Original Value)



**AGENDA ITEM 7
REPORT**

Southern California Association of Governments
Remote Participation Only
June 30, 2021

To: Executive/Administration Committee (EAC)
Regional Council (RC)

**EXECUTIVE DIRECTOR'S
APPROVAL**

From: Tom Philip, Manager, Acting Chief Financial Officer
(213) 236-1951, philip@scag.ca.gov

Subject: Amendment \$75,000 or Greater or 30% or more of the Original Contract's
Value: Contract No. 17-024-C1 Amendment 10, High Quality Transit Area
(HQTA) Analysis

RECOMMENDED ACTION:

Approve Amendment No. 10 to Contract No. 17-024-C with Gruen Associates, in an amount not to exceed \$13,717 increasing the contract value from \$368,308 to \$382,025 to enable the consultant to continue to provide services to the City of San Clemente. Authorize the Executive Director, or his designee, pursuant to legal counsel review, to execute the contract on behalf of SCAG.

STRATEGIC PLAN:

This item supports the following Strategic Plan Goal 1: Produce innovative solutions that improve the quality of life for Southern Californians.

EXECUTIVE SUMMARY:

On June 14, 2017, SCAG awarded Contract 17-024-C1 to Gruen Associates to plan five (5) High Quality Transit Area (HQTA) corridor pilot projects that reflect integrated planning principles and help implement the 2016 Regional Transportation Plan/Sustainable Communities Strategy (RTP/SCS), for El Monte, Riverside, San Bernardino, Oxnard, and Santa Clarita. SCAG subsequently received additional grant funding, and added a sixth city, San Clemente, to the HQTA pilot projects on February 20, 2019. Staff request Amendment No. 10 due to COVID-19 related impacts on the pilot projects, which created unexpected delays in completing the tasks listed in the scope of work. Due to the pandemic, public workshops and outreach have needed to be redesigned for a virtual environment.

This amendment when combined with a previous amendment exceeds \$75,000, as well as 30% of the contract's original value. Therefore, in accordance with the SCAG Procurement Manual (January 2021) Section 9.3, it requires the Regional Council's approval.



BACKGROUND:

Staff recommends executing the following amendment that when combined with a previous Amendment exceeds \$75,000 and is more than 30% of the contract's original value:

<u>Consultant/Contract #</u>	<u>Amendment's Purpose</u>	<u>Amendment Amount</u>
Gruen Associates (17-024-C1)	This amendment will enable the consultant to continue to provide services to the City of San Clemente	\$13,717

FISCAL IMPACT:

Funding of \$13,717 is available in the FY 2021-2022 Overall Work Program (OWP) budget in Project Number 290-4852U7.01 and 290-4852E.01.

ATTACHMENT(S):

1. Contract Summary 17-024-C01 Amendment 10
2. Contract Summary 17-024-C01 Amendment 10 COI

CONSULTANT CONTRACT NO. 17-024-C1 AMENDMENT NO. 10

Consultant: Gruen Associates

Background & Scope of Work: On June 14, 2017, SCAG awarded Contract 17-024-C1 to Gruen Associates to plan five (5) High Quality Transit Area (HQTA) corridor pilot projects that reflect integrated planning principles and help implement the 2016 Regional Transportation Plan/Sustainable Communities Strategy (RTP/SCS), for El Monte, Riverside, San Bernardino, Oxnard and Santa Clarita. The HQTA pilot project is valued by cities because it provides them with financial resources and technical assistance with preparing transit and land use plans for their city.

On February 20, 2019, staff amended the contract (via Amendment No. 4) because staff received additional grant funding, and add a sixth city, San Clemente, to the HQTA pilot project.

Amendment No. 10 provides additional funding to enable the consultant to provide additional services and increases the contract value from \$368,308 to \$382,025 (\$13,717). This increase is due to COVID-19 related impacts, which created unexpected delays in completing the tasks listed in the Scope of Work for San Clemente. Due to the pandemic, public workshops and outreach have needed to be redesigned for a virtual environment.

Project’s Benefits & Key Deliverables: The project’s benefits and key deliverables include, but are not limited to:

- Implementing a primary strategy in the 2016 RTP/SCS, specifically the planning for HQTA development;
- Helping SCAG member cities plan for development in HQTA areas throughout the region; and
- Six (6) HQTA planning charrettes and workshops rather than the five (5) planned under the original contract award, an HQTA planning tool-kit, and an HQTA planning manual.

Strategic Plan: This item supports SCAG’s Strategic Plan, Goal 1: Produce innovative solutions that improve the quality of life for Southern Californians.

Amendment Amount:	Amendment 10	\$13,717
	Amendment 9 (administrative - no change to contract’s value)	
	Amendment 8 (administrative - no change to contract’s value)	
	Amendment 7 (administrative - no change to contract’s value)	
	Amendment 6 (administrative - no change to contract’s value)	
	Amendment 5 (administrative - no change to contract’s value)	
	Amendment 4	\$106,410
	Amendment 3 (administrative - no change to contract’s value)	\$0
	Amendment 2 (administrative - no change to contract’s value)	\$0
	Amendment 1 (administrative - no change to contract’s value)	\$0
	Original contract value	<u>\$261,898</u>
	Total contract value is not to exceed	\$382,025

Attachment: Contract Summary 17-024-C01 Amendment 10 (Amendment \$75,000 or Greater or 30% or More of the Original Contract’s Value:

This amendment when combined with a previous amendment exceeds \$75,000, as well as 30% of the contract's original value. Therefore, in accordance with the SCAG Procurement Manual (January 2021) Section 9.3, it does not require the Regional Council's approval.

Contract Period: June 14, 2017 through December 31, 2021

Project Numbers:

290-4852U7.01	\$12,144
290-4852E.01	\$1,573

Funding sources: Senate Bill 1 (SB 1) and Transportation Development Act (TDA)

Funding of \$13,717 is available in the FY 2021-2022 Overall Work Program (OWP) budget in Project Number 290-4852U7.01 and 290-4852E.01, subject to budget approval.

Basis for the Amendment: As previously stated, staff awarded the original base contract to Gruen Associates to plan five (5) HQTAs corridor pilot projects that reflect integrated planning principles and help implement the 2020 Regional Transportation Plan/Sustainable Communities Strategy (RTP/SCS). The city of San Clemente has applied for a Metrolink station area pilot project to develop planning concepts for their downtown area.

Without this amendment, the City of San Clemente would be unable to complete planning for the redevelopment of their downtown area in the vicinity of the Metrolink station. Due to the unforeseen pandemic, stakeholder outreach needed to be redesigned for a virtual environment.

**Conflict of Interest (COI) Form - Attachment
for July 1, 2021 Regional Council Approval**

Approve Amendment No. 10 to Contract No. 17-024-C with Gruen Associates, in an amount not to exceed \$13,717 increasing the contract value from \$368,308 to \$382,025 to enable the consultant to continue the project with the City of San Clement, and to extend the contract term from June 30, 2021 to December 31, 2021, to provide the consultant additional time to complete the project. Authorize the Executive Director, or his designee, pursuant to legal counsel review, to execute the contract on behalf of SCAG.

The consultant team for this contract includes:

Consultant Name	Did the consultant disclose a conflict in the Conflict of Interest Form they submitted with its original proposal (Yes or No)?
Gruen Associates (prime consultant)	No – form attached
Iteris (subconsultant)	No – form attached
HR&A (subconsultant)	No – form attached

SCAG CONFLICT OF INTEREST FORM

RFP No./Contract No. 17-024-C1

SECTION I: INSTRUCTIONS

All persons or firms seeking contracts must complete and submit a SCAG Conflict of Interest Form along with the proposal. This requirement also applies to any proposed subconsultant(s). Failure to comply with this requirement may cause your proposal to be declared non-responsive.

In order to answer the questions contained in this form, please review SCAG's Conflict of Interest Policy, the list of SCAG employees, and the list of SCAG's Regional Council members. All three documents can be viewed online at <https://scag.ca.gov>. The SCAG Conflict of Interest Policy is located under "GET INVOLVED", then "Contract & Vendor Opportunities" and scroll down under the "Vendor Contracts Documents" tab; whereas the SCAG staff may be found under "ABOUT US" then "OUR TEAM" then "Employee Directory"; and Regional Council members can be found under "MEETINGS", then scroll down to "LEADERSHIP" then select "REGIONAL COUNCIL" on the left side of the page and click on "Regional Council Officers and Member List."

Any questions regarding the information required to be disclosed in this form should be directed to SCAG's Legal Division, especially if you answer "yes" to any question in this form, as doing so MAY also disqualify your firm from submitting an offer on this proposal

Name of Firm: Gruen Associates
Name of Preparer: Larry Schlossberg
Project Title: High Quality Transit Area (HQT) Analysis
Date Submitted: June 10, 2021

SECTION II: QUESTIONS

1. During the last twelve (12) months, has your firm provided a source of income to employees of SCAG or members of the SCAG Regional Council, or have any employees or Regional Council members held any investment (including real property) in your firm?

YES NO

If "yes," please list the names of those SCAG employees and/or SCAG Regional Council members and the nature of the financial interest:

Name	Nature of Financial Interest
<hr/>	<hr/>
<hr/>	<hr/>
<hr/>	<hr/>

2. Have you or any members of your firm been an employee of SCAG or served as a member of the SCAG Regional Council within the last twelve (12) months?

YES NO

If "yes," please list name, position, and dates of service:

Name	Position	Dates of Service
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

3. Are you or any managers, partners, or officers of your firm related by blood or marriage/domestic partnership to an employee of SCAG or member of the SCAG Regional Council that is considering your proposal?

YES NO

If "yes," please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____
_____	_____

4. Does an employee of SCAG or a member of the SCAG Regional Council hold a position at your firm as a director, officer, partner, trustee, employee, or any position of management?

YES NO

If "yes," please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____
_____	_____

5. Have you or any managers, partners, or officers of your firm ever given (directly or indirectly), or offered to give on behalf of another or through another person, campaign contributions or gifts to any current employee of SCAG or member of the SCAG Regional Council (including contributions to a political committee created by or on behalf of a member/candidate)?

YES NO

If "yes," please list name, date gift or contribution was given/offered, and dollar value:

Name	Date	Dollar Value
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

SECTION III: VALIDATION STATEMENT

This Validation Statement must be completed and signed by at least one General Partner, Owner, Principal, or Officer authorized to legally commit the proposer.

DECLARATION

I, (printed full name) Larry Schlossberg, hereby declare that I am the (position or title) Partner of (firm name) Gruen Associates, and that I am duly authorized to execute this Validation Statement on behalf of this entity. I hereby state that this SCAG Conflict of Interest Form dated June 10, 2021 is correct and current as submitted. I acknowledge that any false, deceptive, or fraudulent statements on this Validation Statement will result in rejection of my contract proposal.



Signature of Person Certifying for Proposer
(original signature required)

June 10, 2021
Date

NOTICE

A material false statement, omission, or fraudulent inducement made in connection with this SCAG Conflict of Interest Form is sufficient cause for rejection of the contract proposal or revocation of a prior contract award.

SCAG CONFLICT OF INTEREST FORM

RFP No./Contract No. 17-024-C1

SECTION I: INSTRUCTIONS

All persons or firms seeking contracts must complete and submit a SCAG Conflict of Interest Form along with the proposal. This requirement also applies to any proposed subconsultant(s). Failure to comply with this requirement may cause your proposal to be declared non-responsive.

In order to answer the questions contained in this form, please review SCAG's Conflict of Interest Policy, the list of SCAG employees, and the list of SCAG's Regional Council members. All three documents can be viewed online at <https://scag.ca.gov>. The SCAG Conflict of Interest Policy is located under "GET INVOLVED", then "Contract & Vendor Opportunities" and scroll down under the "Vendor Contracts Documents" tab; whereas the SCAG staff may be found under "ABOUT US" then "OUR TEAM" then "Employee Directory"; and Regional Council members can be found under "MEETINGS", then scroll down to "LEADERSHIP" then select "REGIONAL COUNCIL" on the left side of the page and click on "Regional Council Officers and Member List."

Any questions regarding the information required to be disclosed in this form should be directed to SCAG's Legal Division, especially if you answer "yes" to any question in this form, as doing so MAY also disqualify your firm from submitting an offer on this proposal

Name of Firm: Iteris, Inc
Name of Preparer: Deepak Kaushik
Project Title: HQTA
Date Submitted: 6/10/21

SECTION II: QUESTIONS

1. During the last twelve (12) months, has your firm provided a source of income to employees of SCAG or members of the SCAG Regional Council, or have any employees or Regional Council members held any investment (including real property) in your firm?

YES NO

If "yes," please list the names of those SCAG employees and/or SCAG Regional Council members and the nature of the financial interest:

Name	Nature of Financial Interest
_____	_____
_____	_____
_____	_____

2. Have you or any members of your firm been an employee of SCAG or served as a member of the SCAG Regional Council within the last twelve (12) months?

YES NO

If "yes," please list name, position, and dates of service:

Name	Position	Dates of Service
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

3. Are you or any managers, partners, or officers of your firm related by blood or marriage/domestic partnership to an employee of SCAG or member of the SCAG Regional Council that is considering your proposal?

YES NO

If "yes," please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____
_____	_____

4. Does an employee of SCAG or a member of the SCAG Regional Council hold a position at your firm as a director, officer, partner, trustee, employee, or any position of management?

YES NO

If "yes," please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____
_____	_____

5. Have you or any managers, partners, or officers of your firm ever given (directly or indirectly), or offered to give on behalf of another or through another person, campaign contributions or gifts to any current employee of SCAG or member of the SCAG Regional Council (including contributions to a political committee created by or on behalf of a member/candidate)?

YES NO

If "yes," please list name, date gift or contribution was given/offered, and dollar value:

Name	Date	Dollar Value
_____	_____	_____
_____	_____	_____
_____	_____	_____

SECTION III: VALIDATION STATEMENT

This Validation Statement must be completed and signed by at least one General Partner, Owner, Principal, or Officer authorized to legally commit the proposer.

DECLARATION

I, (printed full name) Ramin Massoumi, hereby declare that I am the (position or title) Senior VP & General Manager of (firm name) Iteris, Inc, and that I am duly authorized to execute this Validation Statement on behalf of this entity. I hereby state that this SCAG Conflict of Interest Form dated 6/10/21 is correct and current as submitted. I acknowledge that any false, deceptive, or fraudulent statements on this Validation Statement will result in rejection of my contract proposal.



Signature of Person Certifying for Proposer
(original signature required)

6/10/21

Date

NOTICE

A material false statement, omission, or fraudulent inducement made in connection with this SCAG Conflict of Interest Form is sufficient cause for rejection of the contract proposal or revocation of a prior contract award.

SCAG CONFLICT OF INTEREST FORM

RFP No./Contract No. 17-024-C1

SECTION I: INSTRUCTIONS

All persons or firms seeking contracts must complete and submit a SCAG Conflict of Interest Form along with the proposal. This requirement also applies to any proposed subconsultant(s). Failure to comply with this requirement may cause your proposal to be declared non-responsive.

In order to answer the questions contained in this form, please review SCAG’s Conflict of Interest Policy, the list of SCAG employees, and the list of SCAG’s Regional Council members. All three documents can be viewed online at <https://scag.ca.gov>. The SCAG Conflict of Interest Policy is located under “GET INVOLVED”, then “Contract & Vendor Opportunities” and scroll down under the “Vendor Contracts Documents” tab; whereas the SCAG staff may be found under “ABOUT US” then “OUR TEAM” then “Employee Directory”; and Regional Council members can be found under “MEETINGS”, then scroll down to “LEADERSHIP” then select “REGIONAL COUNCIL” on the left side of the page and click on “Regional Council Officers and Member List.”

Any questions regarding the information required to be disclosed in this form should be directed to SCAG’s Legal Division, especially if you answer “yes” to any question in this form, as doing so MAY also disqualify your firm from submitting an offer on this proposal

Name of Firm: HR&A Advisors, Inc.

Name of Preparer: Judith Taylor

Project Title: 17-024-C1 HQTA

Date Submitted: 06/10/2021

SECTION II: QUESTIONS

1. During the last twelve (12) months, has your firm provided a source of income to employees of SCAG or members of the SCAG Regional Council, or have any employees or Regional Council members held any investment (including real property) in your firm?

YES NO

If “yes,” please list the names of those SCAG employees and/or SCAG Regional Council members and the nature of the financial interest:

Name	Nature of Financial Interest
_____	_____
_____	_____
_____	_____

2. Have you or any members of your firm been an employee of SCAG or served as a member of the SCAG Regional Council within the last twelve (12) months?

Attachment: Contract Summary 17-024-C01 Amendment 10 COI (Amendment \$75,000 or Greater or 30% or More of the Original Contract's

YES NO

If "yes," please list name, position, and dates of service:

Name	Position	Dates of Service
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

3. Are you or any managers, partners, or officers of your firm related by blood or marriage/domestic partnership to an employee of SCAG or member of the SCAG Regional Council that is considering your proposal?

YES NO

If "yes," please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____
_____	_____

4. Does an employee of SCAG or a member of the SCAG Regional Council hold a position at your firm as a director, officer, partner, trustee, employee, or any position of management?

YES NO

If "yes," please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____
_____	_____

5. Have you or any managers, partners, or officers of your firm ever given (directly or indirectly), or offered to give on behalf of another or through another person, campaign contributions or gifts to any current employee of SCAG or member of the SCAG Regional Council (including contributions to a political committee created by or on behalf of a member/candidate)?

YES NO

If "yes," please list name, date gift or contribution was given/offered, and dollar value:


Name	Date	Dollar Value
_____	_____	_____
_____	_____	_____
_____	_____	_____

SECTION III: VALIDATION STATEMENT

This Validation Statement must be completed and signed by at least one General Partner, Owner, Principal, or Officer authorized to legally commit the proposer.

DECLARATION

I, (printed full name) Judith Taylor, hereby declare that I am the (position or title) Partner of (firm name) HR&A Advisors, Inc., and that I am duly authorized to execute this Validation Statement on behalf of this entity. I hereby state that this SCAG Conflict of Interest Form dated 06/10/2021 is correct and current as submitted. I acknowledge that any false, deceptive, or fraudulent statements on this Validation Statement will result in rejection of my contract proposal.


06/10/2021

Signature of Person Certifying for Proposer
(original signature required)

Date

NOTICE

A material false statement, omission, or fraudulent inducement made in connection with this SCAG Conflict of Interest Form is sufficient cause for rejection of the contract proposal or revocation of a prior contract award.



**AGENDA ITEM 8
REPORT**

Southern California Association of Governments
Remote Participation Only
June 30, 2021

To: Executive/Administration Committee (EAC)
Regional Council (RC)

From: Tom Philip, Manager, Acting Chief Financial Officer
(213) 236-1951, philip@scag.ca.gov

Subject: Amendment \$75,000 or Greater or 30% or More of the Original
Contract's Value: Contract No. 21-028-C01 Amendment 1, Safe and
Resilient Streets Strategies and Mini-Grants

**EXECUTIVE DIRECTOR'S
APPROVAL**

Kome Ajise

RECOMMENDED ACTION:

Approve Amendment No. 1 to Contract No. 21-028-C01 in an amount of \$231,151, increasing the contract value from \$367,413 to \$598,564 with KOA Corporation to provide staff additional assistance with the administration of the *Go Human* community streets mini-grant program and with the production and distribution of co-branded safety advertisements for partner agencies. Authorize the Executive Director, or his designee, pursuant to legal counsel review, to execute the contract amendment on behalf of SCAG.

STRATEGIC PLAN:

This item supports the following Strategic Plan Goal 1: Produce innovative solutions that improve the quality of life for Southern Californians.

EXECUTIVE SUMMARY:

On March 30, 2021, SCAG awarded Contract No. 21-028-C01 to KOA Corporation to administer a community safety mini-grant program using funds from a grant from the Office of Traffic Safety (OTS). The primary goal of this mini-grant program is to fund a minimum of 30 community-based partners to carry out safety projects to reduce vehicle versus pedestrian and bicycle collisions, while increasing levels of walking and biking in Southern California.

SCAG is finalizing an amendment to its grant agreement with OTS to additionally use unobligated grant funding to increase funding for co-branding and printing associated with the program (\$83,528). Another contract, No. 21-036-C01, Community Ambassador Safety Training Program, funded by this same grant, did not use its full allocation as specified in SCAG's grant agreement with OTS. Lastly, this amendment would also increase mini-grant award funding that had not been originally included in the budget of the selected consultant (\$147,623), because when the Regional Council approved the original contact in March 2021, staff had not finalized the amount

needed for the mini-grant awards (although it was available in the project's budget), given staff had not finalized the selection of mini-grant awardees.

The entire \$231,151 (\$83,528 + \$147,623) in Amendment No. 1 will be directly distributed to the region in the form of co-branded printed material for partner jurisdictions or mini-grant awards. None of the funds in this amendment will go towards the consultant's costs.

This amendment exceeds \$75,000, as well as 30% of the contract's original value. Therefore, in accordance with the SCAG Procurement Manual (January 2021) Section 9.3, it requires the Regional Council's approval.

BACKGROUND:

Staff recommends executing the following amendment that is \$75,000 or greater or more than 30% of the contract's original value:

<u>Consultant/Contract #</u>	<u>Contract Purpose</u>	<u>Amendment Amount</u>
KOA Corporation (21-028-C01)	The consultant shall provide additional assistance with the administration of a community streets mini-grant program and with the production and distribution of co-branded safety advertisements for partner agencies.	\$231,151

FISCAL IMPACT:

Funding of \$231,151 is available in the FY 2021-22 Overall Work Program (OWP) in Project Number 225-3564J6.16.

ATTACHMENT(S):

1. Contract Summary 21-028-C01 Amendment 1
2. Contract Summary 21-028-C01 Amendment 1 COI

CONSULTANT CONTRACT NO. 21-028-C01 AMENDMENT 1

Consultant: KOA Corporation

Background & Scope of Work:

On March 30, 2021, SCAG awarded Contract 21-028-C01 to KOA Corporation to administer a community safety mini-grant program through its larger Go Human safety and encouragement program using funds from a grant from the Office of Traffic Safety (OTS). The primary goal of the mini-grants is to fund a minimum of 30 community-based partners to carry out safety projects to reduce vehicle versus pedestrian and bicycle collisions, while increasing levels of walking and biking in Southern California. The consultant is responsible for the following elements:

1. Safe and Resilient Streets Strategies Mini-Grants Program management
2. Communication Plan development and implementation
3. Co-Branding and printing for partner agencies

This program will fund a minimum of 30 small-scale, community-driven projects developed by eligible organizations (such as non-profits and community-based organizations) that address safety concerns of a community. Projects may involve using street space for resilient economic recovery, resource delivery, access to open space, and other purposes to increase safety in communities. Funded organizations may incorporate Go Human co-branded safety materials or Kit of Parts deployments. This program encourages partnerships between a local jurisdiction, community-based organization, and SCAG.

SCAG is finalizing an amendment to its grant agreement with OTS to additionally use unobligated grant funding to increase funding for co-branding and printing associate with the program (\$83,528). Further, another contract, 21-036-C01, Community Ambassador Safety Training Program, funded by this same grant, did not use its full allocation as specified in SCAG’s grant agreement with OTS. Lastly, this amendment would also increase Mini-Grant award funding that had not been originally included in the budget of the selected consultant (\$147,623), because when the Regional Council approved the original contact in March 2021, staff had not finalized the amount needed for the mini-grant awards (although it was available in the project’s budget), given staff had not finalized the selection of mini-grant awardees.

This amendment would increase the contract value from \$367,413 to \$598,564 (\$231,151). The entire \$231,151 (\$83,528 + \$147,623) in Amendment No 1 will be directly distributed to the region in the form of co-branded printed material for partner jurisdictions or mini-grant awards. None of the funds in this amendment will go towards the consultant’s costs.

Project’s Benefits & Key Deliverables:

- The project’s benefits and key deliverables include, but are not limited to:
- Communication Plan and contact list for six (6) counties (Imperial, Los Angeles, Orange, Riverside, San Bernardino and Ventura);
 - Repository of applicant documents (30 applicants).
 - Co-branded assets for a minimum of 20 partners; and

Attachment: Contract Summary 21-028-C01 Amendment 1 (Amendment \$75,000 or Greater or 30% or More of the Original Contract’s Value:

- Draft and final report, including a community presentation of results, summary, recommendations.

Strategic Plan: This item supports SCAG’s Strategic Plan Goal 1: Produce innovative solutions that improve the quality of life for Southern Californians.

Contract Amount:	Amendment 1	\$231,151
	Original contract value	<u>\$367,413</u>
	Total not to exceed	\$598,564

This amendment exceeds \$75,000 and is greater than 30% of the contract’s original value. Therefore, in accordance with the SCAG Procurement Manual (dated January 2021) Section 9.3, it requires the Regional Council’s approval.

Contract Period: March 30, 2020 through September 30, 2021

Project Number(s): 225.3564J6.16 \$231,151
 Funding source(s): Pedestrian and Bicycle Safety Program Grant

Funding of \$231,151 is available in the FY 2020-21 Overall Work Program (OWP) in Project Number 225.3564J6.16.

Basis for the Amendment As previously stated, SCAG amended its Grant Agreement with OTS to use unobligated grant funding to increase additional funding for the mini-grant program, as well as for co-branding and printing associate with the program. Staff will use the additional funding to increase amount of funding distributed directly to Mini-Grant awardees and will increase the amount of advertisement material that can be printed and distributed to partner agencies.

**Conflict Of Interest (COI) Form - Attachment
For July 1, 2021 Regional Council Approval**

Approve Amendment No.1 to Contract No. 21-028-C01 in an amount of \$231,151, increasing the contract value from \$367,413 to \$598,564 with KOA Corporation to provide staff additional assistance with the administration for the Go Human community streets mini-grant program and with the production and distribution of co-branded safety advertisements for partner agencies. Authorize the Executive Director, or his designee, pursuant to legal counsel review, to execute the contract amendment on behalf of SCAG.

The consultant team for this contract includes:

Consultant Name	Did the consultant disclose a conflict in the Conflict of Interest Form they submitted with its original proposal (Yes or No)?
KOA (prime consultant)	No - form attached
Safe Routes Partnership (subconsultant)	

SCAG CONFLICT OF INTEREST FORM

RFP No. 21-028

SECTION I: INSTRUCTIONS

All persons or firms seeking contracts must complete and submit a SCAG Conflict of Interest Form along with the proposal. This requirement also applies to any proposed subconsultant(s). Failure to comply with this requirement may cause your proposal to be declared non-responsive.

In order to answer the questions contained in this form, please review SCAG’s Conflict of Interest Policy, the list of SCAG employees, and the list of SCAG’s Regional Council members. All three documents can be viewed online at www.scag.ca.gov. The SCAG Conflict of Interest Policy is located under “OPPORTUNITIES”, then “Doing Business with SCAG” and scroll down under the “CONTRACTS” tab; whereas the SCAG staff may be found under “ABOUT” then “Employee Directory”; and Regional Council members can be found under “ABOUT”, then scroll down to “ELECTED OFFICIALS” on the left side of the page and click on “See the list of SCAG representative and their Districts.”

Any questions regarding the information required to be disclosed in this form should be directed to SCAG’s Deputy Legal Counsel, especially if you answer “yes” to any question in this form, as doing so MAY also disqualify your firm from submitting an offer on this proposal

Name of Firm: KOA Corporation
Name of Preparer: Min Zhou, PE
Project Title: CEO/President
RFP Number: 21-028 **Date Submitted:** January 25, 2021

SECTION II: QUESTIONS

1. During the last twelve (12) months, has your firm provided a source of income to employees of SCAG or members of the SCAG Regional Council, or have any employees or Regional Council members held any investment (including real property) in your firm?

YES NO

If “yes,” please list the names of those SCAG employees and/or SCAG Regional Council members and the nature of the financial interest:

Name	Nature of Financial Interest
_____	_____
_____	_____
_____	_____
_____	_____

2. Have you or any members of your firm been an employee of SCAG or served as a member of the SCAG Regional Council within the last twelve (12) months?

YES NO

If "yes," please list name, position, and dates of service:

Name	Position	Dates of Service
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

3. Are you or any managers, partners, or officers of your firm related by blood or marriage/domestic partnership to an employee of SCAG or member of the SCAG Regional Council that is considering your proposal?

YES NO

If "yes," please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____
_____	_____

4. Does an employee of SCAG or a member of the SCAG Regional Council hold a position at your firm as a director, officer, partner, trustee, employee, or any position of management?

YES NO

If "yes," please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____
_____	_____

- 5. Have you or any managers, partners, or officers of your firm ever given (directly or indirectly), or offered to give on behalf of another or through another person, campaign contributions or gifts to any current employee of SCAG or member of the SCAG Regional Council (including contributions to a political committee created by or on behalf of a member/candidate)?

YES NO

If "yes," please list name, date gift or contribution was given/offered, and dollar value:

Name	Date	Dollar Value
_____	_____	_____
_____	_____	_____
_____	_____	_____

SECTION III: VALIDATION STATEMENT

This Validation Statement must be completed and signed by at least one General Partner, Owner, Principal, or Officer authorized to legally commit the proposer.

DECLARATION

I, (printed full name) Min Zhou, PE, hereby declare that I am the (position or title) CEO/President of (firm name) KOA Corporation, and that I am duly authorized to execute this Validation Statement on behalf of this entity. I hereby state that this SCAG Conflict of Interest Form dated January 25, 2021 is correct and current as submitted. I acknowledge that any false, deceptive, or fraudulent statements on this Validation Statement will result in rejection of my contract proposal.


 _____ January 25, 2021 _____
 Signature of Person Certifying for Proposer Date
 (original signature required)

NOTICE

A material false statement, omission, or fraudulent inducement made in connection with this SCAG Conflict of Interest Form is sufficient cause for rejection of the contract proposal or revocation of a prior contract award.

SCAG CONFLICT OF INTEREST FORM

RFP No. 21-028

SECTION I: INSTRUCTIONS

All persons or firms seeking contracts must complete and submit a SCAG Conflict of Interest Form along with the proposal. This requirement also applies to any proposed subconsultant(s). Failure to comply with this requirement may cause your proposal to be declared non-responsive.

In order to answer the questions contained in this form, please review SCAG's Conflict of Interest Policy, the list of SCAG employees, and the list of SCAG's Regional Council members. All three documents can be viewed online at www.scag.ca.gov. The SCAG Conflict of Interest Policy is located under "OPPORTUNITIES", then "Doing Business with SCAG" and scroll down under the "CONTRACTS" tab; whereas the SCAG staff may be found under "ABOUT" then "Employee Directory"; and Regional Council members can be found under "ABOUT", then scroll down to "ELECTED OFFICIALS" on the left side of the page and click on "See the list of SCAG representative and their Districts."

Any questions regarding the information required to be disclosed in this form should be directed to SCAG's Deputy Legal Counsel, especially if you answer "yes" to any question in this form, as doing so MAY also disqualify your firm from submitting an offer on this proposal

Name of Firm: Safe Routes to School National Partnership
Name of Preparer: Cassandra Isidro
Project Title: Safe and Resilient Streets Strategies and Mini-Grants
RFP Number: 21-028 **Date Submitted:** January 25, 2021

SECTION II: QUESTIONS

1. During the last twelve (12) months, has your firm provided a source of income to employees of SCAG or members of the SCAG Regional Council, or have any employees or Regional Council members held any investment (including real property) in your firm?

YES NO

If "yes," please list the names of those SCAG employees and/or SCAG Regional Council members and the nature of the financial interest:

Name	Nature of Financial Interest
_____	_____
_____	_____
_____	_____

2. Have you or any members of your firm been an employee of SCAG or served as a member of the SCAG Regional Council within the last twelve (12) months?

YES NO

If "yes," please list name, position, and dates of service:

Name	Position	Dates of Service
_____	_____	_____
_____	_____	_____
_____	_____	_____

3. Are you or any managers, partners, or officers of your firm related by blood or marriage/domestic partnership to an employee of SCAG or member of the SCAG Regional Council that is considering your proposal?

YES NO

If "yes," please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____

4. Does an employee of SCAG or a member of the SCAG Regional Council hold a position at your firm as a director, officer, partner, trustee, employee, or any position of management?

YES NO

If "yes," please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____

5. Have you or any managers, partners, or officers of your firm ever given (directly or indirectly), or offered to give on behalf of another or through another person, campaign contributions or gifts to any current employee of SCAG or member of the SCAG Regional Council (including contributions to a political committee created by or on behalf of a member/candidate)?

YES NO

If "yes," please list name, date gift or contribution was given/offered, and dollar value:

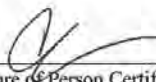
Name	Date	Dollar Value
_____	_____	_____
_____	_____	_____
_____	_____	_____

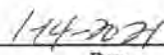
SECTION III: VALIDATION STATEMENT

This Validation Statement must be completed and signed by at least one General Partner, Owner, Principal, or Officer authorized to legally commit the proposer.

DECLARATION

I, (printed full name) Cassandra Isidro, hereby declare that I am the (position or title) Executive Director of (firm name) Safe Routes to School National Partnership, and that I am duly authorized to execute this Validation Statement on behalf of this entity. I hereby state that this SCAG Conflict of Interest Form dated January 13, 2021 is correct and current as submitted. I acknowledge that any false, deceptive, or fraudulent statements on this Validation Statement will result in rejection of my contract proposal.





 Signature of Person Certifying for Proposer Date

 (original signature required)

NOTICE

A material false statement, omission, or fraudulent inducement made in connection with this SCAG Conflict of Interest Form is sufficient cause for rejection of the contract proposal or revocation of a prior contract award.



**AGENDA ITEM 9
REPORT**

Southern California Association of Governments
Remote Participation Only
June 30, 2021

To: Executive/Administration Committee (EAC)
Regional Council (RC)

From: Javiera Cartagena, Acting Director of Policy and Public Affairs
(213) 236-1980, cartagena@scag.ca.gov

Subject: SCAG Memberships and Sponsorships

**EXECUTIVE DIRECTOR'S
APPROVAL**

RECOMMENDED ACTION:

Approve up to \$10,000 in annual memberships for the 1) University of California Riverside Inland Center for Sustainable Development (\$10,000).

STRATEGIC PLAN:

This item supports the following Strategic Plan Goal 2: Advance Southern California’s policy interests and planning priorities through regional, statewide, and national engagement and advocacy.

EXECUTIVE SUMMARY:

At its June 15, 2021 meeting, the Legislative/Communications and Membership Committee (LCMC) recommended approval of up to \$10,000 in annual memberships for the 1) University of California Riverside Inland Center for Sustainable Development (\$10,000).

BACKGROUND:

Item 1: University of California Riverside Inland Center for Sustainable Development (UCR ICSD)
Type: Membership **Amount:** \$10,000

The Inland Center for Sustainable Development (ICSD) is a research center in the School of Public Policy at the University of California, Riverside. UCR-ICSD was established approximately one year ago and was originally established as the Center for Sustainable Suburban Development (CSSD) in 2003 as part of UCR’s School of Public Policy. In the last year, the ICSD has published four (4) reports, six (6) Issue Briefs, and two (2) Case Studies about housing in the Inland Region and California as a whole, which amounts to about one (1) publication per month. The ICSD has three main goals including:

1. To coordinate sustainability efforts in land use, transportation, infrastructure, energy, water, public health, emergency response, and resource management agencies.
-

2. To serve as a convening body to promote collaboration among decision-makers and practitioners in determining the best course of action for the region.
3. To facilitate, both within ICSD's membership and with policymakers in the region, the exchange of information, including cutting-edge and locally relevant research initiatives, best practices, information management systems, and education efforts.

Additionally, ICSD is committed to increasing community engagement and involvement through its website, social media, and mail lists with almost 2,000 subscribers. ICSD has engaged the broader UCR community by hiring interns, employees, and research associates from various undergraduate and graduate programs at UCR. A final report for this year's sponsorship period will be released this month and will highlight the need for continued focus on housing. ICSD has a dedicated website, podcast series and continuously improves its social media presence to reach more stakeholders and the public at large.

The ICSD is partnered with various SCAG member jurisdictions and organizations within the region, including, but not limited to, Riverside County, San Bernardino County, San Bernardino County Transportation Agency (SBCTA), Riverside Transit Agency (RTA), the Cities of Ontario and Rancho Cucamonga, Ontario International Airport, and the Eastern and Western Municipal Water Districts. Joining the ICSD would provide various benefits, including a position on the ICSD Leadership Council. This membership would allow SCAG to broaden communication and collaboration in the Inland Empire with strategic partners such as the Western Riverside Council of Governments (WRCOG), SBCTA, and other regional stakeholders. In addition, the ICSD intends to further focus efforts on housing in the region. SCAG's current efforts to further develop housing support programs align with this initiative.

FISCAL IMPACT:

\$10,000 for membership in the University of California Riverside Inland Center for Sustainable Development is included in the approved FY 21-22 General Fund budget.



**AGENDA ITEM 10
REPORT**

Southern California Association of Governments
Remote Participation Only
June 30, 2021

To: Executive/Administration Committee (EAC)
Regional Council (RC)

**EXECUTIVE DIRECTOR'S
APPROVAL**

From: Tom Philip, Manager, Acting Chief Financial Officer
(213) 236-1951, philip@scag.ca.gov

Subject: Purchase Orders \$5,000 - \$199,999; Contracts \$25,000 - \$199,999 and
Amendments \$5,000 - \$74,999

RECOMMENDED ACTION:

For Information Only - No Action Required

STRATEGIC PLAN:

This item supports the following Strategic Plan Goal 7: Secure funding to support agency priorities to effectively and efficiently deliver work products.

BACKGROUND:

SCAG executed the following Purchase Orders (PO's) more than \$5,000 but less than \$200,000

<u>Vendor</u>	<u>PO Purpose</u>	<u>PO Amount</u>
Trimble Inc.	FY21 Ecognition Software	\$16,700
Law Offices of Jim Kahng	FY21 Government Filing Fees	\$5,614

SCAG executed the following Contracts more than \$25,000 but less than \$200,000

<u>Consultant/Contract #</u>	<u>Contract's Purpose</u>	<u>Contract Amount</u>
Magellan Advisors, LLC (21-035-C01)	Consistent with the requirements of the Caltrans State Highway Account Grant that funds this project, the consultant shall complete a Final Transportation Broadband Strategies Report showing improved mobility and environmental benefits of reduced VMT and GHG by integrating broadband planning and deployment into transportation planning and facilities.	\$174,808



SCAG executed the following Contracts more than \$25,000 but less than \$200,000

<u>Consultant/Contract #</u>	<u>Contract's Purpose</u>	<u>Contract Amount</u>
AECOM Technical Services, Inc. (21-038-C01)	The consultant shall complete a white paper that will assess the feasibility of implementing Mobility as a Service (MaaS) within the SCAG region including identification of challenges and opportunities, key institutional and infrastructure needs, and develop an implementation guide. This white paper will additionally be relevant in the post-pandemic world as it presents both opportunities and challenges to foster new mobility solutions for our changing transportation ecosystem.	\$160,210

SCAG executed the Amendment more than \$5,000 but less than \$75,000

<u>Consultant/Contract #</u>	<u>Amendment's Purpose</u>	<u>Amendment Amount</u>
N/A	N/A	

ATTACHMENT(S):

1. Contract Summary 21-035-C01
2. Contract Summary 21-038-C01

CONSULTANT CONTRACT NO. 21-035-C01

**Recommended
Consultant:**

Magellan Advisors, LLC (Magellan)

**Background &
Scope of Work:**

In 2019, the Caltrans State Highway Account (SHA) awarded SCAG a Caltrans Sustainable Communities grant to determine the feasibility of accelerating Digital Inclusion (broadband deployment and adoption) as a "green strategy" to reduce Vehicle Miles Traveled (VMT), improve mobility, and quantify the potential for contributing to the SCAG region's GHG (Greenhouse Gas) emissions reduction goals. SCAG's grant partners (sub-recipients) include the California Emerging Technology Fund (CETF) and the Regional Broadband Consortia: the Inland Empire Regional Broadband Consortium (IERBC), the Broadband Consortium of the Pacific Coast (BCPC) and the Southern Border Broadband Consortium (SBBC).

Currently, transportation planning, whether by Caltrans, a regional transportation authority, or local government, does not typically have Digital Inclusion (broadband planning and deployment) as part of the checklist of items considered in developing projects. This results in little to no broadband planning in transportation planning such as project study reports, project reports, right-of-way, environmental clearances, design, and construction.

SCAG seeks to demonstrate that broadband planning, including installation of conduit for future broadband use in transportation projects, along with environmental benefits of VMT and GHG reduction, can lead to "Dig-Once" project cost savings, as well as public private partnerships with Internet Service Providers (ISP's) potentially generating new revenue to cover costs in the long run for broadband planning.

The environmental benefits of broadband, including improved mobility and reduced VMT and GHG, along with the cost savings and public benefit of broadband planning, need to be addressed, studied, and confirmed so that these benefits and savings are understood as a sustainable community strategy, and broadband planning becomes standard practice in transportation planning and facilities. This will result in improved broadband deployment especially in disadvantaged communities and unserved/underserved rural and urban areas.

Consistent with the requirements of the Caltrans State Highway Account Grant that funds this project, the consultant shall complete a Final Transportation Broadband Strategies Report showing improved mobility and environmental benefits of reduced VMT and GHG by integrating broadband planning and deployment into transportation planning and facilities.

**Project's Benefits
& Key Deliverables:**

The project's benefits and key deliverables include, but are not limited to:

- Providing quantification and analysis showing broadband in transportation projects leads to improved broadband service through time and cost efficiencies, which lead to reduced VMT and GHG emissions;
- Developing a broadband investment and penetration study showing how much VMT and GHG is reduced as broadband service improves, especially in disadvantaged and unserved/underserved communities; and
- Identifying cost and funding strategies for including broadband in transportation

Attachment: Contract Summary 21-035-C01 (Purchase Orders \$5,000 - \$199,999; Contracts \$25,000 - \$199,999 and Amendments \$5,000 -

projects and relate this back to the value of VMT and GHG reduction to meet SCAG's regional goals.

Strategic Plan: This item supports SCAG's Strategic Plan Goal 1:
Produce innovative solutions that improve the quality of life for Southern Californians.

Contract Amount: **Total not to exceed** **\$174,808**

Magellan (prime consultant)	\$113,400
DKS (subconsultant)	\$61,408

Note: Magellan originally proposed \$397,870 (included more staff hours than necessary to complete the scope of work), but staff negotiated the staff hours and thus reduced their overall price to \$174,808 without reducing the scope of work and task deliverables.

Contract Period: May 10, 2021 through June 30, 2022

Project Number(s):

155-4863U8.01	\$154,757.50
155-4863R6.01	\$20,050.48

Funding source: FY20 Caltrans Grant Program State Highway Account (SHA) and California Emerging Technology Fund (CETF) Cash Match.

Funding of \$174,808 is available in the FY 2021-22 Overall Work Program (OWP) budget in Project Number 155-4863.01, subject to budget availability.

Request for Proposal (RFP): SCAG staff notified 3,179 firms of the release of RFP 21-035 via SCAG's Solicitation Management System website. A total of 68 firms downloaded the RFP. SCAG received the following five (5) proposals in response to the solicitation:

Magellan (1 subconsultant)	\$397,870
LSA Associates, Inc. (1 subconsultant)	\$398,933
Applied Geographics (2 subconsultants)	\$415,583
Ernst & Young Infrastructure Advisors, LLC (1 subconsultant)	\$424,766
V&A, Inc. (3 subconsultants)	\$699,358

Selection Process: The Proposal Review Committee (PRC) evaluated each proposal in accordance with the criteria set forth in the RFP and conducted the selection process in a manner consistent with all applicable federal and state contracting regulations. After evaluating the proposals, the PRC interviewed the top two (2) highest ranked offerors.

The PRC consisted of the following individuals:

Thomas Bellino, Senior Regional Planner, SCAG
Sunne McPeak, President, California Emergency Technology Fund (CETF)
Martha van Rooijen, Executive Director, Inland Empire Regional Broadband Consortium (IERBC)

Basis for Selection: The PRC recommended Magellan for the contract award because the consultant:

- Demonstrated the best understanding of the project, specifically in the broadband policy, speed standards, and issues related to deployment in underserved and disadvantaged communities. Further, they will provide a GIS map featuring broadband demand and inventory, which is important because we will need to visualize the geographic data in order to make it clear which parts of the SCAG region are in need of better broadband;
- Provided the best technical approach, for example their plan includes utilizing data and performing modeling and analysis that will provide defensible outcomes;
- Has the most relevant work experience on projects of similar size and scope which is an advantage in being able to start this work quickly; and
- Proposed the lowest cost.

CONSULTANT CONTRACT NO. 21-038-C01

Recommended Consultant:	AECOM Technical Services, Inc. (AECOM)	
Background & Scope of Work:	<p>Mobility choices have increased over the last couple of years, but this has at the same time revealed the complexities and challenges in the transportation world. Partnerships between public agencies and Transportation Network Companies (TNCs) and other private mobility providers seem to have led to improved first/last mile connections, however, there are several key factors necessary to make those partnerships work effectively. One of the concepts that have emerged out of this creativity and synergy is called Mobility as a Service (MaaS).</p> <p>MaaS is the consolidation or integration of various travel modes into a single mobility service or platform – giving people a variety of mobility and payment options. The definition is sometimes confused with an app, but the MaaS concept is more than just an app. MaaS offers added value to mobility with one payment system instead of multiple channels to access buses, bikes, trains, taxis, ride-hailing, ridesharing and new micro-mobility options such as e-scooters. At the core of MaaS is the ability to equitably offer customized mobility options for all persons.</p> <p>The consultant shall complete a white paper that will assess the feasibility of implementing MaaS within the SCAG region including identification of challenges and opportunities, key institutional and infrastructure needs, and develop an implementation guide. This white paper will additionally be relevant in the post-pandemic world as it presents both opportunities and challenges to foster new mobility solutions for our changing transportation ecosystem.</p>	
Project’s Benefits & Key Deliverables:	<p>The project’s benefits and key deliverables include, but are not limited to:</p> <ul style="list-style-type: none"> • Conducting best practice research including review of literature and case studies related to MaaS; • Providing a Technical Memorandum identifying feasibility, challenges and opportunities for MaaS implementation; and • Developing an Implementation Guide to ensure successful implementation of MaaS in the SCAG region to support Connect SoCal goals of reduced congestion and GHG emissions, improved air quality, healthy communities, and strong economies. 	
Strategic Plan:	This item supports SCAG’s Strategic Plan Goal 1: Produce innovative solutions that improve the quality of life for Southern Californians.	
Contract Amount:	Total not to exceed	\$160,210
	AECOM (prime consultant)	\$109,726
	Arellano Associates (subconsultant)	\$50,484
Contract Period:	June 1, 2021 through June 30, 2022	
Project Number(s):	140-0121B.10	\$160,210

Attachment: Contract Summary 21-038-C01 (Purchase Orders \$5,000 - \$199,999; Contracts \$25,000 - \$199,999 and Amendments \$5,000 -

Funding source: Consolidated Planning Grant (CPG) – Federal Transit Administration (FTA 5303)

Funding of \$75,000 is available in the FY 2020-21 budget in Project Number 140-0121B.10 and the remaining \$85,210 is expected to be available in the FY 2021-22 budget in Project Number 140-0121.10, subject to budget availability.

Request for Proposal (RFP):

SCAG staff notified 2,851 firms of the release of RFP 21-038 via SCAG’s Solicitation Management System website. A total of 83 firms downloaded the RFP. SCAG received the following six (6) proposals in response to the solicitation:

AECOM (1 subconsultant)	\$160,210
Steer Davies (2 subconsultants)	\$73,500
Nelson\Nygaard Consulting Associates, Inc. (3 subconsultants)	\$73,992
IBI Group (4 subconsultants)	\$74,674
Xenconsult, Inc. (1 subconsultant)	\$79,726
Mott MacDonald, Inc. (1 subconsultant)	\$149,801

Selection Process:

The Proposal Review Committee (PRC) evaluated each proposal in accordance with the criteria set forth in the RFP and conducted the selection process in a manner consistent with all applicable federal and state contracting regulations. After evaluating the proposals, the PRC interviewed the three (3) highest ranked offerors.

The PRC consisted of the following individuals:

Priscilla Freduah-Agyemang, Senior Regional Planner, SCAG
Philip Law, Manager, Mobility Planning and Management, SCAG
Lorelle Moe-Luna, Director, Multimodal Services, Riverside County Transportation Commission

Basis for Selection:

- The PRC recommended AECOM for the contract award because the consultant:
- Proposed the best technical approach and demonstrated thorough knowledge and understanding of the project scope and deliverables. With the limited experience of MaaS in the US, the project team had specific experience in different elements of MaaS, like mobility wallet, mobility hubs, and micro transit. MaaS is predominant in Europe and the consultant has a team member who will be dedicated to working on the study to bring in the best practices and insights to build on the unique complexities of MaaS in the SCAG region. They demonstrated understanding of the challenges and diversity in the SCAG region and the composition of the demographics of commuters. The consultant proposed an interactive online story map for the final report which will be useful for MaaS implementation in the region;
 - Presented qualified and organized project team and demonstrated cohesive team dynamics during the interview. Each team member clearly demonstrated the various roles on the project, providing extensive experience with related projects and relevance to the study. Each of the responses during the interview included specific and relatable relevant examples of previous work;
 - Proposed a stakeholder engagement approach that recognizes the different audiences. The consultant demonstrated the knowledge on MaaS as an equity tool

which helps to set indicators for the multiple layers of MaaS that is needed for the study.

Although other firms proposed lower prices, the PRC did not recommend these firms for contract award because these firms:

- Did not propose technical approach as robust as the selected firm. The approaches presented in the interviews were shallow and nothing beyond what was presented in the proposals. Also, the firms did not clearly respond to all the questions during the interview and did not demonstrate substantial knowledge with clear examples of related projects;
- Although, the proposers had team members including those with international MaaS experience, relevant for study, team cohesion was not the strongest in the way the presentation and answers were delivered during the interview. Also, team members with relevant experiences had limited hours on the projects and that can be problematic as they may not be able to contribute fully to the project; and
- Stakeholder engagement approach did not clearly demonstrate understanding of the complexities of the SCAG region.



AGENDA ITEM 11
REPORT

Southern California Association of Governments
Remote Participation Only
June 30, 2021

To: Executive/Administration Committee (EAC)
Regional Council (RC)

From: Tom Philip, Manager, Acting Chief Financial Officer
(213) 236-1951, philip@scag.ca.gov

Subject: CFO Monthly Report

**EXECUTIVE DIRECTOR'S
APPROVAL**

Kome Ajise

RECOMMENDED ACTION:

Information Only - No Action Required

STRATEGIC PLAN:

This item supports the following Strategic Plan Goal 7: Secure funding to support agency priorities to effectively and efficiently deliver work products.

MEMBERSHIP DUES:

As of June 14, 2021, 184 cities and 6 counties had paid their FY21 dues. This represents 98.42% of the dues assessment. Four cities have yet to pay their FY21 dues. Three cities are being recruited for membership. SCAG is in the process of reaching out to all members with outstanding dues.

BUDGET & GRANTS (B&G):

Staff prepared the FY 2021-22 OWP In-Kind Match Packet for the value of third-party services by local transportation agencies that may be accepted as match for federal funds for specific SCAG planning work activities. The In-Kind Match Packet was prepared in accordance with the provisions of 2 CFR 200.306 and will be submitted to Caltrans for review and approval.

CONTRACTS:

In May 2021, the Contracts Department issued two (2) Request for Proposals; awarded six (6) contracts; issued eight (8) contract amendments; and processed 20 Purchase Orders to support ongoing business and enterprise operations. Staff also administered 171 consultant contracts. Contracts staff continued to negotiate better pricing as well as reduced costs for services. This month, Contracts' staff negotiated \$229,469 in budget savings, bringing the Fiscal Year total to \$1,486,302 in savings.

ATTACHMENT(S):

1. 070121 CFO Report
-



Office of the Chief Financial Officer

Monthly Status Report

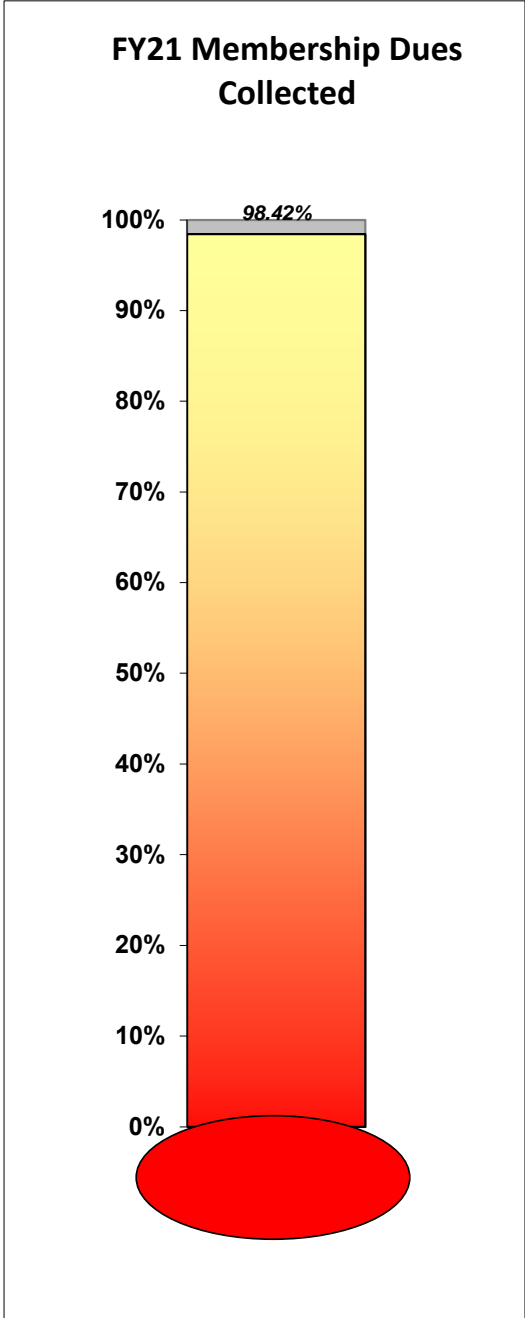
MAY 2021

OVERVIEW

As of June 14, 2021, 184 cities and 6 counties had paid their FY21 dues. This represents 98.42% of the dues assessment. Four cities have yet to pay their dues. Three cities are being recruited for membership.

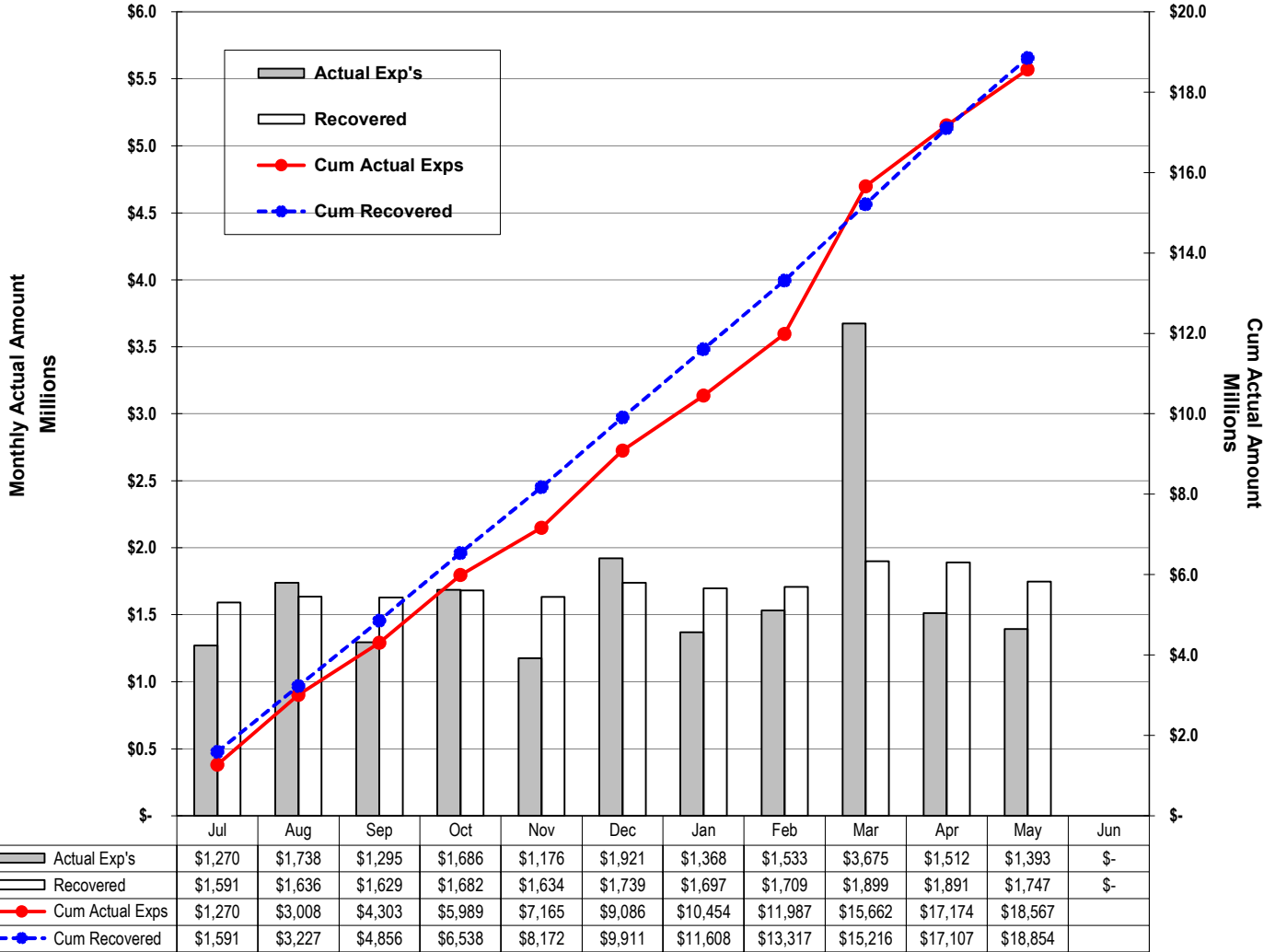
SUMMARY

FY21 Membership Dues	<u>\$ 2,172,468</u>
Waivers Exercised	<u>\$ (283,777)</u>
	<u>\$ 1,888,690</u>
 Total Collected	 <u>\$ 1,861,516</u>
 Percentage Collected	 <u>98.42%</u>





FY21 INDIRECT COST & RECOVERY



Attachment: 070121 CFO Report (CFO Monthly Report)

OVERVIEW

A comparison of Indirect Cost (IC), incurred by SCAG vs. IC recovered from SCAG's grants.

SUMMARY

Through May 2021, SCAG was over-recovered by \$286,656.32 due to unspent Indirect Cost budget. This is in line with the over-recovery built in to the FY21 IC rate.



Office of the CFO
Consolidated Balance Sheet

	4/30/2021	5/31/2021	Incr (decr) to equity	COMMENTS
Cash at Bank of the West	\$ 7,938,805	\$ 6,405,540		
LA County Investment Pool	\$ 7,955,728	\$ 10,674,932		
Cash & Investments	\$ 15,894,533	\$ 17,080,473	\$ 1,185,940	Revenues of \$7.71M and Expenses of \$6.53M both on cash basis.
Accounts Receivable	\$ 12,824,620	\$ 11,289,970	\$ (1,534,650)	Payments of \$1.09M from SB1, \$581K from FHWA PL, \$265K from FTA5303, and \$103K from TDA offset by billables of \$226K to REAP, \$79K to ATP, and \$125K to miscellaneous grants,
Other Current Assets	\$ 3,491,140	\$ 3,018,629	\$ (472,511)	Net amortization of \$119K in prepaid expenses plus IC fund over-recovery of \$354K.
Fixed Assets - Net Book Value	\$ 5,433,945	\$ 5,433,945	\$ -	No change.
Total Assets	\$ 37,644,238	\$ 36,823,017	\$ (821,222)	
Accounts Payable	\$ (166,241)	\$ (243,099)	\$ (76,858)	Slight increase in accounts payables.
Employee-related Liabilities	\$ (378,716)	\$ (466,229)	\$ (87,513)	April had 5 unpaid working days while May had 6.
Deferred Revenue	\$ (12,094,882)	\$ (12,079,882)	\$ 15,000	Reclass of Los Alamitos ATP cash match funds.
Total Liabilities and Deferred Revenue	\$ (12,639,840)	\$ (12,789,211)	\$ (149,371)	
Fund Balance	\$ 25,004,398	\$ 24,033,806	\$ (970,592)	
WORKING CAPITAL				
	4/30/2021	5/31/2021	Incr (decr) to working capital	
Cash	\$ 15,894,533	\$ 17,080,473	\$ 1,185,940	
Accounts Receivable	\$ 12,824,620	\$ 11,289,970	\$ (1,534,650)	
Accounts Payable	\$ (166,241)	\$ (243,099)	\$ (76,858)	
Employee-related Liabilities	\$ (378,716)	\$ (466,229)	\$ (87,513)	
Working Capital	\$ 28,174,195	\$ 27,661,114	\$ (513,081)	

Attachment: 070121 CFO Report (CFO Monthly Report)



Office of the CFO
Fiscal Year-To-Date Expenditure Report Through May 31, 2021

COMPREHENSIVE BUDGET

		Adopted Budget	Amended Budget	Expenditures	Commitments	Budget Balance	% Budget Spent
1	Staff & Allocated Fringe Benefits	237,765	237,765	129,202	-	108,563	54.3%
2	51001 Allocated Indirect Costs	311,548	311,548	158,337	-	153,211	50.8%
3	54300 SCAG Consultants	327,000	326,306	199,012	107,338	19,956	61.0%
4	54340 Legal costs	100,000	100,000	89,064	10,936	0	89.1%
5	55210 Software	76,400	76,400	12,502	-	63,898	16.4%
6	55441 Payroll, bank fees	15,000	15,000	8,844	6,156	(0)	59.0%
7	55600 SCAG Memberships	116,000	133,400	133,400	0	(0)	100.0%
8	55610 Professional Membership	11,500	11,500	5,940	957	4,603	51.7%
9	55620 Res mat/sub	2,000	2,000	1,005	-	995	50.3%
10	55860 Scholarships	36,000	44,000	44,000	-	0	100.0%
11	55910 RC/Committee Mtgs	15,000	15,000	-	-	15,000	0.0%
12	55912 RC Retreat	13,000	13,000	-	-	13,000	0.0%
13	55914 RC General Assembly	611,500	611,500	850	28,281	582,369	0.1%
14	55915 Demographic Workshop	28,000	28,000	-	-	28,000	0.0%
15	55916 Economic Summit	85,000	85,000	46,740	-	38,260	55.0%
16	55918 Housing Summit	20,000	20,000	-	-	20,000	0.0%
17	55920 Other Meeting Expense	86,500	61,794	3,210	19,510	39,074	5.2%
18	55xxx Miscellaneous other	67,260	67,260	18,808	846	47,607	28.0%
19	55940 Stipend - RC Meetings	195,000	195,000	213,630	-	(18,630)	109.6%
20	56100 Printing	10,000	10,000	-	-	10,000	0.0%
21	58100 Travel - outside SCAG region	77,500	77,500	-	-	77,500	0.0%
22	58101 Travel - local	47,500	47,500	339	-	47,161	0.7%
23	58110 Mileage - local	31,500	31,500	254	-	31,246	0.8%
24	58150 Travel Lodging	13,000	13,000	-	-	13,000	0.0%
25	58800 RC Sponsorships	150,000	150,000	53,213	3,501	93,286	35.5%
26	Total General Fund	2,683,973	2,683,973	1,118,351	177,524	1,388,098	41.7%
27							
28	Staff & Allocated Fringe Benefits	16,803,872	17,041,524	14,312,696	-	2,728,828	84.0%
29	51001 Allocated Indirect Costs	22,010,306	22,321,594	18,647,773	-	3,673,821	83.5%
30	54300 SCAG Consultants	30,910,906	33,929,495	8,658,438	15,670,088	9,600,969	25.5%
31	54302 Non-Profits/IHL	705,601	1,339,574	385,162	135,936	818,476	28.8%
32	54303 Consultants TC - FTA 5303	6,919,788	6,699,616	1,108,088	1,891,799	3,699,729	16.5%
33	54340 Legal Services - FTA 5303	50,000	257,271	257,271	(0)	0	100.0%
34	54360 Pass-through Payments	3,031,153	9,191,406	17,518	9,173,888	0	0.2%
35	55210 Software Support	250,000	266,700	160,528	5,124	101,048	60.2%
36	55250 Cloud Services	2,122,030	2,133,330	255,406	421,335	1,456,589	12.0%
37	5528x Third Party Contributions	5,569,260	5,672,559	3,778,513	-	1,894,046	66.6%
38	55310 F&F Principal	251,852	251,852	230,394	21,458	0	91.5%
39	55315 F&F Interest	19,237	19,237	17,963	1,274	0	93.4%
40	55320 AV Principal	141,160	141,160	129,102	12,058	0	91.5%
41	55325 AV Interest	4,567	4,567	4,258	309	0	93.2%
42	55415 Off Site Storage	-	9,600	4,692	-	4,908	48.9%
43	55xxx Office Expenses	-	159	159	-	0	100.0%
44	55520 Hardware Supp	5,000	5,000	2,460	-	2,540	49.2%
45	55580 Outreach/Advertisement	50,000	56,745	56,698	(0)	48	99.9%
46	55620 Resource Materials - subscrib	610,000	610,000	121,224	50,371	438,405	19.9%
47	55730 Capital Outlay	100,000	300,000	46,490	245,398	8,112	15.5%
48	55810 Public Notices	95,000	95,000	25,285	487	69,229	26.6%
49	55830 Conf. Registration	4,000	4,000	135	2,616	1,249	3.4%
50	55920 Other Meeting Expense	23,250	22,000	5,378	-	16,622	24.4%
51	55930 Miscellaneous	1,925,394	338,178	1,022	8,969	328,187	0.3%
52	55931 Misc Labor - TDA	-	1,293,382	48	168	1,293,166	0.0%
53	55932 Misc Labor, Future - TDA	-	441,842	-	-	441,842	0.0%
54	55950 Temp Help	-	200,000	160,885	39,114	0	80.4%
55	56100 Printing	17,000	17,000	436	-	16,564	2.6%
56	58xxx Travel	245,466	216,500	67	-	216,433	0.0%
57	58800 RC Sponsorships	-	5,000	5,000	-	0	100.0%
58	59090 Exp - Local Other	877,163	40,011,607	3,182	-	40,008,425	0.0%
59	61010 Transfers Out	-	657,184	657,184	-	(0)	100.0%
60	Total OWP & TDA Capital	92,742,005	143,553,082	49,053,456	27,680,390	66,819,237	34.2%
61							
62	Comprehensive Budget	95,425,978	146,237,055	50,171,807	27,857,913	68,207,335	34.3%

Attachment: 070121 CFO Report (CFO Monthly Report)



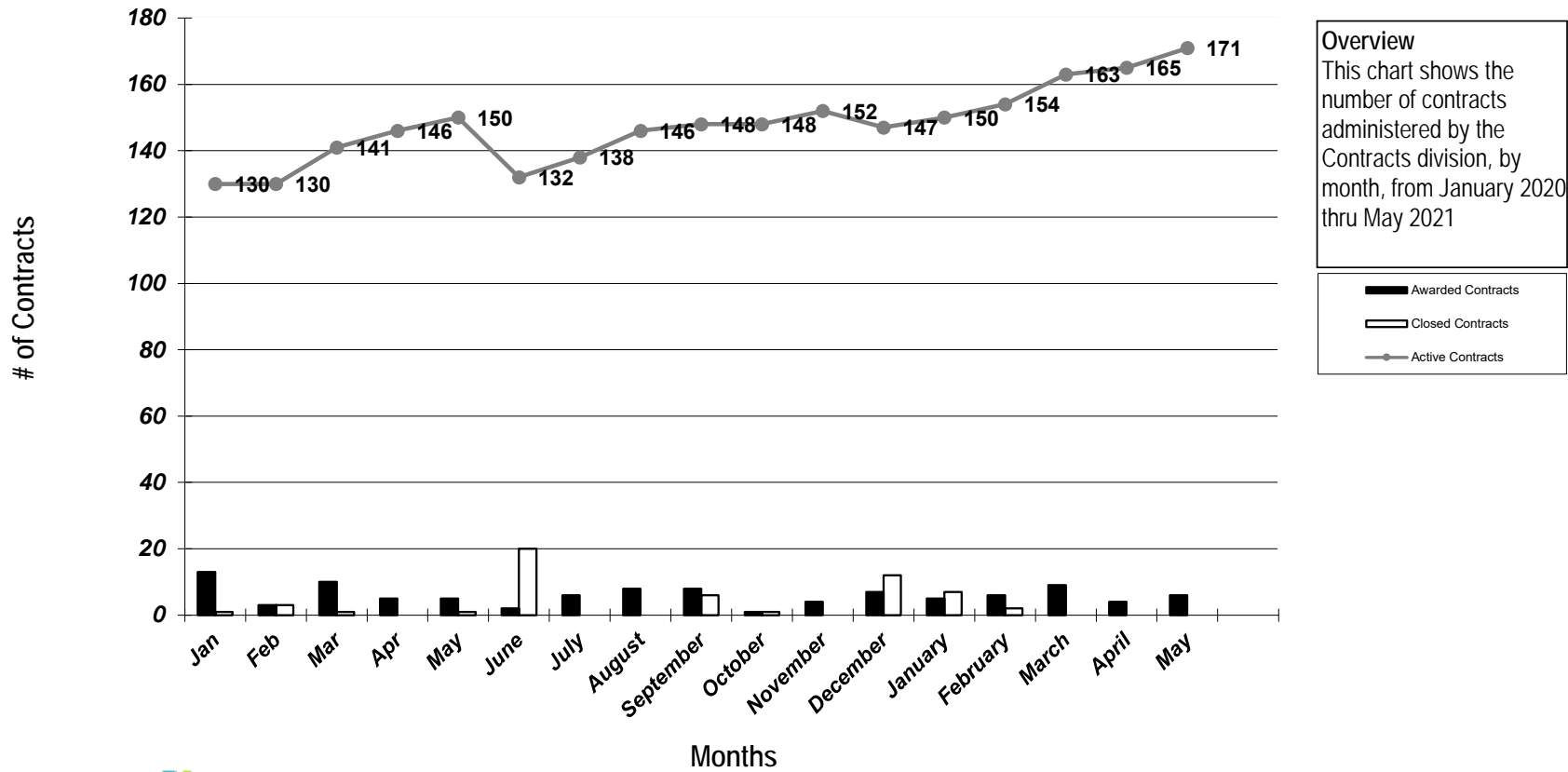
Office of the CFO
Fiscal Year-To-Date Expenditure Report Through May 31, 2021

INDIRECT COST EXPENDITURES

			Amended Budget	Expenditures	Commitments	Budget Balance	% Budget Spent
1	50010	Regular Staff	6,964,795	6,305,429		659,366	90.5%
2	50013	Regular OT	1,000	376		624	37.6%
3	50014	Interns, Temps, Annuity	87,678	109,912		(22,234)	125.4%
4	50030	Severance	80,000	18,260		61,740	22.8%
5	51xxx	Allocated Fringe Benefits	5,573,893	4,618,579	-	955,314	82.9%
6	54300	SCAG Consultants	400,996	224,971	176,026	(0)	56.1%
7	54301	Consultants - Other	1,184,308	823,893	360,415	0	69.6%
8	54340	Legal	212,733	212,733	(0)	(0)	100.0%
9	55210	Software Support	1,333,100	840,176	43,229	449,695	63.0%
10	55220	Hardware Supp	2,622,200	1,940,056	155,374	526,770	74.0%
11	55240	Repair & Maint Non-IT	21,500	8,668	12,832	(0)	40.3%
12	55270	Software Purchases	1,243	1,243	-	0	100.0%
13	55315	F&F Interest	8,078	7,545	533	0	93.4%
14	55325	AV Interest	14,111	13,157	954	0	93.2%
15	55400	Office Rent DTLA	2,209,272	2,209,272	0	(0)	100.0%
16	55410	Office Rent Satellite	260,000	113,683	44,539	101,778	43.7%
17	55415	Offsite Storage	5,000	3,569	1,431	(0)	71.4%
18	55420	Equip Leases	100,000	50,204	21,304	28,492	50.2%
19	55430	Equip Repairs & Maint	1,690	1,690	-	1	100.0%
20	55435	Security Services	100,000	-	100,000	0	0.0%
21	55440	Insurance	332,747	332,747	-	0	100.0%
22	55441	Payroll / Bank Fees	15,000	10,092	4,908	(0)	67.3%
23	55445	Taxes	5,000	632	2,859	1,509	12.6%
24	55460	Mater & Equip < \$5,000 *	64,000	3,535	-	60,465	5.5%
25	55510	Office Supplies	78,800	14,480	64,319	0	18.4%
26	55520	Graphic Supplies	4,000	38	-	3,962	1.0%
27	55530	Telephone	195,000	160,779	33,372	849	82.5%
28	55540	Postage	5,000	24	4,976	(0)	0.5%
29	55550	Delivery Svc	6,522	6,521	0	0	100.0%
30	55600	SCAG Memberships	92,200	42,691	-	49,509	46.3%
31	55610	Prof Memberships	1,500	-	-	1,500	0.0%
32	55611	Prof Dues	1,350	629	-	721	46.6%
33	55620	Res Mats/Subscrip	57,100	37,956	4,731	14,414	66.5%
34	55630	COVID Facility Expenses	95,000	4,869	-	90,131	5.1%
35	55700	Deprec - Furn & Fixt	185,000	108,984	-	76,016	58.9%
36	55720	Amortiz - Leasehold Improvements	75,000	55,970	-	19,030	74.6%
37	55800	Recruitment Notices	25,000	18,902	6,098	(0)	75.6%
38	55801	Recruitment - other	45,000	27,183	2,317	15,500	60.4%
39	55810	Public Notices	2,500	-	-	2,500	0.0%
40	55820	In House Training	30,000	13,500	5,000	11,500	45.0%
41	55830	Networking Meetings/Special Events	20,000	457	-	19,543	2.3%
42	55840	Training Registration	65,000	55,701	-	9,299	85.7%
43	55920	Other Mtg Exp	2,500	1,000	-	1,500	40.0%
44	55950	Temp Help	157,847	157,847	(0)	(0)	100.0%
45	55xxx	Miscellaneous - other	71,856	-	-	71,856	0.0%
46	56100	Printing	23,000	8,384	2,000	12,616	36.5%
47	58100	Travel - Outside	-	-	-	0	0.0%
48	58101	Travel - Local	13,300	625	-	12,675	4.7%
49	58110	Mileage - Local	23,500	63	-	23,437	0.3%
50	58120	Travel Agent Fees	3,000	-	-	3,000	0.0%
51		Total Indirect Cost	22,877,319	18,567,029	1,047,216	3,263,074	81.2%

Attachment: 070121 CFO Report (CFO Monthly Report)

SCAG Contracts (Year to Date)



Summary

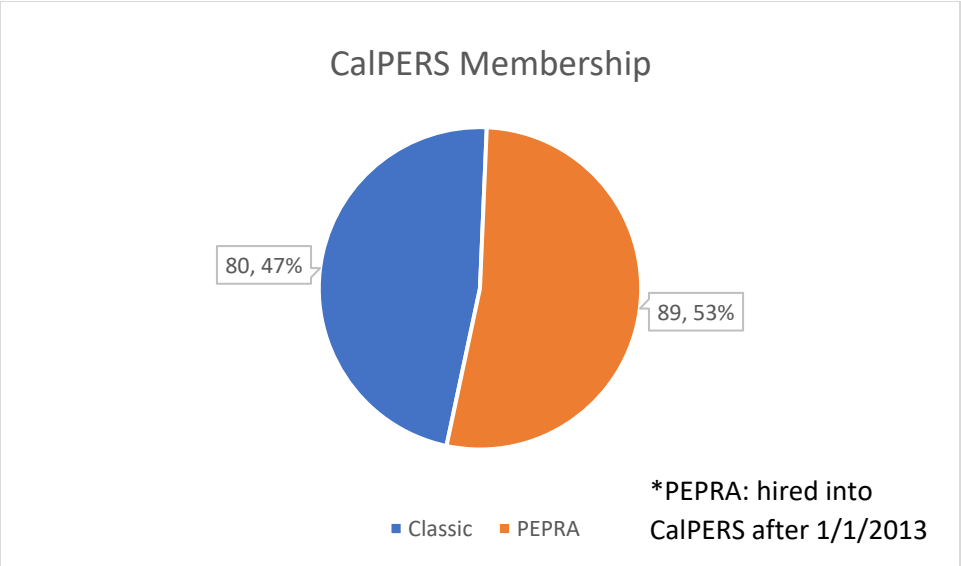
As illustrated on the chart, the Contracts Department is currently managing a total of 171 contracts. Forty-seven (47) are Cost Plus Fee contracts; eighty-five (85) are Lump Sum (formerly Fixed Price) contracts, and the remaining thirty-nine (39) are Time and Materials (T&M) contracts (includes Labor Hour and Retainer contracts). Note, due to the nature of SCAG's work, the majority of SCAG contracts have a one year term and end on June 30th each year.

CFO Report

As of June 1, 2021

Staffing Update

Division	Authorized Positions	Filled Positions	Vacant Positions	Interns/Temps	Agency Temps	Volunteers	Total
Executive Office	8	6	2	0	0	0	6
Human Resources	7	6	1	0	0	0	6
Legal Services	3	2	1	0	0	0	2
Finance	28	24	4	1	0	0	25
Information Technology	27	23	4	0	0	0	23
Policy & Public Affairs	21	19	2	0	0	0	19
Planning & Programs	92	89	3	2	1	6	98
Total	186	169	17	3	1	6	179



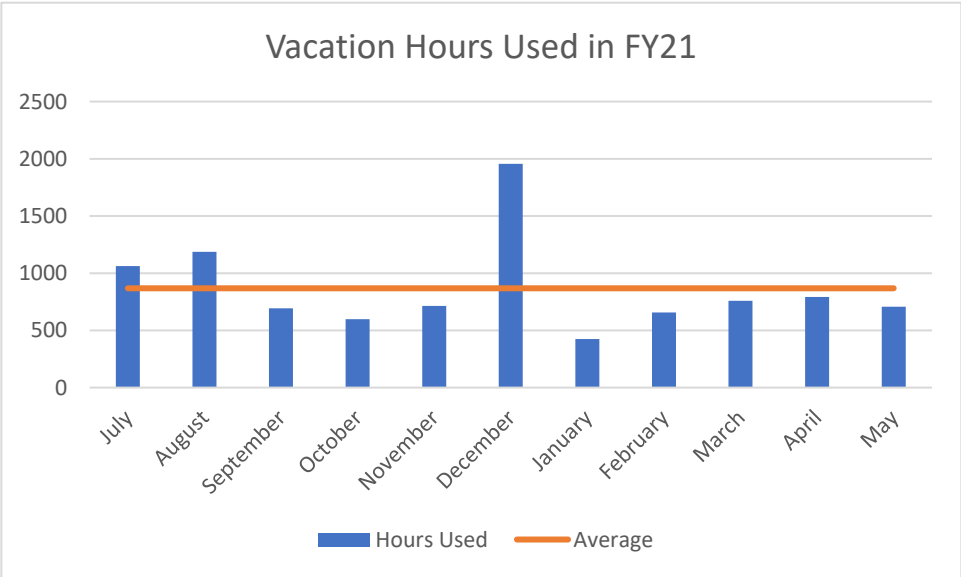
CFO Report

As of June 1, 2021

Vacation Update

Vacation Usage

	Hours Used	Cost
Total	9,549.69	\$ 624,458.62
Average	80.93	\$ 5292.02
# of Staff		118
% of Staff		70%



Vacation Cash Out Pilot Program Usage

	Hours Used	Cost
Total	1,140	\$ 80,603.20
Average	39.31	\$ 2,779.42
Lowest	20	\$ 1,352.40
Highest	40 (max)	\$ 5,568.40
# of Staff		29
% of Staff		17.26%

Attachment: 070121 CFO Report (CFO Monthly Report)